

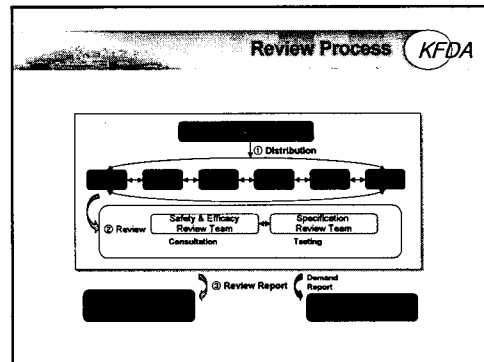
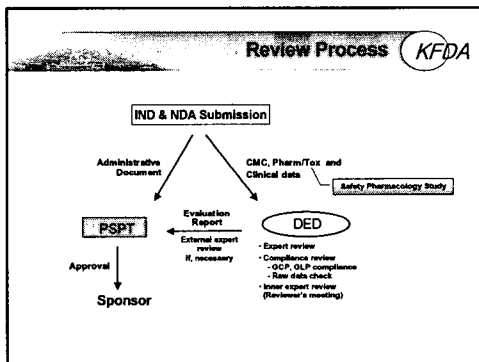
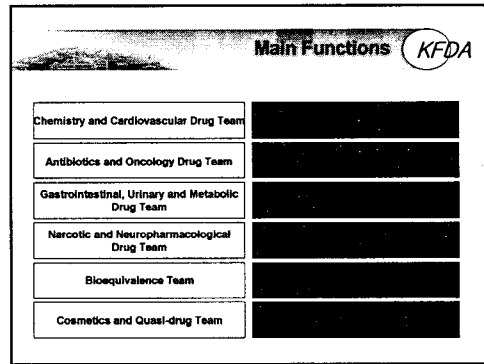
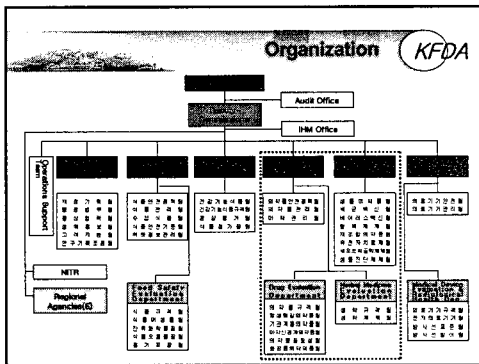
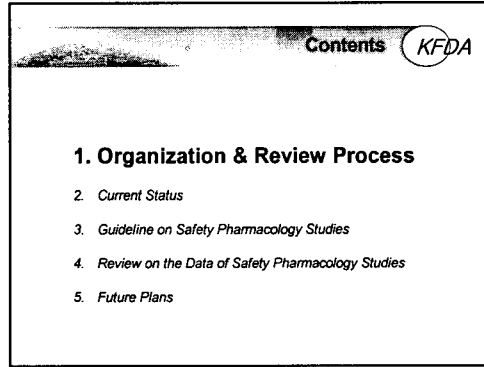
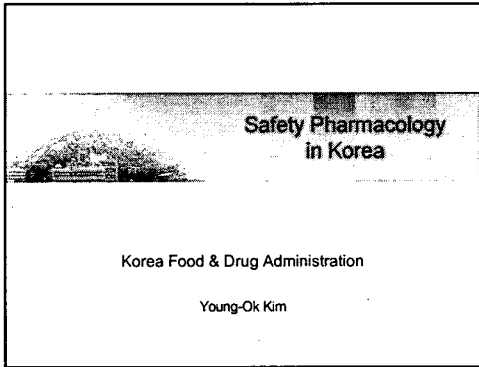
**[S-9]**

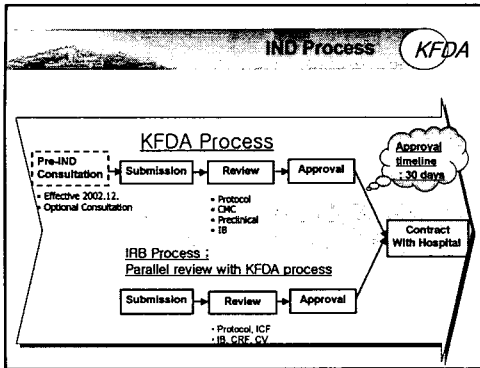
**Safety Pharmacology Studies in Korea**

Young-Ok Kim, Ph.D.

