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# Analysis of Sequences Generated by 90/150 maximum-length NBCA<sup>1)</sup>

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최대길이를 갖는 90/150 NBCA에 의해서 생성되는 수열의 분석†

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## ABSTRACT

In this paper, we analyze Pseudo-Noise (PN) sequences generated by a 90/150 maximum-length Null Boundary Cellular Automata.

## 요 약

본 논문에서는 90/150 NBCA에 의해서 생성되는 PN 수열을 분석한다.

## Keyword

Cellular Automata, Pseudo-Noise sequences, primitive polynomials, ranges, offsets, reciprocal polynomials, characteristic polynomials.

## I . Introduction

Cellular Automata(CA) was first introduced by Von Neumann [1] for modeling biological self-reproduction. Wolfram [2] pioneered the investigation of CA as mathematical models for self-organizing statistical systems and suggested the use of a simple two-state, three-neighborhood CA with cells arranged linearly in one dimension. Das et al. [3] developed a matrix algebraic tool capable of characterizing CA. CA have been employed in several applications ([4], [5], [6]). Cho et al. ([7], [8], [9], [10], [11]) analyzed CA to study hash function, data

storage, cryptography and so on.

In this paper, we analyze PN sequences generated by a 90/150 maximum-length Null Boundary CA(NBCA).

## II . Definitions and Preliminaries

Definition 2.1 [10] A CA is called a group CA if  $\det(T) = 1$ , where  $T$  is the characteristic matrix for the CA.

Group CA can be classified into maximum- and minimum-length CA. An  $n$ -cell maximum-length CA is characterized by the presence of a cycle of length  $(2^n - 1)$  with all nonzero states. Moreover, the characteristic

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polynomial of such a CA is primitive. A primitive polynomial  $p(x)$  of degree  $n$  is an irreducible polynomial such that  $\min\{m: p(x)|x^m + 1\} = 2^n - 1$ .

Definition 2.2 [13]  $f(x) = 1 + c_1x + \dots + c_{n-1}x^{n-1} + x^n$  be an  $n$ -degree primitive polynomial, where  $c_i \in \{0, 1\}$ . Then  $f(x)$  generates a periodic sequence whose period is  $2^n - 1$ . This sequence is called a Pseudo-Noise (PN) sequence.

Definition 2.3 Consider an  $n$ -degree primitive polynomial  $f(x) = 1 + c_1x + \dots + c_{n-1}x^{n-1} + x^n$ , where  $c_i \in \{0, 1\}$ . Let

$f^*(x) = x^n f(\frac{1}{x})$ . Then  $f^*(x)$  is called the reciprocal polynomial of  $f(x)$ .

Definition 2.4 A CA is said to be a Null Boundary CA(NBCA) if the left (right) neighborhood of the leftmost (rightmost) terminal cell is connected to logic 0-state.

### III. Analysis of PN Sequences Generated by a 90/150 NBCA

In this section, a few theoretical results have been developed based on matrices consisting of PN sequences as their columns. And we give the relationship between  $O_1$  and  $O_2$ , where  $O_1$  and  $O_2$  are the minimum offsets for an  $n$ -degree primitive polynomial and its reciprocal polynomial, respectively.

Consider an  $n$ -degree primitive polynomial  $f(x) = 1 + c_1x + \dots + c_{n-1}x^{n-1} + x^n$ , where  $c_i \in \{0, 1\}$ .  $f(x)$  generates a periodic sequence whose period is  $2^n - 1$ . This sequence is a PN sequence. Since  $f(x)$  is primitive, the reciprocal polynomial  $f^*(x)$  of  $f(x)$  is also an  $n$ -degree primitive polynomial. And thus the period of the sequence generated by  $f^*(x)$  is  $2^n - 1$ .

Definition 3.1 In the Galois field  $F_2 = \{0, 1\}$  let the sequence  $\{s_i\}$  satisfy the homogeneous linear recurrence relation

$$s_{t+n} = c_0s_t + c_1s_{t+1} + \dots + c_{n-1}s_{t+n-1} \quad (t=0, 1, 2, \dots), (c_0, c_1, \dots, c_{n-1} \in F_2)$$

Then  $f(x)$  is said to be the characteristic

polynomial of  $\{s_i\}$ .

Let  $\mathcal{Q}(f(x))$  be the set of all sequences  $\{s_i\}$  which have  $f(x)$  as the characteristic polynomial. Thus

$$\mathcal{Q}(f(x)) = \left\{ s_i | s_{t+n} = \sum_{i=0}^{n-1} c_i s_{t+i}, t=0, 1, 2, \dots \right\}$$

Given an arbitrary sequence  $s_0, s_1, \dots$  of elements of  $F_2$ , we associate with it its generating function, which is a purely formal expression of the type

$$G(x) = s_0 + s_1x + s_2x^2 + \dots + s_nx^n + \dots = \sum_{i=0}^{\infty} s_i x^i \quad (*)$$

with an indeterminate  $x$ .

