

## LINEARLY SEMISTRATIFIABLE SPACES

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### 0. Introduction.

In this paper, a class of spaces, called linearly semistratifiable spaces, is introduced. Linearly stratifiable spaces and semistratifiable spaces are linearly semistratifiable. Many properties of linearly stratifiable spaces and of semistratifiable spaces can be shared with linearly semistratifiable spaces.

In Section 1, characterizations of linearly semistratifiable spaces are given. From these it is proved that a space is semistratifiable if and only if it has a  $\sigma$ -cushioned pair-net. In Section 2, properties of linearly semistratifiable spaces are investigated. The main results are: If  $X$  is a space semistratifiable over  $\alpha$ , then

- A. Every open set in  $X$  is an  $F_\alpha$ -set,
- B. Every subspace of  $X$  is semistratifiable over  $\alpha$ ,
- C.  $X$  is  $F_\alpha$ -screenable and
- D. Every closed continuous image of  $X$  is semistratifiable over  $\alpha$ .

It is also proved that a space is semistratifiable over  $\alpha$  if and only if it is dominated by a collection of closed subsets, each of which is semistratifiable over  $\alpha$ . In Section 3, product theorems are proved. In Section 4, it is shown that in a space which is semistratifiable over  $\alpha$ , the following are equivalent:  $\alpha$ -Lindelöf property, hereditarily  $\alpha$ -separability and the property that every subset with cardinality greater than  $\alpha$  has a limit point. In the final section related examples are given.

In this paper, no separation axioms are preassumed. We denote the closure of a subset  $A$  of a topological space by  $cl A$ . All undefined terms and symbols are as in [7].

### 1. Definitions and characterizations.

DEFINITION 1.1. An ordinal number  $\alpha$  is called an *initial ordinal* number provided for every ordinal  $\beta < \alpha$ , there exists an injection from  $\beta$  to  $\alpha$ , but there does not exist an injection from  $\alpha$  to  $\beta$ . We assume that cardinal numbers and initial ordinal numbers are the same. Let  $\omega$  stand for the first infinite ordinal, and  $\Omega$  for the first uncountable ordinal.

DEFINITION 1.2. Let  $(X, \tau)$  be a topological space and  $\alpha$  be an initial ordinal not less than  $\omega$ . The space  $X$  is said to be *semistratifiable over  $\alpha$*  or *linearly semistratifiable* provided that there exists a map  $S: \alpha \times \tau \rightarrow \{\text{closed subsets of } X\}$  (called an  $\alpha$ -*semistratification*) which satisfies the following

$$\text{LSS}_1: \text{ For every } U \in \tau, U = \bigcup \{S(\beta, U) : \beta < \alpha\}.$$

LSS<sub>2</sub>: If  $U, V \in \tau$  and  $U \subset V$ , then  $S(\beta, U) \subset S(\beta, V)$  for all  $\beta < \alpha$ .

LSS<sub>3</sub>: If  $\gamma < \beta < \alpha$ , then  $S(\gamma, U) \subset S(\beta, U)$  for all  $U \in \tau$ .

We will denote  $S(\beta, U)$  by  $U_\beta$  unless any confusion occur.

DEFINITION 1.3. A space  $X$  is said to be  $\alpha$ -semistratifiable provided  $\alpha$  is the smallest initial ordinal for which  $X$  is semistratifiable over  $\alpha$ . A space which is semistratifiable over  $\omega$  is said to be *semistratifiable*, and the map  $S$  is called a *semistratification*.

In the case of semistratifiable space, our definition above agrees with that of Creede [5] because if  $S$  is a semistratification which satisfies LSS<sub>1</sub> and LSS<sub>2</sub>, then there is another semistratification which satisfies all three conditions LSS<sub>1</sub>, LSS<sub>2</sub> and LSS<sub>3</sub>. Example 5.4 shows that this is not true in general for  $\alpha > \omega$ .

DEFINITION 1.4. ([5], Creede) Let  $\mathcal{P}$  be collection of ordered pairs  $P = (P_1, P_2)$  of subsets of  $X$  with  $P_1 \subset P_2$  for all  $P \in \mathcal{P}$ .  $\mathcal{P}$  is said to be *cushioned* if for every  $\mathcal{P}' \subset \mathcal{P}$ ,

$$cl(\cup \{P_1: P \in \mathcal{P}'\}) \subset \cup \{P_2: P \in \mathcal{P}'\}.$$

$\mathcal{P}$  is  $\sigma$ -*cushioned* if it is a union of countably many cushioned subcollections.

