

## SOME REMARKS CONCERNING PERMUTATIONS ON SYMMETRY CLASSES

By M. H. Lim

### 1. Introduction

Let  $F$  be a field,  $G$  a subgroup of the symmetric group  $S_m$ , and  $\chi : G \rightarrow F$  be a character of degree 1. Let  $V_1, \dots, V_m$  be finite dimensional vector spaces over  $F$  such that  $V_i = V_{\sigma(i)}$  for  $i=1, \dots, m$  and for all  $\sigma \in G$ . Let  $W$  be any vector space over  $F$ . An  $m$ -multilinear mapping  $f : \prod_{i=1}^m V_i \rightarrow W$  is said to be *symmetric* with respect to  $G$  and  $\chi$  if

$$f(x_{\sigma(1)}, \dots, x_{\sigma(m)}) = \chi(\sigma) f(x_1, \dots, x_m)$$

for any  $\sigma \in G$  and arbitrary  $x_i \in V_i$ . A pair  $(P, \mu)$  consisting of a vector space  $P$  over  $F$  and an  $m$ -multilinear function  $\mu : \prod_{i=1}^m V_i \rightarrow P$ , symmetric with respect to  $G$  and  $\chi$ , is a *symmetry class of tensors* over  $V_1, \dots, V_m$ , associated with  $G$  and  $\chi$  if the following universal factorization property is satisfied.

For any vector space  $U$  over  $F$  and any  $m$ -multilinear function  $g : \prod_{i=1}^m V_i \rightarrow U$ , symmetric with respect to  $G$  and  $\chi$ , there exists a unique linear mapping  $h : P \rightarrow U$  such that  $g = h\mu$ .

The symmetry class of tensors associated with  $G$  and  $\chi$  always exists and is unique up to vector space isomorphism (see [2], [4]). We denote such a space by  $(V_1, \dots, V_m)_\chi(G)$ . When  $V_1 = \dots = V_m = V$ , it is usually denoted by  $V_\chi^m(G)$  [2]. The decomposable element  $\mu(x_1, \dots, x_m)$ ,  $x_i \in V_i$ ,  $i=1, \dots, m$ , is denoted by  $x_1 * \dots * x_m$ .

Let  $T_i : V_i \rightarrow V_i$  be linear mappings such that  $T_i = T_{\sigma(i)}$  for  $i=1, \dots, m$  and all  $\sigma \in G$ . Then there exists a unique linear mapping  $K(T_1, \dots, T_m)$  on  $(V_1, \dots, V_m)_\chi(G)$  such that  $K(T_1, \dots, T_m)x_1 * \dots * x_m = T_1 x_1 * \dots * T_m x_m$ . If  $T_1, \dots, T_m$  are nonsingular and  $(V_1, \dots, V_m)_\chi(G) \neq \{0\}$  then clearly  $K(T_1, \dots, T_m)^{-1} = K(T_1^{-1}, \dots, T_m^{-1})$ . If  $T_1 = \dots = T_m = T$ ,  $K(T_1, \dots, T_m)$  is usually denoted by  $K(T)$  [2].

Let  $B_i = \{v_{i1}, \dots, v_{is_i}\}$  be bases of  $V_i$ ,  $1 \leq i \leq m$ , such that for each  $i$ ,

$$v_{ij_i} = v_{\sigma(i)j_i}$$

for all  $\sigma \in G$ ,  $1 \leq j_i \leq \dim V_i$ . Let  $\Gamma$  denote the set of all  $m$ -tuples  $\alpha = (\alpha_1, \dots, \alpha_m)$  where  $\alpha_i$  are positive integers such that  $1 \leq \alpha_i \leq \dim V_i$ ,  $i = 1, \dots, m$ . If  $\alpha \in \Gamma$ ,  $\sigma \in G$ , let  $\alpha^\sigma = (\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(m)})$ . The group  $G$  induces an equivalence relation  $\equiv$  on  $\Gamma$  as follows:

$$\alpha \equiv \beta \text{ if } \alpha^\sigma = \beta \text{ for some } \sigma \in G.$$

