

A CHARACTERIZATION OF THE SIMPLE GROUPS $U_4(2)$ AND $L_4(2)$ BY A NON-CENTRAL INVOLUTION

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1. Introduction.

The four-dimensional projective unimodular unitary group $PSU_4(q^2)$ and the four-dimensional projective unimodular linear group $PSL_4(q)$, where $q=2^n > 2$, have been characterized by the structure of the centralizer of a non-central involution in [3]. In this paper we will have the similar characterization for the case $q=2$. Denote $PSU_4(2^2)$ and $PSL_4(2)$ by $U_4(2)$ and $L_4(2)$, respectively. The characterization is contained in the following theorem:

THEOREM. *Let H_2 be the centralizer in $U_4(2)$ of a non-central involution of $U_4(2)$. If G is a finite group containing an involution z such that the centralizer H of z in G is isomorphic to H_2 , then one of the following holds:*

- (i) G contains a normal subgroup of index 2,
- (ii) $G=O_2(G)L$, where $O_2(G)$ is elementary abelian of order 2^4 and L is isomorphic to $L_2(4)$,
- (iii) $G \cong U_4(2)$, or
- (iv) $G \cong L_4(2)$.

The method used in proving this theorem is essentially the same as that in [3]. The structure of the group $U_4(2)$ and the properties of the subgroup H_2 have been discussed in [3]. Thus some of the proofs in section 2 and section 4 will be omitted. The group $L_4(2)$ is isomorphic to the alternating group of degree 8, and the structure of this group has been studied in [4] and [6]. The author wishes to express his gratitude to Professor M. Suzuki for his guidance in the preparation of this paper.

2. The structure of $U_4(2)$.

Let F be a finite field with 4 elements. Then the group $U_4(2)$ has the following properties:

$$\begin{aligned}
 (2.1) \text{ Define } \quad U_1 &= \{x_1(\alpha) : \alpha \in F\}, & x_1 &= x_1(1), \\
 U_2 &= \langle x_2(1) \rangle, & x_2 &= x_2(1), \\
 U_3 &= \{x_3(\gamma) : \gamma \in F\}, & x_3 &= x_3(1), \\
 U_4 &= \langle x_4(1) \rangle, & x_4 &= x_4(1).
 \end{aligned}$$

Then U_i is an elementary abelian subgroup whose multiplication is given by

$$x_i(\alpha)x_i(\beta) = x_i(\alpha + \beta).$$

(2.2) $U^1 = U_1 U_2 U_3 U_4$ is a Sylow 2-group of $U_4(2)$ of order 2^6 , and $Z(U^1) = U_4$. Each element of U^1 is uniquely expressed as a product $x_1(\alpha)x_2(\beta)x_3(\gamma)x_4(\delta)$. We have

$$\begin{aligned} [x_1(\alpha), x_2] &= x_3(\alpha)x_4, \quad \alpha \neq 0, \\ [x_1(\alpha), x_3(\gamma)] &= x_4, \quad \alpha \neq \gamma \text{ and } \alpha\gamma \neq 0, \end{aligned}$$

and all other types of commutators between elements of the various U_i are trivial.

(2.3) The subgroup $K^1 = \{h(\mu) : \mu \in F, \mu \neq 0\}$ is cyclic of order 3. It is a complement of U^1 in the normalizer B^1 of U^1 , and the action of K^1 on B^1 is given by

$$\begin{aligned} h(\alpha)h(\beta) &= h(\alpha\beta), \quad h(1) = 1, \\ x_i(\alpha)^{h(\mu)} &= x_i(\mu\alpha), \quad i = 1, 3, \\ [U_2 U_4, K^1] &= 1. \end{aligned}$$

(2.4) The subgroup $\langle n_1, n_2 \rangle$ generated by two involutions n_1 and n_2 is a dihedral group of order 8, where $(n_1 n_2)^4 = 1$. The subgroup K^1 is inverted by n_1 and centralized by n_2 .

(2.5) The involutions n_1 and n_2 transform the elements of U^1 as follows:

$$\begin{aligned} n_1 x_1(\alpha) n_1 &= x_1(\alpha^2) h(\alpha^2) n_1 x_1(\alpha^2), \\ n_2 x_2 n_2 &= x_2 n_2 x_2, \\ n_1 x_3(\gamma) n_1 &= x_3(\gamma^2), \quad n_1 x_4 n_1 = x_4, \\ n_2 x_3(\gamma) n_2 &= x_1(\gamma), \quad n_2 x_4 n_2 = x_4. \end{aligned}$$

In particular $(n_1 x_1)^3 = 1$ and $(n_2 x_2)^3 = 1$.

(2.6) The subgroups B^1 and $N^1 = \langle K^1, n_1, n_2 \rangle$ form a (B, N) -pair of $U_4(2)$.

3. Necessary lemmas.

The following lemmas will be used in this paper. Denote the dihedral group of order $2m$ by D_{2m} .

(3.1) Let u and v be two involutions of a finite group G such that u is not conjugate to v in G . Then there is an involution w in G such that:

- (i) w commutes with both u and v , and
- (ii) wu is conjugate in G to either u or v .