DEFINITION 1.5. ([11], Vaughan) A collection  $\mathcal{P}$  of pairs  $P = (P_1, P_2)$  of subsets of a space  $X$  is said to be *linearly cushioned collection of pairs with respect to a linear order  $\leq$*  provided  $\leq$  is a linear order on  $\mathcal{P}$  such that

$$cl(\cup \{P_1: P = (P_1, P_2) \in \mathcal{P}'\}) \subset \cup \{P_2: P = (P_1, P_2) \in \mathcal{P}'\}$$

for every subset  $\mathcal{P}'$  of  $\mathcal{P}$  which is majorized with respect to  $\leq$ .

DEFINITION 1.6. A collection  $\mathcal{P}$  of pairs  $P = (P_1, P_2)$  of subsets of a space  $(X, \tau)$  is called a *pair-net* provided that for every  $x$  in  $X$  and every open  $U$  containing  $x$ , there exists a  $P = (P_1, P_2) \in \mathcal{P}$  such that  $x \in P_1 \subset P_2 \subset U$ .

THEOREM 1.7. *If  $(X, \tau)$  is a space and  $\alpha$  an infinite initial ordinal, then the following are equivalent:*

- (1)  $X$  is semistratifiable over  $\alpha$ .
- (2)  $X$  has a linearly cushioned pair-net  $\mathcal{P}$  with which  $\alpha$  is confinal.
- (3) There is a function  $g$  from  $\alpha \times X$  into  $\tau$  such that (a) for each  $x \in X$ ,  $x \in \cap \{g(\beta, x): \beta < \alpha\}$ ; (b) if  $x \in g(\beta, x_\beta)$  for each  $\beta < \alpha$ , then the net  $\{x_\beta: \beta < \alpha\}$  accumulates at  $x$ ; and (c) if  $\gamma < \beta < \alpha$ , then  $g(\gamma, x) \supset g(\beta, x)$  for every  $x \in X$ .
- (4) There is a function  $g$  from  $\alpha \times X$  into  $\tau$  such that (a) for each  $x \in X$ ,  $\cap \{g(\beta, x): \beta < \alpha\} = cl\{x\}$ ; (b) if  $x \in g(\beta, x_\beta)$  for each  $\beta < \alpha$ , then the net  $\{x_\beta: \beta < \alpha\}$  converges to  $x$ ; and (c) if  $\gamma < \beta < \alpha$ , then  $g(\gamma, x) \supset g(\beta, x)$  for every  $x \in X$ .

*Proof.* (1)  $\implies$  (2). Let  $S$  be an  $\alpha$ -semistratification for  $X$ . Let any well-order be given on  $\tau$ . Define

$$\mathcal{P} = \{(U_\beta, U): (\beta, U) \in \alpha \times \tau\}$$

where  $\alpha \times \tau$  denotes the product set  $\alpha \times \tau$  with the lexicographic order.

For any  $U \in \tau$  containing  $x$ , there exists a  $\beta < \alpha$  such that  $x$  belongs to  $U_\beta$  by LSS<sub>1</sub>. Thus,  $x \in U_\beta \subset U = U$ . This shows that  $\mathcal{P}$  is a pair-net for  $(X, \tau)$ .

To show that  $\mathcal{P}$  is linearly cushioned, let  $\mathcal{P}'$  be a subclass of  $\mathcal{P}$  with an upper

bound  $(U_\beta, U)$ . For any  $V$  such that  $(V_\gamma, V) \in \mathcal{D}'$  for some  $\gamma < \alpha$ ,  $V_\beta$  is contained in the closed set  $(\cup \{W: (W_\gamma, W) \in \mathcal{D}' \text{ for some } \gamma\})_\beta$ . Thus,

$$cl(\cup \{V_\beta: (V_\gamma, V) \in \mathcal{D}'\}) \subset (\cup \{V: (V_\gamma, V) \in \mathcal{D}'\})_\beta.$$

Consequently it follows that

$$\begin{aligned} cl(\cup \{V_\gamma: (V_\gamma, V) \in \mathcal{D}'\}) &\subset cl(\cup \{V_\beta: (V_\gamma, V) \in \mathcal{D}'\}) \\ &\subset (\cup \{V: (V_\gamma, V) \in \mathcal{D}'\})_\beta \\ &\subset \cup \{V: (V_\gamma, V) \in \mathcal{D}'\}. \end{aligned}$$

Since  $\alpha$  is an infinite initial ordinal,  $\alpha$  is clearly cofinal with  $\mathcal{D}$ . This completes the proof.