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Drug Evaluation Department Pharmaceutical Headquarter  
Korea Food & Drug Administration*

The Korea Food & Drug Administration (KFDA) has been reorganized on September 30, 2005 to maximize its specialty and efficiency. We, Pharmaceutical Headquarter, one of the six Headquarters in KFDA, review IND and NDA documents for approval of manufactured or imported drugs. Recently, we notified the revised draft of "Regulation for review of safety and efficacy of drugs". In the revised draft, the safety pharmacology study is added in the animal pharmacology, although we have reviewed the safety pharmacology data before the notification of revised draft of regulation. Until now, we reviewed the safety pharmacology data according to the ICH guidelines because we don't have our own guidelines. So, In 2003, the National Institute of Toxicological Research (NITR) have started study to establish the draft of the guidelines on safety pharmacology and it will be notified after a while. We will issue the certificate to the GLP facilities for safety pharmacology study item, after revising of the "Regulation for review of safety and efficacy of drugs" and "KFDA GLP guidelines" and enacting the "Guidelines on safety pharmacology".






- Current Status** KFDA
1. Organization & Review Process
  - 2. Current Status**
  3. Guideline on Safety Pharmacology Studies
  4. Review on the Data of Safety Pharmacology Studies
  5. Future Plans

- Current Status** KFDA
- Regulations
    - 1997. 7. 11 : Guideline on General Pharmacology Studies  
(Korea Food and Drug Safety Headquarter Notification 1997-2)
    - 1998. 5. 29 : Guideline on General Pharmacology Studies  
(KFDA Notification 1998-2)

- Current Status** KFDA
- Draft of Guideline
    - 2003-2004 : Study on Safety Pharmacology Studies  
(NITR)
    - 2004 : Guidance on Safety Pharmacology Studies


- Current Status** KFDA
- Now : Review
    - Nothing is about Safety Pharmacology Studies in the regulations for IND /NDA
    - ICH guideline (S7A)

- Current Status** KFDA
1. Organization & Review Process
  2. Current Status
  - 3. Guideline on Safety Pharmacology Studies**
  4. Review on the Data of Safety Pharmacology Studies
  5. Future Plans

Guideline 


**Safety Pharmacology**

- Non-Clinical study
- Dose : More than therapeutic dose levels
- Evaluation : Potential Undesirable Pharmacodynamic Effects
- GLP
- Test systems

Guideline 


Contents : 15 Articles

|  |  |
|--|--|
| <ul style="list-style-type: none"> <li>- Objectives</li> <li>- Definition</li> <li>- Scope</li> <li>- General Principle</li> <li>- Subject of Evaluation</li> <li>- General Consideration</li> <li>- Test System</li> <li>- Dose Levels</li> </ul> | <ul style="list-style-type: none"> <li>- Duration of Studies</li> <li>- Test Substance</li> <li>- Safety Pharmacology Core Battery</li> <li>- Follow-up &amp; Supplemental Safety Pharmacology Studies</li> <li>- Exemption</li> <li>- Timing</li> <li>- Application of GLP</li> </ul> |
|--|--|

Guideline 


**Objective of Guideline**

- Enforcement Regulation of the Pharmaceutical Affairs Act Article 27
- Protecting trial subjects & patients from adverse drug reaction
- Protecting too much using of Animals & Resources
  - General Principle, Test Method, etc. (Confidence)
  - Safety Evaluation of Pharmaceutical products

Guideline 


**Definition**

Safety pharmacology studies are defined as those studies that investigate the potential undesirable pharmacodynamic effects of a substance on physiological functions in relation to exposure in the therapeutic range and above.