Moreover, if wu is conjugate to u [resp. v], then wv is conjugate to v [resp. u].

Proof. This is a well-known result. The proof may be found in [4].

(3.2) Let G be a finite group with a Sylow 2-group S of order 2 and let K be a complement of S in $N_G(S)$. If $|G : N_G(S)| = p$, p prime, then $G = NK$ with $N \cap K = 1$

where N is a normal subgroup generated by all involutions and $N \cong D_{2p}$.
 In particular if $p=3$ then $G=N \times K$.

Proof. Let u and v be two distinct involutions in G . Let $N = \langle u, v \rangle$. Then it is easy to see that $|uv|$ is odd and $C_N(u) = \langle u \rangle$. Since $C_G(S) = N_G(S)$ it follows that $|N| = 2p$ and N contains all involutions of G . Now the Frattini argument yields the assertion. If $p=3$, there are exactly three involutions in G , and K centralizes N .

(3.3) Let G be a finite group with an elementary abelian Sylow 2-group $S = \langle u \rangle \times \langle v \rangle$ of order 4. Suppose that G is not 2-closed and S is the only Sylow 2-group of G containing the involution uv .

(i) If $N_G(S) \neq C_G(S)$, then G contains a normal subgroup isomorphic to $L_2(4)$.

(ii) If $N_G(S) = C_G(S)$, $|G:N_G(S)| = p^2$, p prime, and $S \cap S_1 = 1$ for some Sylow 2-group S_1 , then G contains a normal subgroup isomorphic to $D_{2p} \times D_{2p}$.

Proof. Consider the case (i). The three involutions of S are conjugate, and G is a (TI)-group which is not 2-closed. Hence this assertion follows from (4.2) of [4].

Consider the case (ii). By Burnside lemma three involutions of S lie in distinct conjugate classes in G .

Let u_1 and v_1 be involutions of G which are conjugate to u and v , respectively. Since they are not conjugate in G , there is an involution w which commutes with both u_1 and v_1 by (3.1). Hence $\langle u_1, w \rangle$ and $\langle v_1, w \rangle$ are Sylow 2-groups, and w must be conjugate to uv . By the assumption this implies that $\langle u_1, v_1 \rangle$ is a Sylow 2-group. In particular u_1 and v_1 commute.

These arguments and the assumption in (ii) yield that $|G:C_G(u)| = |C_G(u):N_G(S)| = p$ and all conjugates of v in G are contained in $C_G(u)$. By applying (3.2) for $C_G(u)/\langle u \rangle$, we can see that the subgroup N_1 generated by all conjugates of v is isomorphic to D_{2p} . Moreover, N_1 is normal in G and centralized by any involution conjugate to u . Similarly the subgroup N_2 generated by all conjugates of u is isomorphic to D_{2p} and it is normal in G . Hence $N = \langle N_1, N_2 \rangle = N_1 \times N_2$ and N is normal in G .

4. Nonsimple cases.

For the remainder of this paper G stands for a group satisfying the condition of the Theorem.

The element x_3 is a non-central involution of $U_4(2)$. Hence we may define H_2 to be the centralizer of x_3 in $U_4(2)$. Thus

$$H_2 = \langle x_1 \rangle U_2 U_3 U_4 \cup \langle x_1 \rangle U_2 U_3 U_4 n_1 \langle x_1 \rangle$$

and its order is $2^5 \cdot 3$.

Since the center of H_2 is $\langle x_3 \rangle$ we will identify the subgroup H of G with H_2 , and in particular $H = C_G(x_3)$. The following subgroups of H will be fixed throughout this paper:

Subgroups	Orders
$Q = \langle x_1 \rangle U_2 U_3 U_4$	2^5
$T = U_2 U_3 U_4$	2^4
$U = \langle x_1, x_3, x_4 \rangle$	2^3
$V = \langle x_2, x_3, x_4 \rangle$	2^3
$E = U_3 U_4$	2^3

(4.1) $H = C_G(x_3)$ and it is isomorphic to the centralizer in $L_4(2)$ of a non-central involution of $L_4(2)$.

(4.2) T is the maximal normal 2-subgroup of H , and it has a complement $\langle x_1, n_1 \rangle$ in H with $(n_1 x_1)^3 = 1$.

(4.3) A Sylow 2-group Q of H has the following properties:

- (i) $Z(Q) = [Q, Q] = \langle x_3, x_4 \rangle$ and $Q/Z(Q)$ is elementary abelian, and
- (ii) $Q = C_G(Z(Q)) = C_H(x_4) = N_H(Q)$ and $N_G(Q) = N_G(Z(Q))$.

(4.4) T is the unique elementary abelian subgroup of order 2^4 in H . If T_1 is an elementary abelian subgroup of order 2^4 in G such that $T_1 \cap T$ contains an element conjugate to x_3 , then $T_1 = T$.

(4.5) Elementary abelian subgroups E and U_4 are characteristic in Q .

V is an elementary abelian normal subgroup of H which is the union of all conjugates of $Z(Q)$ in H .