(2)  $\implies$  (3). Let  $\mathcal{D}$  be a linearly cushioned pair-net for  $X$ , and  $\alpha$  cofinal with  $\mathcal{D}$ . There is a subclass  $\mathcal{D}' = \{P_\beta: \beta < \alpha\}$  such that for every  $P \in \mathcal{D}$  there is a  $\beta < \alpha$  such that  $P \subseteq P_\beta$ .

For each  $x$  in  $X$  and each  $\beta < \alpha$ , define

$$g(\beta, x) = X - cl(\cup \{P_1: x \in P_2 \text{ and } P = (P_1, P_2) \subseteq P_\beta\}).$$

Clearly (a) and (c) hold. To show (b) holds, assume  $x$  is not a cluster point of a net  $\{x_\beta: \beta < \alpha\}$ . There is a neighborhood  $V$  of  $x$  such that  $\{x_\beta: \beta < \alpha\}$  is not frequently in  $V$ . Since  $\alpha$  is well-ordered, there is a  $\gamma_0 < \alpha$  such that  $x_\gamma \notin V$  for any  $\gamma \geq \gamma_0$ . So  $x \in cl(\{x_\gamma: \gamma_0 \leq \gamma < \alpha\})$ . This means that there is a  $P = (P_1, P_2)$  in  $\mathcal{D}$  such that  $x \in P_1 \subset P_2 \subset X - cl(\{x_\gamma: \gamma_0 \leq \gamma < \alpha\})$ . Let  $\beta < \alpha$  be such that  $P \subseteq P_\beta \in \mathcal{D}'$ . Then

$$x \in cl(\cup \{P_1: x_\gamma \in P_2 \text{ and } P \subseteq P_\beta\})$$

for all  $\gamma \geq \gamma_0$ . That is,

$$x \in g(\beta, x_\gamma) \text{ for all } \gamma \geq \gamma_0.$$

Take  $\delta = \max \{\beta, \gamma_0\}$ . Then  $x \in g(\delta, x_\delta)$ .

(3)  $\implies$  (4). Let  $g$  be a map as is described in (3). (a) Let  $y \in \cap \{g(\beta, x): \beta < \alpha\}$ . Then  $y \in \cap \{g(\beta, x_\beta): \beta < \alpha\}$  with  $x_\beta = x$  for all  $\beta < \alpha$ . By (b),  $y$  is a cluster point of the net  $\{x, x, x, \dots\}$ . This means  $y \in cl\{x\}$ .

Conversely, assume  $y \in cl\{x\}$ . For each  $\beta < \alpha$ ,  $g(\beta, y) \cap \{x\} \neq \emptyset$  since  $g(\beta, y)$  is a neighborhood of  $y$ . This implies that  $x \in \cap \{g(\beta, y): \beta < \alpha\}$ . As in the above proof,  $y \in cl\{x\}$ . Again this means that  $g(\beta, x) \cap \{y\} \neq \emptyset$  for all  $\beta < \alpha$ , which is equivalent to  $y \in \cap \{g(\beta, x): \beta < \alpha\}$ .

(b) Let  $x \in g(\beta, x_\beta)$  for all  $\beta < \alpha$  and assume that  $\{x_\beta: \beta < \alpha\}$  does not converge to  $x$ . Since  $\{x_\beta: \beta < \alpha\}$  accumulates at  $x$ , there exist a neighborhood  $W$  of  $x$  and a cofinal subset  $D$  of  $\alpha$  such that  $\{x_\gamma: \gamma \in D\} \cap W = \emptyset$ . Define a new net as follows: If  $\beta \in D$ , then let  $y_\beta = x_\beta$ . If  $\beta \in \alpha - D$ , let  $y_\beta = x_\gamma$ , where  $\gamma$  is the first element of  $D$  which follows  $\beta$ .

Then  $x \in g(\beta, y_\beta)$  for all  $\beta < \alpha$  since  $g(\beta, y_\beta)$  is either  $g(\beta, x_\beta)$  or  $g(\beta, x_\gamma)$  with  $\beta < \gamma$ . But  $g(\beta, x_\gamma) \supset g(\gamma, x_\gamma)$ ; in either case,  $g(\beta, y_\beta)$  contains  $x$ . Thus,  $x$  is a cluster point of  $\{y_\beta: \beta < \alpha\}$ , and hence is a cluster point of  $\{x_\gamma: \gamma \in D\}$ , which is a contradiction. This completes the proof.

(4)  $\implies$  (1). Let  $g$  be a map as is described in (4). Define a map  $S: \alpha \times \tau \rightarrow \{\text{closed subsets of } X\}$  by

$$S(\beta, U) = X - \cup \{g(\beta, x): x \in X - U\}.$$

$S(\beta, U) \subset X - (X - U) = U$  since  $x \in g(\beta, x)$  for all  $\beta < \alpha$ .