In each of the equivalence classes choose the  $m$ -tuple which is first in lexicographical order and let  $\Delta$  denote the resulting system of distinct representatives. For each  $\alpha \in \Delta$ , let

$$G_\alpha = \{\sigma \in G : \alpha^\sigma = \alpha\}$$

and let

$$\bar{\Delta} = \{\alpha \in \Delta : \chi(\sigma) = 1 \text{ for all } \sigma \in G_\alpha\}.$$

Let  $v_\alpha^* = v_{1\alpha_1}^* \dots v_{m\alpha_m}^*$ ,  $\alpha \in \bar{\Delta}$ . Then it can be shown that

$$B = \{v_\alpha^* : \alpha \in \bar{\Delta}\}$$

forms a basis of  $(V_1, \dots, V_m)_\chi(G)$  (see [1], [4]).

For each  $\omega \in \bar{\Delta}$ , let  $\delta(\omega)$  denote the number of distinct integers in  $\omega$ . Let  $p = \min\{\delta(\omega) : \omega \in \bar{\Delta}\}$ .

Let  $U$  be a finite dimensional vector space over  $F$ . A linear mapping  $g : U \rightarrow U$  is called a *generalized permutation* w.r.t. the basis  $u_1, \dots, u_n$  of  $U$  if  $g(u_i) = c_i u_{\phi(i)}$  for some  $\phi \in S_n$  and some non-zero scalars  $c_i$ .  $g$  is called a *permutation* w.r.t. the basis  $u_1, \dots, u_n$  if  $c_i = 1$  for all  $i$ .

Throughout this note we assume  $(V_1, \dots, V_m)_\chi(G) \neq \{0\}$  and let  $O_i$  be the orbit of  $G$  to which  $i$  belongs. Our purpose is to prove the following generalization of Theorem 3 in [2].

**THEOREM.** *Assume that  $\dim V_i > \min\{|O_i|, p\}$  for  $i = 1, \dots, m$  or  $\chi \equiv 1$ . Then  $K(T_1, \dots, T_m)$  on  $(V_1, \dots, V_m)_\chi(G)$  is a generalized permutation w.r.t. the basis  $B$  if and only if  $T_i$  is a generalized permutation w.r.t. the basis  $B_i$  for each  $i$ .*

**COROLLARY.** *Suppose that  $\chi \equiv 1$ . Then  $K(T_1, \dots, T_m)$  on  $(V_1, \dots, V_m)_\chi(G)$  is a permutation w.r.t. the basis  $B$  if and only if  $T_i = \lambda_i P_i$  where  $P_i$  is a permutation w.r.t. the basis  $B_i$  for each  $i$  and  $\prod_{i=1}^m \lambda_i = 1$ .*

Let  $v_1, \dots, v_n$  be an orthonormal basis of a unitary space  $V$ . In [2] Marcus and Minc proved that if  $\chi \equiv 1$  and  $\text{rank } T > m$ , then  $K(T)$  is a permutation on

$V_x^m(G)$  w.r.t. the orthonormal basis  $\left\{ \left( \frac{|G|}{|G_\alpha|} \right)^{\frac{1}{2}} v_{\alpha_1} * \dots * v_{\alpha_m} : \alpha \in \bar{d} \right\}$  implies that  $T = \lambda P$  where  $P$  is a permutation on  $V$  w.r.t. the orthonormal basis  $v_1, \dots, v_n$  and  $\lambda^m = 1$ . We remark that the hypothesis  $\text{rank } T > m$  can be dropped.

**2. Proof of the theorem**

We first prove following generalization of the lemma in [2]

LEMMA 1. *If  $x_1 * \dots * x_m = y_1 * \dots * y_m \neq 0$ , then for each orbit  $O$  of  $G$ ,  $\{x_i : i \in O\}$  and  $\{y_i : i \in O\}$  span the same subspace.*

PROOF. Suppose for some  $j \in O$ ,  $x_j \notin \langle y_i : i \in O \rangle$ , the subspace spanned by  $\{y_i : i \in O\}$ . Let  $T_j : V_j \rightarrow V_j$  be a linear mapping such that

$$T_j(x_j) = 0, \quad T_j|_{\langle x_i : i \in O \rangle} = \text{identity mapping.}$$