(4.6) There are five conjugate classes of involutions of T in H :

- (i) x_3
- (ii) $x_3 x_4 \sim x_2 x_4 \sim x_2 x_3$
- (iii) $x_4 \sim x_2 \sim x_2 x_3 x_4$
- (iv) $x_2 x_3(\gamma) x_4 \sim x_2 x_3(\gamma^2) x_4$
- (v) $x_2 x_3(\gamma) \sim x_2 x_3(\gamma^2) \sim$ all elements in $E - Z(Q)$

where γ is a fixed primitive cubic root of unity in F .

(4.7) U and T are the only maximal elementary abelian subgroups of Q , where $U \cap T = Z(Q)$. No conjugate of T in G contains U .

(4.8) There are exactly four involutions in $Q - T$, and any two of them are conjugate by an element of T . If w is an involution in $Q - T$, then $w \in U$ and $U = C_Q(w)$.

(4.9) Define $S = N_G(Q)$. Then $|S| = 2^5$ or 2^6 .

Proof. By (4.3) and (4.5) the group S normalizes $Z(Q)$ and centralizes x_4 . Hence either S centralizes $Z(Q)$ or cyclically permutes two elements in $Z(Q) - U_4$. Since $C_G(Z(Q)) = Q$ by (4.3), the assertion follows.

(4.10) Assume that Q is a Sylow 2-group of G . Then G contains a normal subgroup of index 2.

Proof. It follows from (4.9) that $N_G(Q) = Q$. By Grün [2] and (4.3) this implies

that the focal subgroup Q^* of Q in G is

$$Q^* = \langle Q \cap Z(Q)^x : x \in G \rangle.$$

By (4.5) we have $V \subseteq Q^*$ and it suffices to show that $Q^* \subseteq T$. Suppose that there is an element w in $Q - T$ which is conjugate to some involution z of $Z(Q)$. Then $w \in U - Z(Q)$ by (4.8), and $z^x = w$ and $U \subseteq Q^x$ for some x in G . From (4.7) and (4.4) we can see that $U = U^x$ and $Z(Q)$ is not contained in T^x . This implies that two involutions in $Z(Q) - T^x$ are conjugate by (4.8). But no two involutions of $Z(Q)$ can be conjugate by Burnside lemma. This is a contradiction.

If Q is a Sylow 2-group of G , then (4.10) yields the case (i) of Theorem. For the remainder of this paper, therefore, we will assume that Q is not a Sylow 2-group of G . Note that $S = N_G(Q)$ is of order 2^6 .

(4.11) $Z(S) = U_4$. Two involutions x_3 and x_3x_4 contained in $Z(Q) - U_4$ are conjugate in S , and x_3 and x_4 are not conjugate in G .

Proof. This follows from the proof of (4.9).

(4.12) T is the unique elementary abelian subgroup of order 2^4 in S . In particular $N_G(S) \subseteq N_G(T)$.

Proof. Suppose that S contains another elementary abelian subgroup T_1 of order 2^4 . Then $|T_1 \cap Q| \geq 2^3$, and from (4.7) and (4.4) it is easy to see that $T_1 \cap Q \subseteq T$. Since all involutions in $Z(Q) - U_4$ are conjugate to x_3 by (4.11), it follows from (4.4) that $T_1 \cap Z(Q)^h = U_4^h$ for all h in H . In particular T_1 contains x_2x_4 which is conjugate to x_3 . This implies that $T_1 = T$ by (4.4). But this is a contradiction.

(4.13) Let x be any element in $S - Q$. Then

$$T = E \cup V \cup V^x \text{ and } E \cap V = E \cap V^x = V \cap V^x = Z(Q).$$

Proof. Since S normalizes E and $Z(Q)$ by (4.5), it suffices to show that $V^x \neq V$. Suppose that $V^x = V$. Then x_2^x is contained in $V - Z(Q)$. Since x_2^x is conjugate to x_4 , from (4.6) and (4.11) it is easy to see that $x_2^x x_3$ is conjugate to x_3 . The element x^2 is in Q , and it centralizes x_3 . Hence $x_2 x_3^x$ is conjugate to x_3 . But $x_2 x_3^x = x_2 x_3 x_4$ by (4.11), and it is conjugate to x_4 by (4.6). This is a contradiction.

(4.14) (i) Each element of $T - E$ is conjugate in S to either x_2 or x_2x_4 .
 (ii) Let A be a subgroup of T containing U_4 . If A is not contained in E , then $A - E$ contains both an element conjugate to x_3 and an element conjugate to x_4 .

Proof. This follows from (4.6), (4.11) and (4.13).

(4.15) We have $N_G(S) = N_G(T) \cap C_G(x_4) = N_G(E)$.

Proof. By (4.11) and (4.12) we have $N_G(S) \subseteq N_G(T) \cap C_G(x_4)$. Let x be any element of $N_G(T) \cap C_G(x_4)$. Then it is easy to see from (4.14) and (4.11) that $Z(Q)^x \subseteq E$. Since all elements in $E - Z(Q)$ are conjugate by (4.6), this implies that $E^x = E$

by (4.14) (ii). Hence we have $N_G(T) \cap C_G(x_4) \subseteq N_G(E)$.