Conversely, assume  $x \notin \bigcup \{S(\beta, U) : \beta < \alpha\}$ . Then  $x \in \bigcup \{g(\beta, y) : y \in X - U\}$  for all  $\beta < \alpha$ . This implies there is an  $y_\beta \in X - U$  such that  $x \in g(\beta, y_\beta)$  for each  $\beta < \alpha$ . Thus  $\{y_\beta : \beta < \alpha\}$  satisfies the condition (b) of (4), and hence converges to  $x$ . Since  $X - U$  is closed, we have  $x \in cl(\{y_\beta : \beta < \alpha\}) \subset X - U$ . Thus the proof is completed.

The following corollary is another characterization of semistratifiable spaces by means of  $\sigma$ -cushioned pair-net.

**COROLLARY 1.8.** *A necessary and sufficient condition for a space to be semistratifiable is that the space has a  $\sigma$ -cushioned pair-net.*

*Proof.* By the remark following the definition 1.3,  $X$  is semistratifiable if and only if it is semistratifiable over  $\omega$ . The theorem 1.7 shows that  $X$  has a linearly cushioned pair-net  $\mathcal{D}$  with which  $\omega$  is cofinal. Let  $i$  be the embedding of  $\omega$  into  $\mathcal{D}$  and  $i(n) = P_n$ . Let

$$\mathcal{D}_n = \{P \in \mathcal{D} : P_n \leq P < P_{n+1}\}$$

for each positive integer  $n$ . Since each  $\mathcal{D}_n$  is majorized by  $P_{n+1}$ ,  $\mathcal{D}_n$  is cushioned.

Conversely, it is easily verified that a  $\sigma$ -cushioned collection is linearly cushioned. This completes the proof.

Linearly semistratifiability is a generalization of both semistratifiability and linearly stratifiability ([11]). As the example 5.2 shows, there exist linearly semistratifiable spaces which fail to be semistratifiable or linearly stratifiable.

The next characterization justifies the terminology *linearly semistratifiable*.

**PROPOSITION 1.9.** *Let  $(X, \tau)$  be a space.  $X$  is linearly semistratifiable iff there is a linearly ordered set  $A$  and a map  $S: A \times \tau \rightarrow \{\text{closed subsets of } X\}$  which satisfies  $LSS_1$ ,  $LSS_2$  and  $LSS_3$ .*

We omit the proof (see [11], Proposition 2.9). As in the case of linearly stratifiable spaces, if  $X$  is  $\alpha$ -semistratifiable, then  $\alpha$  is a regular (i. e., there exists no strictly smaller ordinal which is cofinal with  $\alpha$ ) initial ordinal.

The following is a generalization of Vaughan's result, which is useful to make examples.

**PROPOSITION 1.10.** *If  $(X, \tau)$  is a  $T_1$ -space which is semistratifiable over a regular infinite initial ordinal  $\alpha$ , then every subset  $F$  whose cardinality is strictly less than  $\alpha$  is a closed discrete subspace.*

## 2. Properties of linearly semistratifiable spaces.

We shall now give some results for linearly semistratifiable spaces which can be easily extended from the analogous results for semistratifiable spaces and linearly stratifiable spaces.

**DEFINITION 2.1.** Let  $m$  be an infinite cardinal number. A subset of a space  $X$  is called an  $F_m$ -set if it is a union of a collection  $\mathcal{C}$  of closed subsets with the cardinality of  $\mathcal{C}$  less than or equal to  $m$ . An  $F_\infty$ -set is called an  $F_\sigma$ -set as usual.

THEOREM 2.2. *Every open set in a space which is semistratifiable over  $\alpha$  is an  $F_\alpha$ -set.*

THEOREM 2.3. *Any subspace of a space which is semistratifiable over  $\alpha$  is semistratifiable over  $\alpha$ .*

*Proof.* Let  $S$  be an  $\alpha$ -semistratification of  $X$ , and  $Y$  be a subspace of  $X$ . Define

$$S': \alpha \times \tau_Y \longrightarrow \{\text{closed subsets of } Y\}$$

by the restriction of  $S$  to  $\tau_Y$ -open subsets of  $X$ . It is easily verified that  $S'$  is an  $\alpha$ -semistratification for  $Y$ .

DEFINITION 2.4. Let  $\alpha$  be an infinite initial ordinal. A space  $X$  is said to be  $F_\alpha$ -screenable iff every open cover of  $X$  has an  $\alpha$ -discrete closed refinement. An  $F_\omega$ -screenable space is said to be  $F_\sigma$ -screenable (or *subparacompact*, [3]).