If  $k \in O$ , let  $T_k = T_j$ . If  $k \notin O$ , let  $T_k$  be the identity mapping on  $V_k$ . Then

$$K(T_1, \dots, T_m)x_1 * \dots * x_m = K(T_1, \dots, T_m)y_1 * \dots * y_m.$$

This implies that  $0 = y_1 * \dots * y_m$ , a contradiction. Therefore for any  $j \in O$ ,  $x_j \in \langle y_i : i \in O \rangle$ . Similarly

$$\langle y_i : i \in O \rangle \subset \langle x_i : i \in O \rangle.$$

Hence  $\langle y_i : i \in O \rangle = \langle x_i : i \in O \rangle$  and the lemma is proved.

LEMMA 2. *Let  $\omega \in \Delta$  such that  $v_\omega^* \neq 0$ . Let  $\eta_i$  be permutations on  $\{1, \dots, \dim V_i\}$  such that  $\eta_i = \eta_{\sigma(i)}$  for  $i = 1, \dots, m$  and for all  $\sigma \in G$ . Then  $(\eta_1(\omega_1), \dots, \eta_m(\omega_m)) \equiv \gamma$  for some  $\gamma \in \bar{d}$ .*

PROOF. Let  $\eta(\omega) = (\eta_1(\omega_1), \dots, \eta_m(\omega_m))$ . By the hypothesis on  $\eta_i$ , we see that there are nonsingular linear mappings  $f_i$  on  $V_i$  such that  $f_i = f_{\sigma(i)}$  for  $i = 1, \dots, m$  and all  $\sigma \in G$  and

$$f_i(v_{i\omega_i}) = v_{i\eta_i(\omega_i)}, \quad i = 1, \dots, m.$$

Since  $K(f_1, \dots, f_m)$  is nonsingular, it follows that

$$K(f_1, \dots, f_m)v_\omega^* = v_{\eta(\omega)}^* \neq 0.$$

If  $\eta(\omega) \equiv \alpha$  for some  $\alpha \in \Delta \setminus \bar{d}$  then by Lemma 6.1 [4]  $v_{\eta(\omega)}^* = 0$ , a contradiction.

Hence  $\eta(\omega) \equiv \gamma$  for some  $\gamma \in \bar{d}$ .

LEMMA 3. *If  $K(T_1, \dots, T_m)$  is nonsingular then  $T_i : V_i \rightarrow V_i$  is nonsingular for  $i = 1, \dots, m$ .*

PROOF. Suppose  $T_1(u_{11}) = 0$  for some nonzero vector  $u_{11}$  in  $V_1$ . For each  $i = 1, \dots, m$ , let  $D_i = \{u_{i1}, \dots, u_{is_i}\}$  be a basis of  $V_i$  such that  $u_{\sigma(i)j_i} = u_{ij_i}$  for all  $\sigma \in G$  where

$1 \leq j_i \leq \dim V_i$ . Since  $(V_1, \dots, V_m)_\chi(G) \neq \{0\}$ ,  $\bar{\Delta} \neq \phi$ . Let  $\alpha \in \bar{\Delta}$ . Then  $u_\alpha^* \neq 0$ . Let  $\eta_i$  be permutations on  $\{1, \dots, \dim V_i\}$  such that  $\eta_i = \eta_{\sigma(i)}$  for all  $i$  and all  $\sigma \in G$  and  $\eta_1(\alpha_1) = 1$ . By Lemma 2,  $\eta(\alpha) = (\eta_1(\alpha_1), \dots, \eta_m(\alpha_m)) \equiv \gamma$  for  $\gamma \in \bar{\Delta}$  and hence  $u_{\eta(\alpha)}^* \neq 0$ . However,

$$K(T_1, \dots, T_m)u_{\eta(\alpha)}^* = 0.$$

This contradicts the hypothesis that  $K(T_1, \dots, T_m)$  is nonsingular. Hence  $T_1$  is nonsingular. Similarly  $T_i$  is nonsingular for  $i \geq 2$ .