Let k be any element of $N_G(E)$. Then from (4.6) and (4.11) we can see that $U_4 \subseteq Z(Q) \subseteq E$. By the action of the involution x_1 on E this implies that Q normalizes $Z(Q)^k$, and $Q \subseteq N_G(Q)^k = S^k$ by (4.3)(ii). Hence $k \in N_G(S)$. This proves the assertion.

(4.16) Each involution of T is conjugate in $N_G(T)$ to either x_3 or x_4 . Moreover one of the following holds:

(i) $|N_G(T)| = 2^6 \cdot 3^2$ and $N_G(S) = N_G(Q) = S$. Conjugate classes of T listed in (4.6) are fused as follows: (i) \sim (ii) \sim (iv), (iii) \sim (v), or

(ii) $|N_G(T)| = 2^6 \cdot 3 \cdot 5$, $|N_G(S) : S| = 3$ and $S = N_G(Q)$. Conjugate classes of T listed in (4.6) are fused as follows: (i) \sim (ii) \sim (v), (iii) \sim (iv).

Proof. This follows from (4.11), (4.13) and (4.15). We remark that $|H| = |C_G(x_3)| = 2^5 \cdot 3$.

(4.17) Let v be any element in $T - E$. Then $C_G(v) \cap C_G(x_4)$ is 2-closed with Sylow 2-group T .

Proof. By (4.13) we can see that $C_S(v) = C_Q(v) = T$. Since S is the only Sylow 2-group of $C_G(x_4)$ which contains T by (4.15), it follows that T is a Sylow 2-group of $C_G(v) \cap C_G(x_4)$. Let T_1 be a Sylow 2-group of $C_G(v) \cap C_G(x_4)$. Then T_1 contains $\langle v, x_4 \rangle$, and it contains an element conjugate to x_3 by (4.14). Hence we have $T_1 = T$ by (4.4).

(4.18) Suppose that w is an involution in $S - T$. Let $P = \langle w \rangle T$ and $R = C_P(w)$. Then

- (i) $C_S(w)$ is a non-abelian subgroup of order 2^4 ,
- (ii) $R = \langle w \rangle Z(P)$ and it is the unique maximal elementary abelian subgroup of order 2^3 in P , and
- (iii) $S = C_S(w)T$, $C_T(w) = Z(P) = [P, P] \subseteq E$ and $P/Z(P)$ is elementary abelian of order 4.

Proof. If $w \in Q$ then the assertion follows from (4.8) and (4.3), where $R = U$. If (ii) of (4.16) holds, then S is the union of three conjugates of Q in $N_G(S)$, and the above fact yields the result.

Assume that (i) of (4.16) holds and there is an involution w in $S - Q$. Since $P = \langle w \rangle T$ and w is an involution, it is easy to see that $C_T(w) = Z(P)$ and $P/Z(P)$ is elementary abelian. By (4.11), (4.13) and (4.16) the action of w on T yields that $[P, P] = Z(P) \subseteq E$ and $|Z(P)| = 4$. Since all involutions in $P - T$ are contained in $\langle w \rangle Z(P)$, the assertion follows.

(4.19) S is a Sylow 2-group of G .

Proof. Since S is a Sylow 2-group of $N_G(T)$ and $N_G(S) \subseteq N_G(T)$, the assertion follows from the property of p -groups.

(4.20) If (i) of (4.16) holds, then $N_G(T)$ contains a normal subgroup of index 2.

Assume that (ii) of (4.16) holds. Then T is the maximal normal 2-subgroup of $N_G(T)$, and $N_G(T)$ contains a complement L of T such that $L \cong L_2(4)$.

Proof. If (i) of (4.16) holds, then $N_G(T)/T$ contains a self-normalizing abelian Sylow 2-group S/T , and $N_G(T)/T$ has a normal 2-complement by Burnside's Transfer theorem. This yields the assertion.

Assume that (ii) of (4.16) holds. Let w be an involution in $Q-T$. It is easy to see from (4.18) that $S=C_S(w)Z(Q)^k$ and $C_S(w) \cap Z(Q)^k=U_4$ for any k in $N_G(S)-S$. Hence $C_S(w) \cap U^k$ is of order 4, and there is some involution in $S-Q$ which commutes with w . This implies that S splits over T . By Gaschütz [1], the group $N_G(T)$ contains a complement L of T whose order is $2^2 \cdot 3 \cdot 5$. By (3.2) this yields the assertion.

5. Identification of G with $L_4(2)$

If T is normal in G , then (4.20) yields the cases (i) and (ii) of Theorem. In order to finish the proof of Theorem we will show in section 5 and section 6 that G is isomorphic to either $U_4(2)$ or $L_4(2)$ if T is not normal in G .

This section will be devoted to proving that G is isomorphic to $L_4(2)$ under the assumption that T is not normal in G and (i) of (4.16) holds. Note that in this case we have $S=N_G(S)=N_G(Q)$.

(5.1) $C_G(x_4)$ is not 2-closed.