J. G. Ceder [4] proved that stratifiable spaces are paracompact, and J. E. Vaughan [11] generalized this proposition to linearly stratifiable spaces. On the other hand, G. D. Creede [5] showed that semistratifiable spaces are subparacompact. The present author do not know whether linearly semistratifiable spaces are subparacompact or not. We prove only the following

THEOREM 2.5. *A space which is semistratifiable over  $\alpha$  is  $F_\alpha$ -screenable (hence, is hereditarily  $F_\alpha$ -screenable).*

*Proof.* Let  $S$  be an  $\alpha$ -semistratification for  $X$  and let an open cover  $\mathcal{U}$  of  $X$  be given. Give an well-order  $\cong$  on  $\mathcal{U} = \{U_t : t \in I\}$ . We will construct an  $\alpha$ -discrete closed refinement  $\mathcal{H}$  by transfinite construction. For any  $\beta < \alpha$ , define

$$\begin{aligned} H_{1\beta} &= S(\beta, U_1), \\ H_{t\beta} &= S(\beta, U_t) - \cup \{U_s : s \in I \text{ and } s < t\} \end{aligned}$$

and let  $\mathcal{H}_\beta = \{H_{t\beta} : t \in I\}$ .

To show that each  $\mathcal{H}_\beta$  is discrete, let  $V_1 = U_1$  and

$$V_t = U_t - S(\beta, \cup \{U_s : s < t\}).$$

Then  $H_{t\beta} \subset V_t$  for each  $t \in I$ . Moreover, each  $V_t$  meets exactly one member of  $\mathcal{H}_\beta$ , say  $H_{t\beta}$ .

For any  $x$  in  $X$ , let  $t$  be the first element of  $I$  such that  $x \in U_t$ . Since  $U_t = \cup \{S(\beta, U_t) : \beta < \alpha\}$ , there is a  $\beta < \alpha$  such that  $x \in S(\beta, U_t)$ . Then  $x \in H_{t\beta}$ . That is,  $\mathcal{H} = \cup \{\mathcal{H}_\beta : \beta < \alpha\}$  covers  $X$ .

THEOREM 2.6. *Closed continuous image of a space which is semistratifiable over  $\alpha$  is semistratifiable over  $\alpha$ .*

*Proof.* Let  $f$  be a closed continuous surjection from  $X$  to  $Y$  and let  $S$  be an  $\alpha$ -semistratification for  $X$ . Define

$$T: \alpha \times \tau_Y \longrightarrow \{\text{closed subsets of } Y\}$$

by  $T(\beta, V) = f(S(\beta, f^{-1}(V)))$ . Then  $T$  is an  $\alpha$ -semistratification for  $Y$ .

LEMMA 2.7. *Let  $X$  be semistratifiable over  $\alpha$  and  $Y$  be a closed subspace of  $X$  with an  $\alpha$ -semistratification  $S$ . Then there is an  $\alpha$ -semistratification  $T$  for  $X$  such that*

$$S(\beta, V \cap Y) = T(\beta, V) \cap Y$$

for every  $\beta < \alpha$  and every open (in  $X$ )  $V$ .

*Proof.* Let  $S'$  be any  $\alpha$ -semistratification for  $X$ . Define an  $\alpha$ -semistratification  $T$  for  $X$  as follows:

$$T(\beta, V) = S(\beta, V \cap Y) \cup S'(\beta, V - Y).$$

Now it is clear that  $T$  satisfies all the desired conditions.

LEMMA 2.8. *The union of two closed (in the union) subspaces which are semistratifiable over  $\alpha$  is also semistratifiable over  $\alpha$ .*

*Proof.* Apply Lemma 2.7 with respect to the common subspace.

COROLLARY 2.9. (Creede) *The union of two closed (in the union) semistratifiable spaces is semistratifiable.*

DEFINITION 2.10. (Michael) Let  $X$  be a space and  $\mathcal{B}$  a collection of closed subsets of  $X$ . Then  $\mathcal{B}$  *dominates*  $X$  if, whenever  $A \subset X$  has a closed intersection with every element of some subcollection  $\mathcal{B}_1$  of  $\mathcal{B}$  which covers  $A$ ,  $A$  is closed.