Guideline 


**Scope of Guideline**

1. New Chemical Entities
2. Biotechnology-derived products for human use
3. Marked pharmaceuticals
  - Adverse clinical events
  - New Patient population or New Route of Administration

Guideline 


**General Principle**

1. Rational Methods
  - Internationally recognized methods
  - Scientifically valid methods
2. Safety Pharmacology Studies, Design
  - Properties of test substances, Intended Use
3. New technologies & methodologies : Scientific principle
4. Others
  - Toxicology, Kinetic, Clinical studies

Guideline 

**Objective of Safety Pharmacology Studies**


1. To identify undesirable pharmacodynamic properties of a substance that may have relevance to it's human safety
2. To evaluate adverse pharmacodynamic and/or pathophysiological effects of a substance observed in toxicology and/or clinical studies
3. To investigate the mechanism of the adverse pharmacodynamic effects observed and/or suspected

Guideline 

**General Cosiderations in Selection & Design**

1. Effects related to the vary depending on the specific properties of each test substance(mechanism of action may suggest specific adverse effects)
2. Adverse effects associated with members of the chemical or therapeutic class
3. Ligand binding or enzyme assay data suggesting a potential for adverse effects
4. Results from safety pharmacology studies, secondary pharmacodynamic studies, toxicity studies, or from human use

※ Insufficient information during early development : more general approach

Guideline 


**Test Systems**

1. General Considerations
  - Pharmacodynamic responsiveness of Model, Pharmacokinetic Profile
  - Species, strain, gender, age of the experimental animals,
  - Susceptibility, Sensitivity, reproducibility of test systems
  - Background data on the substance
  - Data from humans(*In vitro* metabolism)
2. Time points

**Pharmacodynamic & Pharmacokinetic consideration**

3. Test Systems

*In vivo, ex vivo, In vitro* model (rational reason for selection)

Guideline 

**Test Systems**


*Ex vivo* Isolated organs, Tissues, Cell cultures, Cellular fragments, Receptors  
Subcellular organelis, Ion Channels, Transporters, Enzymes

*In vitro* Supportive studies

- Profile of the activity of the substance
- Investigating the mechanism of effects observed *In vivo*


*In vivo* Unanesthetized animals(avoidance of discomfort or pain)

- Telemetry etc.

Guideline 

**Test Systems**

- Sample size :
  - Biologically significant effects
  - Size of the biological effects
- Control Group : Negative & Positive control
  - Well characterized *In vivo* test system : Positive control(X)
  - Exclusion of controls : Justification
- Route of Administration : expected clinical route, more than one route
- Dose levels : Dose-Response(onset & duration of response), highest tested dose, limit dose

Guideline 

**Duration of Studies**

- Single dose toxicity
- Rational duration
  - Pharmacodynamic effects (after certain duration of treatment)
  - Pharmacodynamic effects (only repeated dose toxicity)
  - Safety Pharmacological effect

Guideline **KFDA**

**Test Substance**

1. Parent compound, Major metabolites
  - a. Major metabolites : parent compound
  - b. Evaluation of influence of metabolites
  - c. Active metabolites
  - d. Metabolites (*In vitro*)
2. Isomers
 

Individual Isomers (*In vitro* or *In vivo* test)
3. Finished Products
 

Formulations that substantially alter the PK and/or PD

Guideline **KFDA**

**Safety Pharmacology Studies**

**Safety Pharmacology Core Battery**  
: to investigate the effects of the test substance on vital function

**Follow up Study for Safety Pharmacology Core Battery**  
: to provide a greater depth of understanding than that provided by the core battery on vital function

**Supplemental Safety Pharmacology Studies**  
: to evaluate potential adverse pharmacodynamic effects on organ system functions