Proof. Suppose that $C_G(x_4)$ is 2-closed. Then $C_G(x_4)=S$ by (4.15), and the following arguments yield the contradiction:

(i) $T^x \cap T = T$ or 1 for any x in G .

If $T^x \cap T$ contains an element conjugate to x_3 , then $T^x=T$ by (4.4). If $T^x \cap T$ contains an element conjugate to x_4 , then both T^x and T are contained in some conjugate of S , which yields that $T^x=T$ by (4.12).

(ii) No element of $S-T$ is conjugate to an element of T .

Let w be an element in $S-T$. If w is not an involution, then w is not conjugate to any element of T . Assume that w is an involution. To prove (ii) it suffices to show that $C_S(w)$ is a Sylow 2-group of $C_G(w)$ by (4.18)(i) and (4.16). Suppose not. Since S is the only Sylow 2-group of $N_G(T)$ containing $C_G(w)$ by (4.18)(iii), it follows that there is an element x in $G-N_G(T)$ such that $C_G(w) \cap S^x$ is a Sylow 2-group of $C_G(w)$ containing $C_S(w)$. Then $T^x \cap T=1$ by (i) and it is easy to see that $C_S(w)$ is elementary abelian. But this contradicts (4.18).

(iii) Take any element x in $G-N_G(T)$. Then x_3^x and x_4 are not conjugate in G , and there is an involution w which commutes with both x_3^x and x_4 by (3.1). Hence $w \in H^x \cap S$, and it follows from (i) and (ii) that $w \in S-T$. This implies that wx_4 is in $S-T$ and it is not conjugate to any element of T . But this contradicts (3.1).

(5.2) For any element x in $C_G(x_4)-S$ the following holds:

- (i) $S^x \cap T = E$, $E^x \cap E = T^x \cap T = U_4$, $U^x = U$, $Q^x \cap E = Z(Q)$, and
- (ii) $S \cap T^x = E^x$, $Q \cap E^x = Z(Q)^x$, $S = TE^x$.

Proof. Since $T^x \neq T$ by (4.15), it follows from (4.14) and (4.4) that $U_4 \cong T^x \cap T = E^x \cap E \neq E$. Suppose that $S^x \cap T$ is not contained in E . Then $S^x - T^x$ contains an involution w in $T - E$, which implies that $C_G(w) \cap C_G(x_4)$ is 2-closed with Sylow 2-group T by (4.17). But this contradicts (4.18). Hence $S^x \cap T \subseteq E$.

The involutions x_3^x and x_4 are in $C_G(x_4)$ and they are not conjugate in $C_G(x_4)$. Hence there is an involution w in $H^x \cap C_G(x_2) \cap C_G(x_4)$ satisfying (3.1). Since Q^x is a normal Sylow 2-group of $H^x \cap C_G(x_4)$ by (4.3)(ii), it follows from (4.17) that $w \in Q^x \cap T \subseteq E$. The similar argument yields that $w x_2$ of $T - E$ can not be conjugate in $C_G(x_4)$ to x_3 . Hence $w x_2$ is conjugate to x_2 , and $w x_3^x$ is conjugate to x_3^x in $C_G(x_4)$. Clearly no element of $E^x \cap E$ is conjugate to x_3 . By considering conjugate classes in (4.16) we can show that $w \notin E^x \cap E$. This implies that $w \in Q^x - T^x$, and w is conjugate to $w x_3^x$. Hence w is conjugate to x_3 , and it is contained in $Z(Q) - U_4$. Thus we have $Q^x \cap T = Z(Q)$ and $T^x \cap T = U_4$. Since $Q = C_G(Z(Q))$ by (4.3), by applying (4.18) for S^x we obtain that $S^x \cap Q$ is of order 2^4 and $U = Q^x \cap Q = U^x$. Now it is easy to show the assertion (i).

Since the assertion (i) holds for any x in $C_G(x_4) - S$, the assertion (ii) follows.

(5.3) S contains exactly three normal subgroups Q , P_1 and P_2 of index 2 which contain T . Each P_i contains a unique maximal elementary abelian subgroup R_i of order 2^3 . Each R_i is normal in $C_G(x_4)$ and $R_i \cap T = Z(P_i)$.

Proof. By (5.2) the group S contains a complement of T which is a conjugate of U_3 in $C_G(x_4)$. In particular $S - Q$ contains involutions. Hence, by (4.18), it suffices to show that R_i is normal in $C_G(x_4)$.

Let x be any element of $C_G(x_4) - S$. By (5.2) and (4.18) it is easy to see that the centralizer of $P_1 \cap E^x$ in $C_G(x_4)$ is a subgroup of order 2^4 in S and the centralizer of $P_1 \cap E^x$ in S^x is either P_1^x or P_2^x . Again by (4.18) this yields that $R_1 = P_1 \cap P_1^x = R_1^x$ or $R_1 = P_1 \cap P_2^x = R_2^x$. Since $C_G(x^4)/S$ is of odd order and R_i is normalized by S , it follows that $R_1 = R_1^x$.