THEOREM 2.11. *A space is semistratifiable over  $\alpha$  iff it is dominated by a collection of closed subsets, each of which is semistratifiable over  $\alpha$ .*

*Proof.* Let  $\mathcal{B}$  be a dominating collection of subsets of  $X$ , each of which is semistratifiable over  $\alpha$ . Consider the class  $G$  of all pairs of the form  $(\mathcal{O}_t, S_t)$ , where  $\mathcal{O}_t \subset \mathcal{B}$  and  $S_t$  is an  $\alpha$ -semistratification for  $C_t = \bigcup \{B : B \in \mathcal{O}_t\}$  which will be denoted by  $S_t(\beta, V) = V_{t\beta}$  ( $V$  relatively open in  $C_t$ ). We partially order  $G$  by letting  $(\mathcal{O}_s, S_s) \leq (\mathcal{O}_t, S_t)$  whenever  $\mathcal{O}_s \subset \mathcal{O}_t$  and, for each relatively open  $U$  in  $C_s$ ,  $U_{t\beta} \cap C_s = (U \cap C_s)_{s\beta}$  for all  $\beta < \alpha$ .

We now show that any simply ordered subfamily  $\{(\mathcal{O}_s, S_s) : s \in A\}$  of  $G$  has an upper bound  $(\mathcal{O}_t, S_t) = (\bigcup \{\mathcal{O}_s : s \in A\}, \bigcup \{S_s : s \in A\})$ . For each relatively open  $U$  in  $C_t$  and for all  $\beta < \alpha$ , let

$$S_t(\beta, U) = U_{t\beta} = \bigcup_{s \in A} (U \cap C_s)_{s\beta}.$$

For each  $t' \in A$ ,

$$\begin{aligned} U_{t\beta} \cap C_{t'} &= \bigcup_{s \in A} (U \cap C_s)_{s\beta} \cap C_{t'} \\ &= \bigcup_{s \in A} \{(U \cap C_s)_{s\beta} \cap C_{t'}\} = (U \cap C_{t'})_{t'\beta}. \end{aligned}$$

Thus,  $U_{t\beta} \cap C_{t'}$  is closed in  $C_{t'}$  for every  $t' \in A$ , which implies that  $U_{t\beta}$  is closed in  $X$  since  $\mathcal{B}$  is a dominating collection. Moreover,  $S_t$  is an  $\alpha$ -semistratification for  $C_t$ . Consequently,  $(\mathcal{O}_t, S_t)$  is an upper bound of  $\{(\mathcal{O}_s, S_s) : s \in A\}$ .

Let  $(\mathcal{O}_0, S_0)$  be a maximal element of  $G$  which exists by Zorn's Lemma. To complete the proof we need only to show that  $\mathcal{O}_0 = \mathcal{B}$ . Suppose not. Then there exists an  $E \in \mathcal{B} - \mathcal{O}_0$ . Let  $\mathcal{O}_1 = \mathcal{O}_0 \cup \{E\}$ . Now  $C_0$  and  $E$  are closed subspaces semistratifiable over  $\alpha$ , and hence  $C_1$  is semistratifiable over  $\alpha$  by Lemma 2.8. Thus, by Lemma 2.7, one may obtain an  $\alpha$ -semistratification  $S_1$  of  $C_1$  such that

$$U_{1\beta} \cap C_0 = (U \cap C_0)_{0\beta}$$

for all  $\beta < \alpha$ . Consequently  $(\mathcal{O}_0, S_0) < (\mathcal{O}_1, S_1)$ , contradicting the maximality of  $(\mathcal{O}_0, S_0)$ . Hence  $\mathcal{O}_0 = \mathcal{B}$  and  $X$  is semistratifiable over  $\alpha$ .

The following is a generalization of Creede's result.

COROLLARY 2.12. *A space is semistratifiable iff it is dominated by a collection of semistratifiable subsets.*

### 3. Products.

In [5] G.D. Creede proved that a countable product of semistratifiable spaces is semistratifiable. In this section, we shall prove that a finite product of spaces semistratifiable over the same  $\alpha$  is again semistratifiable over  $\alpha$ . But Example 5.3 shows that if  $\alpha > \omega$ , then a countable product of spaces semistratifiable over  $\alpha$  need not be linearly semistratifiable.