Guideline **KFDA**

**Exemption**

1. Local Applied Agents :  
Pharmacological Characterization,  
Low Systemic exposure or distribution (Dermal, Ocular)
2. Cytotoxic Agents :  
Treatment of end-stage cancer patients (exception of New mechanism)
3. Biotechnology-Derived Products : Specific receptor  
Sufficient evaluation of toxicity or pharmacodynamics (Safety pharmacology)  
(if novel therapeutic class and/or not specificity of receptor)
4. New Salt : New Salt having similar PK and PD

Guideline **KFDA**

**Timing**

- Safety Pharmacology Core Battery :
  - Prior to First Administration in Human
  - Adequate Follow-up Study & Supplemental Study
  - Toxicity Data (Evaluation of Safety Pharmacology)
- Follow-up Study :
  - During Clinical Development
  - To clarify adverse effects in animals & humans
- Safety Pharmacology Studies :
  - Before Approval
  - Adequate reason if not necessary


Guideline **KFDA**

**Application of GLP**

- GLP Compliance
  - Safety Pharmacology Studies (USA, Japan, EU)
  - Safety Pharmacology Core Battery
  - Safety Pharmacology Studies (Toxicity)
  - Follow-up & Supplemental Safety Pharmacology Studies
- GLP Non-compliance
  - Impossibility of GLP Compliance (specialty of test system)  
: to ensure the confidence by the documents, adequate reason
  - Primary & Secondary Pharmacodynamic Study  
(Influence on evaluation of adverse drug reaction: GLP Compliance)


Guideline **KFDA**

1. Organization & Review Process
2. Current Status
3. Guideline on Safety Pharmacology Studies
4. Review on the Data of Safety Pharmacology Studies
5. Future Plans

ICH 

**2.6.2.4 Safety Pharmacology**


In some cases, secondary pharmacodynamic studies can contribute to the safety evaluation when they predict or assess potential adverse effect(s) in humans. In such cases, these secondary pharmacodynamic studies should be considered along with safety pharmacology studies.

ICH 

The Nonclinical Tabulated Summaries – Templates


**2.6.3 Pharmacology**

- 2.6.3.1 Pharmacology: Overview
- 2.6.3.2 Primary Pharmacodynamics
- 2.6.3.3 Secondary Pharmacodynamics
- 2.6.3.4 Safety Pharmacology
- 2.6.3.5 Pharmacodynamic Drug Interactions

ICH 


**2.6.3.1 Pharmacology**

| Type of Study                      | Overview    |                          | Test Article:    |              |                       |
|------------------------------------|-------------|--------------------------|------------------|--------------|-----------------------|
|                                    | Test System | Method of Administration | Testing Facility | Study Number | Location Vol. Section |
| Primary Pharmacodynamics           |             |                          |                  |              |                       |
| Secondary Pharmacodynamics         |             |                          |                  |              |                       |
| Safety Pharmacology                |             |                          |                  |              |                       |
| Pharmacodynamics Drug Interactions |             |                          |                  |              |                       |

ICH 


**2.6.3.4 Safety Pharmacology**

| Organ Systems Evaluated | Species/ Strain | Method of Admin. | Doses (mg/kg) | Gender and No. Per Group | Noteworthy Findings | Test Article:  |              |
|-------------------------|-----------------|------------------|---------------|--------------------------|---------------------|----------------|--------------|
|                         |                 |                  |               |                          |                     | GLP Compliance | Study Number |
|                         |                 |                  |               |                          |                     |                |              |

Review 

**일반약리시험자료 요약서**

| 시험목적            | 시험방법 | 시험일 | 시험장소 | 결과 | 비고 |
|-----------------|------|-----|------|----|----|
| 일반약리 시험: 일반     | 시험   |     |      |    |    |
| 중독성 시험: 일반-사별중독 |      |     |      |    |    |
| 중독성 시험: 일반-수면   |      |     |      |    |    |
| 중독성 시험: 일반-운동조각 |      |     |      |    |    |
| 중독성 시험: 일반-운동   |      |     |      |    |    |
| 중독성 시험: 일반-운동   |      |     |      |    |    |
| 중독성 시험: 일반-비교   |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |
| 중독성 시험: 일반      |      |     |      |    |    |