(5.4) Define D to be the intersection of two distinct Sylow 2-groups of $C_G(x_4)$. Then

- (i) All conjugates of E in $C_G(x_4)$ are contained in D ,
 - (ii) D is the maximal normal 2-subgroup of $C_G(x_4)$ and D/U_4 is elementary abelian,
- and
- (iii) U , R_1 and R_2 are contained in D .

Proof. This follows from (5.2) and (5.3).

(5.5) If x is an element of S such that $x^2 \in U_4$, then either $x \in T$ or $x \in D$.

Proof. By (5.3) and (4.13) it is easy to see that x is contained in either T or $EU \cap ER_1 \cap ER_2$. Hence the assertion follows from (5.4).

(5.6) $|C_G(x_4) : S| = 3$. Moreover, $C_G(x_4)$ contains a subgroup M which satisfies the following:

- (i) M is a complement of D which is a dihedral group of order 6, and
- (ii) $S \cap M$ is either $\langle x_2 \rangle$ or $\langle x_2 x_4 \rangle$.

Proof. From (5.3) and (5.2) it follows that there are 12 involutions in $S-T$, and each conjugate of E , $\neq E$, in $C_G(x_4)$ contains exactly 6 involutions in $S-T$. This yields that $|C_G(x_4) : N_G(E)| = 3$. Since $S = N_G(S) = N_G(E)$ by (4.15), we have $|C_G(x_4) : S| = 3$, and $C_G(x_4)/D$ is a dihedral group of order 6 by (3.2).

By (5.4) the group $C_G(x_4)/U_4$ contains an abelian normal subgroup D/U_4 , and S/U_4 contains a complement U_2U_4/U_4 of D/U_4 . Hence, by Gaschütz, there is a subgroup M_1 such that $C_G(x_4) = DM_1$ and $D \cap M_1 = U_4$. Since $S \cap M_1/U_4$ is of order 2, it follows from (5.5) that $S \cap M_1 \subseteq T$, and $S \cap M_1$ splits over U_4 . Again by Gaschütz this implies that M_1 contains a complement M of U_4 . Hence M is a complement of D in $C_G(x_4)$. Since $S \cap T$ is contained in T but not in E , it follows from (4.14)(i) that we may assume that M itself satisfies the condition.

(5.7) There is an involution s in $C_G(x_4)$ which satisfies the following:

- (i) $(sx_2)^3 = 1$ and $C_G(x_4) = \langle D, s, x_2 \rangle$, and
- (ii) U_3^s is a complement of T in S such that $x_3^s = x_1$.

Proof. M is generated by two involutions e and f such that $S \cap M = \langle e \rangle$ and $(ef)^3 = 1$. Since either $e = x_2$ or $e = x_2x_4$, either $s = f$ or $s = fx_4$ satisfies (i). By (5.2) we have $x_3^s \in Q-T$. Since any conjugate of s by an element of T satisfies (i), it follows from (4.8) that we may assume that s itself satisfies (ii).

(5.8) Let u and v be involutions of U_3 . Then

- (i) $[u^s, x_2] = x_3x_4$ or u according as $u = x_3$ or $u \neq x_3$, and
- (ii) $[u^s, v] = 1$ or x_4 according as $u = v$ or $u \neq v$.

Proof. If $u = x_3$ then the assertion follows from (5.7) and (2.2). By (5.3) the involution s normalizes R_1 and R_2 . Hence the assertion can be easily seen by using (4.18) and (4.16).

(5.9) The group G is isomorphic to $L_4(2)$.

Proof. The structure of $C_G(x_4)$ is determined by (5.7) and (5.8). Let ω be a fixed primitive cubic root of unity in F . Then each element γ of F is uniquely expressed as $\gamma = \xi + \eta\omega$, where ξ and η are either 0 or 1. Define a map from $C_G(x_4)$ into $L_4(2)$ which sends

$$\begin{array}{ll}
 x_3(\gamma)^s & \text{into} \begin{pmatrix} 1 & & & \\ \xi & 1 & & \\ & & 1 & \\ & & \xi + \eta & 1 \end{pmatrix}, \\
 x_2(\beta)x_3(\gamma)x_4(\delta) & \text{into} \begin{pmatrix} 1 & & & \\ \xi & \beta & & \\ \delta & \xi + \eta & & 1 \end{pmatrix}, \quad \gamma = \xi + \eta\omega, \\
 s & \text{into} \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}.
 \end{array}$$

Then it is easy to see that this map defines an isomorphism of $C_G(x_4)$ onto the centralizer in $L_4(2)$ of a central involution of $L_4(2)$. Hence G is isomorphic to $L_4(2)$ by Theorem of [6].

We remark that (5.9) can be proved by constructing a (B, N) -pair of G as in [4]. The structure of $N_G(T)$ can be determined by using (3.3) and that of $N_G(R_i)$ can be determined by using (5.3) and the argument in [4].

6. Identification of G with $U_4(2)$

This section will be devoted to proving that G is isomorphic to $U_4(2)$ under the assumption that T is not normal in G and (ii) of (4.16) holds. Note that $|N_G(S) : S| = 3$.