LEMMA 3.1. (Vaughan) *Let  $\alpha$  be an infinite initial ordinal number, and let  $\{A_\lambda: \lambda \in \Lambda\}$  be a family of linearly ordered sets such that  $\alpha$  has cardinality greater than that of  $\Lambda$ , and  $\alpha$  is cofinal with  $A_\lambda$  for all  $\lambda \in \Lambda$ . If  $\Lambda$  is finite or  $\alpha$  is a regular ordinal, then  $A = \prod \{A_\lambda: \lambda \in \Lambda\}$  can be well-ordered so that for every majorized  $H \subset A$  we have  $Pr_\lambda(H)$  is majorized in  $A_\lambda$  for all  $\lambda \in \Lambda$ , and  $\alpha$  is cofinal in  $A$ . Further, if  $\alpha$  is the smallest initial ordinal cofinal with each  $A_\lambda$ , then  $\alpha$  is the smallest initial ordinal cofinal with  $A$ .*

Modifying the proof of theorem 5.2 of [11] we get the following

THEOREM 3.2. *Let  $\alpha$  be an initial ordinal number  $\geq \omega$ . Let  $X_i$  be semistratifiable over  $\alpha$  for each  $i < \omega$ . Then the following hold;*

- A.  *$\prod \{X_i: i \leq n\}$  is semistratifiable over  $\alpha$  for all  $n < \omega$ .*
- B. *If each  $X_i$  is  $\alpha$ -semistratifiable, then  $\prod \{X_i: i \leq n\}$  is  $\alpha$ -semistratifiable for each  $n < \omega$ .*
- C. (Creede) *If each  $X_i$  is semistratifiable, then  $\prod \{X_i: i < \omega\}$  is semistratifiable.*
- D. *If each  $X_\lambda$  is semistratifiable over the regular initial ordinal  $\alpha$  for all  $\lambda \in \Lambda$  and  $\alpha$  is strictly larger than the cardinality of  $\Lambda$ , then  $\prod \{X_\lambda: \lambda \in \Lambda\}$  with the box topology ([7], 3V) is semistratifiable over  $\alpha$ .*

### 4. Generalizations of Lindelöf property, separability and $\aleph_1$ -compactness.

DEFINITION 4.1. ([8] Lutzer and Bennett, [5] Creede) Let  $m$  denote an infinite cardinal number. A space  $X$  is *m-separable* if  $X$  contains a dense subset having cardinality  $\leq m$ ;  $X$  is *m-Lindelöf* if every open cover of  $X$  has a subcover with cardinality  $\leq m$ . A space is  *$\aleph_1$ -compact* if every uncountable subset has a limit point.

LEMMA 4.2. *Let  $\alpha$  be an infinite initial ordinal (=infinite cardinal), and  $X$  be a space in which every open set is an  $F_\alpha$ -set (see 2.1). If  $X$  is  $\alpha$ -Lindelöf,  $X$  is hereditarily  $\alpha$ -Lindelöf.*

*Proof.* Let  $Y$  be a subspace of  $X$ , and let an open cover  $\mathcal{U}$  of  $Y$  be given. Since  $V = \bigcup \{U: U \in \mathcal{U}\}$  is open in  $X$ ,  $V$  is an  $F_\alpha$ -set. Hence there exist closed sets  $\{A_\beta: \beta < \alpha\}$  such that  $V = \bigcup \{A_\beta: \beta < \alpha\}$ .

For each  $\beta < \alpha$ ,  $\{X - A_\beta\} \cup \mathcal{U}$  is an open cover of  $X$ . By  $\alpha$ -Lindelöf property of  $X$ , there is a subfamily  $\mathcal{U}_\beta$  of  $\mathcal{U}$  with cardinality  $\leq \alpha$  such that  $\{X - A_\beta\} \cup \mathcal{U}_\beta$  covers  $X$ . Let  $\mathcal{O} = \bigcup \mathcal{U}_\beta$ . Then  $\mathcal{O}$  is a subfamily of  $\mathcal{U}$  with cardinality  $\leq \alpha$  which covers  $Y$ .

THEOREM 4.3. In a  $T_1$ -space which is semistratifiable over  $\alpha$ , the following are equivalent;

- (1)  $X$  is  $\alpha$ -Lindelöf.
- (2)  $X$  is hereditarily  $\alpha$ -separable.
- (3) Every subset of  $X$  with cardinality  $> \alpha$  has a limit point.

*Proof.* (1)  $\implies$  (2). By Theorem 2.2 and Lemma 4.2,  $X$  is hereditarily  $\alpha$ -Lindelöf. It suffices to show that  $X$  is  $\alpha$ -separable. Let  $g: \alpha \times X \rightarrow \tau$  be a function satisfying the conditions of Theorem 1.6. (4). For each  $\beta < \alpha$ ,  $\{g(\beta, x): x \in X\}$  is an open cover of  $X$  and, since  $X$  is  $\alpha$ -Lindelöf, there is a subset  $D_\beta$  of  $X$  with cardinality  $\leq \alpha$  such that  $\{g(\beta, x): x \in D_\beta\}$  covers  $X$ . The set  $D = \cup \{D_\beta: \beta < \alpha\}$  has cardinality  $\leq \alpha$ . To show that  $D$  is dense in  $X$ , let  $x$  be any point of  $X$ . For each  $\beta < \alpha$ , there exists an  $x_\beta \in D_\beta$  such that  $x \in g(\beta, x_\beta)$ . By the condition on  $g$ , the net  $\{x_\beta: \beta < \alpha\}$  converges to  $x$ . Hence,  $x \in cl D$ .