KFDA 

1. Organization & Review Process
2. Current Status
3. Guideline on Safety Pharmacology Studies
4. Review on the Data of Safety Pharmacology Studies

**5. Future Plans**

Future Plans **KFDA**

**1. 2005 : Revising the regulations**  
 (Guideline on Safety Pharmacology Studies)

- Regulation for Review of Safety & Efficacy of Drugs
- GLP Guideline
- Regulation for clinical study approval

**2. 2006 : To certify the test facilities**

Future Plans **KFDA**

**Revision of GLP Guideline**

비밀상시험기관운영규정(제3조관련)

**I. 총 칙**  
 1. 목적  
 비밀상시험기준(Good Laboratory Practice, GLP)은.....

**2. 범위**  
 비밀상시험기관운영은.....비밀상시험은 단위투여독성시험, 반복투여독성시험, 유전독성시험, 생식·발생독성시험, 발암성시험, 안전성약리시험, 기타 독성시험 및 일부수탁시험 등에 적용된다.

Future Plans **KFDA**

**Revision of GLP Guideline**

1. 목적

2. 지정상시험의 종류  
 고사 제도의 규정명..... 적용하여야 한다.  
 (1) 지정상시험기관의 시설준비내역서  
 (2) 주·시험계획서 작성내역서  
 지정상시험 목적시 시험항목은 다음과 같다.

가. 신약개발독성시험  
 - 생리해독성 시험항목 분리해서 지정, - 흡입독성시험 지정의 경우, 별도 기술

나. 반복투여독성시험  
 - 발암성시험 포함항목은 분리해서 지정, - 흡입독성시험 지정의 경우, 별도 기술

다. 유전독성시험  
 - 계통종간변형시험, - 유전자독성시험, - 소독시험, - 기타

라. 생식·발생독성시험  
 - 수정능 및 초기배양시험, - 임신전후발생 및포배시험, - 태동지발생시험

마. 발암시험

바. 안전성약리시험  
 - 중추신경계에 대한 영향 평가시험  
 - 신장신경계에 대한 영향 평가시험  
 - 중추근육에 대한 영향 평가시험 - 기타

사. 기타독성시험  
 - 환경독성시험, - 면역독성시험, - 국소독성시험, - 의존성시험, - 공독성시험  
 - 공중독성시험 등

아. 일부 수탁시험 - 일반 및 포자생리학적 시험, - 독성생태 시험, - 기타

Future Plans **KFDA**

**Revision of GLP Guideline**

| 비밀상시험기관지정내역서 |       | 제정일자  |
|--------------|-------|-------|
| 구분           | 항목    | 항목    |
| 1. 시설        | 시험실   | 시험실   |
| 2. 인력        | 시험관   | 시험관   |
| 3. 장비        | 시험장비  | 시험장비  |
| 4. 시험계획서     | 시험계획서 | 시험계획서 |
| 5. 시험항목      | 시험항목  | 시험항목  |
| 6. 시험결과      | 시험결과  | 시험결과  |
| 7. 기타        | 기타    | 기타    |

Future Plans **KFDA**

**Regulation for Review of Safety & Efficacy of Drugs**

제5조(의약품등의 제출자료의 범위) ①.....

**5. 약리작용에 관한 자료**

가. 효역시험자료

나. 일반약리시험자료 또는 안전성약리시험자료

다. 흡수, 분포, 대사 및 배설시험자료

Future Plans **KFDA**

**Regulation for Review of Safety & Efficacy of Drugs**

제6조(제출자료의 요건) ①.....