(6.1) $C_G(x_4)$ is not 2-closed. For any element x in $C_G(x_4) - N_G(S)$ the following holds:

- (i) $S^x \cap T = E$, $S \cap T^x = E^x$, $E^x \cap E = T^x \cap T = U_4$, and
- (ii) $S = TE^x$ and $U^x = U^k$ for some k in $N_G(S)$.

Proof. The proof is similar to those of (5.1) and (5.2). Note that S is the union of three conjugates of Q in $N_G(S)$.

(6.2) Define D to be the intersection of two distinct Sylow 2-groups of $C_G(x_4)$. Then

- (i) All conjugates of E and all conjugates of U in $C_G(x_4)$ are contained in D , and
- (ii) D is the maximal normal 2-subgroup of $C_G(x_4)$, and D/U_4 is elementary abelian.

Proof. This follows from (6.1).

(6.3) Each involution of G is conjugate to either x_3 or x_4 . Moreover,

- (i) Each involution in $S - T$ is conjugate to x_3 in $C_G(x_4)$, and
- (ii) $C_S(x_2) = T$ and an element of S conjugate to x_4 is either x_4 or a conjugate of x_2 in S .

Proof. The assertion can be easily seen from (4.16). Since S is the union of three conjugates of Q in $N_G(S)$, it follows from (4.8) that all involutions in $S - T$ are conjugate in $N_G(S)$. On the other hand $S - T$ contains a conjugate of x_3 in $C_G(x_4)$ by (6.1). This proves the assertion.

(6.4) $N_G(T)$ contains subgroups L and K which satisfy the following:

- (i) L is a complement of T in $N_G(T)$ which is isomorphic to $L_2(4)$,
- (ii) $H \cap L = \langle x_1, n_1 \rangle$ with $(n_1 x_1)^3 = 1$, and
- (iii) K is a complement of S in $N_G(S)$ which is inverted by n_1 and contained in L .

Proof. By (4.20) there is a subgroup L satisfying (i). The abelian normal subgroup T of H has a complement in Q . Moreover, all complement of T in Q are conjugate in Q by (4.8). By Gaschütz it follows from (4.2) that all complements of T in H are conjugate to $\langle x_1, n_1 \rangle$ in H . Hence we may assume that L satisfies both (i) and (ii). By the property of $L_2(4)$ it is easy to see the existence of the required subgroup K .

(6.5) Define $W = S \cap L$. Then

- (i) K acts regularly on U_3^* and W^* , and $C_T(K) = U_2U_4$,
- (ii) K normalizes exactly two Sylow 2-groups S and S^{n_1} , and
- (iii) $N_G(T) = \langle T, K, n_1, x_1 \rangle$.

Proof. $K \subseteq N_G(E) \subseteq C_G(x_4)$ by (4.15). Moreover, $U_3 = E \cap E^{n_1}$ and $U_2 = U_4^{n_1}$. By (6.4) (iii) this yields that K normalizes U_3 and centralizes U_2U_4 . Since $K \cap C_G(x_3) = 1$, it follows that K acts regularly on U_3^* and we have $C_T(K) = U_2U_4$. The remaining assertions follow from the property of $L_2(4)$.

(6.6) The statement in (5.5) holds.

Proof. Let x be an element of Q such that $x^2 \in U_4$. By the structure of Q it follows that either $x \in T$ or $x \in EU \subseteq D$. Hence the assertion follows from (6.2) since S is the union of conjugates of Q in $N_G(S)$.

(6.7) $|C_G(x_4) : N_G(S)| = 3$. Moreover, $C_G(x_4)$ contains a complement M of D which is the direct product of K and a dihedral group of order 6.

Proof. As in (5.6), by using (6.1) and (4.15) we can show that $|C_G(x_4) : N_G(S)| = 3$. Hence, by (3.2), $C_G(x_4)/D$ is the direct product of K and a dihedral group of order 6. Again by using (6.2) and (6.6) we can repeat the same argument as that in (5.6) to show that $C_G(x_4)$ splits over D . This proves the assertion.

(6.8) There is an involution s in $C_G(x_4)$ which satisfies the following:

- (i) $(sx_2)^3 = 1$ and $C_G(x_4) = \langle D, K, s, x_2 \rangle$,
- (ii) s centralizes K , and
- (iii) $W = U_3^s$ and $x_1 = x_3^s$.

Proof. Since $S \cap M$ is contained T and is centralized by K , it follows from (6.5) (i) that either $S \cap M = \langle x_2 \rangle$ or $S \cap M = \langle x_2x_4 \rangle$. Note that K is a direct factor of M by (6.7). Now the similar argument to that in (5.7) yields the existence of the involution s satisfying (i) and (ii). Since any involution of $S - T$ is contained in either E^s or $E^{x_2sx_2}$, and x_2sx_2 also satisfies (i) and (ii), it follows from (6.5) (i) that we may assume that $W \subseteq E^s$. It is easy to see that U_3 is the only subgroup of order 4 in E which is normalized by K . Hence we have $W^s = U_3$. By (6.1) (ii) it can be easily seen that $U^s = U$. Thus $\langle x_1 \rangle = W \cap U = (U_3 \cap U)^s = \langle x_3 \rangle^s$. This proves the assertion.