PROOF of the theorem (Necessity) Case (i) :  $\dim V_i > \min(|O_i|, p)$ . Let  $\theta$  be the permutation on  $\bar{\Delta}$  such that for each  $\alpha \in \bar{\Delta}$

$$K(T_1, \dots, T_m)v_\alpha^* = \lambda_\alpha v_{\theta(\alpha)}^*$$

for some nonzero scalar  $\lambda_\alpha$ . We shall show that for each  $1 \leq j \leq \dim V_1$ ,

$$T_1(v_{1j}) = \lambda_{1j} v_{1\phi(j)}$$

for some positive integer  $\phi(j)$ . Let  $\omega \in \bar{\Delta}$  such that  $\delta(\omega) = p$ . Then  $v_\omega^* \neq 0$ . Let  $|\{\omega_i : i \in O_1\}| = k$ . Then  $k \leq \min(|O_1|, p) < \dim V_1$ . For each  $2 \leq t \leq k+1$  we are able to choose permutations  $\eta_i^t$  on  $\{1, \dots, \dim V_i\}$  such that

$$(i) \eta_i^t = \eta_{\sigma(i)}^t \text{ for all } i \text{ and all } \sigma \in G$$

and

$$(ii) \{\eta_i^t(\omega_i) : i \in O_1\} = \{1, \dots, t, \dots, k+1\}.$$

Let  $\eta^t(\omega) = (\eta_1^t(\omega_1), \dots, \eta_m^t(\omega_m))$ . By Lemma 2,  $\eta^t(\omega) \equiv \gamma^t$  for some  $\gamma^t \in \bar{\Delta}$ . Clearly  $\{\eta_i^t(\omega_i) : i \in O_1\} = \{\gamma_i^t : i \in O_1\}$ . Since

$$K(T_1, \dots, T_m)v_{\gamma^t}^* = \lambda_{\gamma^t} v_{\theta(\gamma^t)}^* \neq 0$$

it follows from Lemma 1 that

$$\langle T_i(v_{i\gamma^t_i}) : i \in O_1 \rangle = \langle v_{i\theta(\gamma^t_i)} : i \in O_1 \rangle.$$

This implies that

$$\langle T_1(v_{11}), \dots, \widehat{T_1(v_{1t})}, \dots, T_1(v_{1(k+1)}) \rangle = \langle v_{i\theta(\gamma^t_i)} : i \in O_1 \rangle.$$

Hence

$$\bigcap_{t=2}^{k+1} \langle T_1(v_{11}), \dots, \widehat{T_1(v_{1t})}, \dots, T_1(v_{1(k+1)}) \rangle = \langle v_{i\theta(\gamma^t_i)} : i \in O_1 \rangle.$$

Since  $T_1$  is nonsingular (Lemma 3),  $T_1(v_{11}), \dots, T_1(v_{1(k+1)})$  are linearly independent. Hence the left hand of the above equality is  $\langle T_1(v_{11}) \rangle$ . This shows that

$$\langle T_1(v_{11}) \rangle = \langle v_{1\phi(1)} \rangle,$$

for some integer  $\phi(1)$ . Similarly  $\langle T_1(v_{1j}) \rangle = \langle v_{1\phi(j)} \rangle$  for some integer  $\phi(j)$ .

Since  $T_1$  is nonsingular,  $T_1$  is a generalized permutation w.r.t. the basis  $B_1$ .

Similarly  $T_i$  is a generalized permutation w.r.t. the basis  $B_i$  for  $i \geq 2$ .

Case (ii) :  $\chi \equiv 1$ . Since  $\chi \equiv 1$ , for each  $\gamma \in \Gamma$ ,  $v_\gamma^* \in B$ . For each  $1 \leq t \leq \dim V_1$ , let  $\omega^t = (\omega_1^t, \dots, \omega_m^t)$  such that  $\omega_i^t = t$  if  $i \in O_1$ ,  $\omega_j^t = 1$  if  $j \notin O_1$ . Since  $v_{\omega^t}^* \in B$ , it follows that

$$K(T_1, \dots, T_m)v_{\omega^t}^* = \lambda_{\omega^t} v_{\alpha^t}^*,$$

for some  $\lambda_{\omega^t} \in F$  and some  $\alpha^t \in \bar{A}$ . By Lemma 1,

$$\begin{aligned} \langle T_1(v_{1\omega^t}) : i \in O_1 \rangle &= \langle v_{1\alpha^t} : i \in O_1 \rangle \\ &= \langle T_1(v_{1t}) \rangle. \end{aligned}$$