**5. 약리작용에 관한 자료**

가. (현행과 같음)

나. 시험방법 (현행과 같음)

1) 효역시험자료 : 생리대상 효능을 포함한 효력을 뒷받침하는 약리작용에 관한 시험자료로서 효과 발현의 작용 기전이 포함된 자료

2) 약리시험자료

가) 일반약리시험자료 : (현행과 같음)

나) 안전성약리시험자료 : 의약품의 치료용량 범위 또는 그 이상의 용량으로 노출시험을 경우 생리적 기능에 나타날 수 있는 비합리하지 않은 잠재적 약리학의 효과를 평가하기 위한 자료

3) 흡수·분포·대사 및 배설시험자료 : (현행과 같음)



Future Plans **KFDA**

**Regulation for Review of Safety & Efficacy of Drugs**

제7조(제출자료의 면제) ①.....

② 2개국 이상에서 판매되는 의약품의 경우에는 특정시험자료를 면제할 수 있으며, 실용적 경우에는 일반락리시험자료 또는 안전성락리시험자료를

중수·분포·대사·배설에 관한 자료를 추가로 면제할 수 있다

Future Plans **KFDA**

(별첨 1) 약제학적, 화학, 약리·독성학자료의 면제

| 구분                               | 요청 자료 |   |   |   |   |   |   |   |   |    |    |    |    |    |
|----------------------------------|-------|---|---|---|---|---|---|---|---|----|----|----|----|----|
|                                  | 1     | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 1. 성분명, 제조방법, 제조일자(1~10년)        | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 2. 제조방법, 제조일자(11~15년), 제조사, 제조사명 | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 3. 제조사, 제조사명, 제조사명, 제조사명         | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 4. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 5. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 6. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 7. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 8. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 9. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 10. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 11. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 12. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 13. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 14. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |

약제학상에 관한 자료  
안전성락리시험자료

Future Plans **KFDA**

(별첨 2) 약제학적, 화학, 약리·독성학자료의 면제

| 구분                               | 요청 자료 |   |   |   |   |   |   |   |   |    |    |    |    |    |
|----------------------------------|-------|---|---|---|---|---|---|---|---|----|----|----|----|----|
|                                  | 1     | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 1. 성분명, 제조방법, 제조일자(1~10년)        | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 2. 제조방법, 제조일자(11~15년), 제조사, 제조사명 | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 3. 제조사, 제조사명, 제조사명, 제조사명         | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 4. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 5. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 6. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 7. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 8. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 9. 제조사, 제조사명, 제조사명               | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 10. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 11. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 12. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 13. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |
| 14. 제조사, 제조사명, 제조사명              | ○     | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○  | ○  | ○  | ○  | ○  |

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제8조(제출자료의 면제) ①.....

② 2개국 이상에서 판매되는 의약품의 경우에는 특정시험자료를 면제할 수 있으며, 실용적 경우에는 일반락리시험자료 또는 안전성락리시험자료를

중수·분포·대사·배설에 관한 자료를 추가로 면제할 수 있다

Future Plans **KFDA**

**Regulation for clinical study approval**

제4조(제출자료의 면제) 임상시험을 실시하고자 하는 자는 임상시험계획 승인을 위하여 다음 각호의 자료를 제출하여야 하며, 임상시험계획의 특성에 따라 제출하여야 하는 자료의 면제는 별표의 같다. 다만, 임상시험계획 변경승인을 신청하는 경우에는 변경에 필요한 해당자료만을 제출한다.

1. 개발계획
2. 서론
3. 구조·기능, 용기·용량 및 생물학적성질에 관한 자료(비약용성)
4. 임상시험승인에 관한 자료
  - 가. 목적 및 방법학 또는 안전성락리시험자료
  - 나. 중수·분포·대사, 배설에 관한 자료
  - 다. 독성에 관한 자료
  - (1) 신형투여특성시험자료
  - (2) 반복투여특성시험자료
  - (3) 유전독성시험자료
  - (4) 생식독성시험자료
  - (5) 발암성시험자료
  - (6) 시형용량독성에 따른 기타특성시험자료(국소독성, 의중성, 항원성 및 면역독성 등)
5. 임상시험승인에 관한 자료(제출가능한 경우)
6. 임상시험계획서
7. 근거자료목록
8. 임상시험자료집

Safety Pharmacology in Korea

# Thank You

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