(6.9) The following holds:

- (i) Define $k(\gamma)$ to be an element of K such that $x_3^{k(\gamma)} = x_3(\gamma)$. Then $x_1^{k(\gamma)} = x_3(\gamma)^s$.
- (ii) Let u and v be involutions in U_3 . Then $[u^s, x_2] = ux_4$, and $[u^s, v] = 1$ or x_4 according as $u = v$ or $u \neq v$.

Proof. The assertion (i) follows from (6.5) (i) and (6.8) (iii). By (2.2), (6.5) and (6.8) the assertion (i) yields (ii).

(6.10) Let v be any conjugate of x_4 which is not in T . Then v centralizes the unique conjugate of x_4 which lies in T .

Proof. Since v and x_3 are not conjugate in G , there is an involution w in $C_G(v) \cap H$

by (3.1). By (6.3) no element of $H-T$ is conjugate to x_4 . Hence if w is conjugate to x_4 , then w is in T , and w is the required conjugate. Assume that w is conjugate to x_3 . Since $w \in Q^h$ for some $h \in H$, it follows from (6.3) that $w \in T^{zh}$ for some $x \in C_G(x_4)$. Since $C_G(w)$ is conjugate to H , the above argument yields that $v \in T^{zh}$, and v centralizes x_4^{zh} which lies in T .

To show the uniqueness suppose that v centralizes two distinct conjugates e and f of x_4 which lie in T . By using (4.16) and (4.17) we can easily see that $C_G(e) \cap C_G(f)$ is 2-closed with Sylow 2-group T . This implies that v is contained in T , which is a contradiction.

(6.11) Denote n_1 by t . Then $\langle t, s \rangle$ is a dihedral group of order 8 where $(ts)^4 = 1$.

Proof. By (6.5)(i) the involutions x_4 and x_2 are the only conjugates of x_4 contained in T which are centralized by K . Moreover $x_2 = x_4^t$.

Let x be any element of $N_G(K)$. Then x_4^x is centralized by K . Hence if $x_4^x \in T$, then either $x_4^x = x_4$ or $x_4^x = x_2$. Assume that $x_4^x \notin T$. By (6.10) there is a unique conjugate v of x_4 contained in T which is centralized by x_4^x . By uniqueness v is centralized by K , and either $v = x_4$ or $v = x_2$. If $v = x_4$, then x_4^x is contained in either T^s or T^{sx_2} , and either $x_4^x = x_2^s$ or $x_4^x = x_2^{sx_2} = s$. Note that $(sx_2)^3 = 1$. Hence if $v = x_2$, then either $x_4^x = x_2^{st}$ or $x_4^x = s^t$. On the other hand, it follows from (6.3)(ii) and (6.5)(i) that $C_G(x_2) \cap C_G(x_4) = TK$.

From the above argument it follows that the conjugation defines a permutation representation of $N_G(K)$ on six letters whose kernel is K . Suppose that s transforms x_2^{st} into s^t . Then an easy computation yields that $(sx_2)^3$ transforms x_2^{st} into s^t , which contradicts (6.8). Hence s transforms x_2^{st} into x_2^{st} , and we can easily see that $(ts)^4 \in K$. Since s centralizes K and inverts $(ts)^4$ it follows that $(ts)^4 = 1$. Now the assertion is proved.

(6.12) The group G is the union of the following eight cosets:

$$B, BsU_2, BtW, BstU_4W, BtsU_2U_3, BstsT, BtstD, BststS,$$

where $B = N_G(S)$.

Proof. Let x be any element of G . By using (6.10) and (6.3)(ii) we consider the possibilities for x_4^x . The details are in [3].

(6.13) Each of the double cosets of (6.12) is of the form BdS_d , where $d \in \langle t, s \rangle$ and S_d is a complement of $S \cap S^d$ in S .

Proof. The proof is the same as that in [3].

(6.14) Each element of G is expressible in just one way in the form $x = bdy$ where $b \in B$, $d \in \langle t, s \rangle$ and $y \in S_d$.

Proof. We can show that the eight double cosets of (6.12) decompose G as the union of the double cosets with respect to B . This yields the assertion. For details see [3].

(6.15) The group G is isomorphic to $U_4(2)$.

Proof. Define a map from $U_4(2)$ into G which sends

$$\begin{array}{lll} x_1(\alpha)x_2(\beta)x_3(\gamma)x_4(\delta) & \text{into} & x_3(\alpha)^s x_2(\beta)x_3(\gamma)x_4(\delta) \\ h(\mu) & \text{into} & k(\mu), \\ n_1 & \text{into} & n_1, \text{ and} \\ n_2 & \text{into} & s. \end{array}$$

By (6.8), (6.9), (6.11), (6.14) and (2.1)–(2.6) it is easy to see that this map is a homomorphism of $U_4(2)$ onto G . Since $U_4(2)$ is simple, this map is an isomorphism of $U_4(2)$ onto G .

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