Hence  $\langle T_1(v_{1t}) \rangle = \langle v_{1\phi(t)} \rangle$  for some integer  $\phi(t)$ . This implies that  $T_1$  is a generalized permutation w.r.t. the basis  $B_1$ . Similarly  $T_i$  is a generalized permutation w.r.t. the basis  $B_i$  for  $i \geq 2$ .

(Sufficiency). Suppose that for each  $i=1, \dots, m$ , there is a permutation  $\theta_i$  on  $\{1, \dots, \dim V_i\}$  such that

$$T_i(v_{ij_i}) = \lambda_{ij_i} v_{i\theta_i(j_i)}, \quad 1 \leq j_i \leq \dim V_i$$

for some nonzero scalars  $\lambda_{ij_i}$ . Then for  $\omega \in \bar{A}$ ,

$$K(T_1, \dots, T_m)v_\omega^* = \prod_{i=1}^m \lambda_{i\omega_i} v_{1\theta_i(\omega_i)}^* \dots v_{m\theta_m(\omega_m)}^* \neq 0.$$

Hence Lemma 2 implies that  $(\theta_1(\omega_1), \dots, \theta_m(\omega_m)) = \gamma^\sigma$  for some  $\gamma \in \bar{A}$  and some  $\sigma \in G$ . Hence

$$K(T_1, \dots, T_m)v_\omega^* = \left( \prod_{i=1}^m \lambda_{i\omega_i} \right) \chi(\sigma) v_\gamma^*.$$

Since  $K(T_1, \dots, T_m)$  is nonsingular it is then clear that  $K(T_1, \dots, T_m)$  is a generalized permutation w.r.t. the basis  $B$ . This proves the sufficiency.

PROOF of the corollary. The sufficiency is trivial. We prove the necessity. In view of the theorem, for each  $i$ , there exists  $\phi_i \in S_{\dim V_i}$  such that

$$T_i(v_{ij_i}) = c_{ij_i} v_{i\phi_i(j_i)}, \quad 1 \leq j_i \leq \dim V_i,$$

for some nonzero scalars  $c_{ij_i}$ . For each  $1 \leq t \leq \dim V_1$ ,

$$K(T_1, \dots, T_m)v_{1t}^* v_{21}^* \dots v_{m1}^* = \lambda_{1t} \lambda_{21} \dots \lambda_{m1} v_{1\phi_1(t)}^* v_{2\phi_2(1)}^* \dots v_{m\phi_m(1)}^*.$$

Since  $v_{1\phi_1(t)}^* \dots v_{m\phi_m(1)}^* \in B$ , it follows that

$$\lambda_{1t} \lambda_{21} \dots \lambda_{m1} = 1.$$

Hence  $\lambda_{11} = \lambda_{1t}$  for any  $1 \leq t \leq \dim V_1$ . This proves that  $T_1 = \lambda_{11} P_1$  where  $P_1$  is a permutation w.r.t. the basis  $B_1$ . Similarly we can show that  $T_i = \lambda_i P_i$  for some scalar  $\lambda_i$  and some permutation  $P_i$  w.r.t. the basis  $B_i$ ,  $i \geq 2$ . Clearly  $\prod_{i=1}^m \lambda_i = 1$ .

This completes the proof.

University of Malaya,  
Kuala Lumpur,  
Malaysia

#### REFERENCES

- [1] Marvin Marcus and Henryk Minc, *Generalized matrix functions*, Trans. Amer. Math. Soc. 116 (1965), 316—329.
- [2] M. Marcus and H. Minc, *Permutations on symmetry classes*, J. Algebra 5 (1967), 59—71.
- [3] Russell Merris and Stephen Pierce, *Elementary divisors of higher degree associated transformations*, (to appear).
- [4] K. Singh, *On the vanishing of a pure product in a  $(G, \sigma)$  space*, Can. J. Math. 22 (1970), 361—371.