(2)  $\implies$  (3). Assume there is a subset  $F$  with cardinality  $> \alpha$  which has no limit point. Each  $x$  in  $F$  has an open neighborhood  $U_x$  which meets  $F$  at exactly one point  $x$ . This implies that  $F$  is discrete (hence, is not  $\alpha$ -separable) subset of  $X$ .

(3)  $\implies$  (1). Let  $\mathcal{U}$  be an open cover of  $X$  and suppose that  $\mathcal{U}$  has no subcover with cardinality  $\leq \alpha$ . By Theorem 2.5,  $\mathcal{U}$  has an  $\alpha$ -discrete closed refinement  $\mathcal{F} = \cup \{\mathcal{F}_\beta: \beta < \alpha\}$ . Since  $\mathcal{U}$  has no subcover with cardinality  $\leq \alpha$ , there is a  $\gamma < \alpha$  such that  $\mathcal{F}_\gamma$  has cardinality  $> \alpha$ . Let  $X'$  be a subset of  $X$  consisting of exactly one point of each nonempty element of  $\mathcal{F}_\gamma$ . The set  $X'$  has cardinality  $> \alpha$  and has no limit point, since  $X'$  is discrete and  $X$  is a  $T_1$ -space.

When  $\alpha = \omega$ , we get the following

COROLLARY 4.4 (Creede) In a semistratifiable  $T_1$ -space  $X$ , the following are equivalent;

- (1)  $X$  is Lindelöf,
- (2)  $X$  is hereditarily separable and
- (3)  $X$  is  $\aleph_1$ -compact.

## 5. Examples.

EXAMPLE 5.1. There is a compact Hausdorff space which is not linearly semistratifiable. Let  $X = [0, \Omega]$  and give a topology as follows: Each point is isolated except  $\Omega$ , and the basic neighborhood of  $\Omega$  are co-finite subsets. Since any infinite set missing  $\Omega$  is not closed,  $X$  is not linearly semistratifiable by Proposition 1.10.

EXAMPLE 5.2. There exists a linearly semistratifiable space which is neither semistratifiable nor linearly stratifiable.

Let  $X$  be the space of example  $H$  described by Bing in [1]. This space is not linearly stratifiable since it is not paracompact, but it is linearly semistratifiable (in fact, it has a  $\sigma$ -discrete net-work). Let  $Y = [0, \Omega]$  and equip  $Y$  with the smallest topology larger than the order topology for which every point is isolated except  $\Omega$ . Then  $Y$  is an  $\Omega$ -stratifiable space, which is not semistratifiable since  $\Omega$  is not a  $G_\delta$ .

Now let  $Z$  be the topological sum of  $X$  and  $Y$ . Then  $Z$  is linearly semistratifiable by Lemma 2.8.  $Z$  is neither linearly stratifiable (see [11], Theorem 4.1. B) nor semistr-



atifiable (see [5], Theorem 2.2).

EXAMPLE 5.3. A countable product of  $\mathcal{Q}$ -stratifiable spaces need not be linearly semistratifiable. Let  $X_i$  be the space  $Y$  in Example 5.2 for each  $i < \omega$ . Since each  $X_i$  has isolated points,  $X = \prod \{X_i : i < \omega\}$  has convergent sequences, hence is not linearly semistratifiable by Proposition 1.10.

EXAMPLE 5.4. Every  $T_1$ -space  $(X, \tau)$  has a "semistratification map"  $S: \alpha \times \tau \rightarrow \{\text{closed subsets of } X\}$  which satisfies  $LSS_1$  and  $LSS_2$  of Definition 1.2. Take  $\alpha$  to be the cardinal number of  $X$ . Well-order  $X$  so that  $X = \{x_\beta : \beta < \alpha\}$  and define

$$S(\beta, U) = \begin{cases} \{x_\beta\}, & \text{if } x_\beta \in U, \\ \phi, & \text{otherwise.} \end{cases}$$

It is easy to see that  $S$  satisfies  $LSS_1$  and  $LSS_2$ . Now if this map  $S$  also satisfies  $LSS_3$ , then  $X$  would be linearly semistratifiable. This is impossible (see Example 5.1.).

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