Lemma 3.2 [12] Let  $\{s_i\} \in \mathcal{Q}(f(x))$ , let  $f^*(x)$  be the reciprocal characteristic polynomial of  $f(x)$  and  $G(x)$  be its generating function in (\*). Then the identity

$$G(x) = \frac{g(x)}{f^*(x)}$$

hold with

$$g(x) = - \sum_{j=0}^{k-1} \sum_{i=0}^j c_{i+k-j} s_i x^j,$$

where we set  $c_k = -1$ .

The following theorem is very important to study PN sequences.

Theorem 3.3 Let  $f(x)$  is an  $n$ -degree primitive polynomial. Also let  $\{s_i\} \in \mathcal{Q}(f(x))$  and  $s(x) = s_0 + s_1x + \dots + s_{r-1}x^{r-1}$  where  $r = 2^n - 1$ . Let  $\{u_i\}$  be the cyclic sequence such that  $u(x) (= u_0 + u_1x + \dots + u_{r-1}x^{r-1}) = s^*(x)$ . Then  $\{u_i\} \in \mathcal{Q}(f^*(x))$ .

Consider a  $(2^n - 1) \times n$  matrix  $A$  consisting of  $n$  independent maximum-length sequences generated by an  $n$ -degree primitive polynomial as its columns. A matrix  $A$  corresponding to  $x^4 + x + 1$  is shown in Figure 1.(a). Any column of this matrix is a PN sequence generated by the CA  $C$  having  $x^4 + x + 1$  as its characteristic polynomial. In fact the rule of  $C$  is  $\langle 90, 150, 90, 150 \rangle$ . Thus the state-transition matrix  $T$  of  $C$  is

$$T = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}$$

Now, consider a  $(2^n - 1) \times (n - 1)$  matrix obtained by deleting only one of the columns of

A. Such a reduced matrix is referred to as matrix  $B$  [Figure 1.(b),(b')] in the subsequent discussions. Without loss of generality, let only the all-zeros  $(n-1)$ -tuple appear as the first row of  $B$ .

0001	000	0001	000
0011	001	0011	001
0110	011	0100	010
1011	101	1010	101
0010	001	1011	101
0101	010	1000	100
1101	110	1100	110
1001	100	0110	011
0111	011	1101	110
1000	100	0101	010
0100	010	1001	100
1110	111	1111	111
1111	111	0010	001
1100	110	0111	011
1010	101	1110	111
(a)	(b)	(a')	(b')

Figure 1 :  $A$  matrix (a) (resp. (a')) and  $B$  matrix (b) (resp. (b')) corresponding to  $x^4+x+1$  (resp.  $x^4+x^3+1$ )

Definition 3.4 [3] Range: The range of an  $(n-1)$ -tuple vector (say  $B_r, 0 \leq r \leq 2^n-2$ ) in a  $B$  matrix is defined as the minimum span in  $B$  (starting with  $B_r$ ) in which all of the  $(n-1)$ -tuple (including the all-zeros tuple) appear at least once. Offset: The distance  $r$  of an  $(n-1)$ -tuple (say  $B_r$ ) in a  $B$  matrix, in terms of the number of row vectors from the all-zeros  $(n-1)$ -tuple, is defined as the offset of the  $(n-1)$ -tuple.

The range and the offset of the 3-tuple row vector <110> in row 7 of the  $B$  matrix of Figure 1.(b) are 11 and 6, respectively.

Definition 3.5 [3] Minimum Range: minimum range of a  $B$  matrix is defined as the minimum of all the ranges associated with vectors in  $B$ . Minimum Offset: Minimum offset in a  $B$  matrix is defined as the offset of the particular  $(n-1)$ -tuple associated with the minimum range.

Lemma 3.6 [3] The minimum range and minimum offset remain invariant with respect to the choice of any  $B$  matrix generated out of the

$A$  matrix, corresponding to the same  $n$ -degree primitive polynomial.

Since  $rank(B) = n-1$ , we can reduce  $B$  to the following  $(2^n-1) \times (n-1)$  matrix by elementary column operation,

$$C = \begin{pmatrix} 0 \\ I_{n-1} \\ Q \end{pmatrix}$$

where  $0$  is the all-zero  $(n-1)$ -tuple,  $I_{n-1}$  is the  $(n-1) \times (n-1)$  identity matrix and  $Q$  is a  $(2^n-n-1) \times (n-1)$  nonzero matrix.

Theorem 3.7 Let  $T$  be the characteristic matrix of an  $n$ -cell 90/150 NBCA whose characteristic polynomial is an  $n$ -degree primitive polynomial  $f(x)$ . Then there exists  $p$  ( $1 \leq p \leq 2^n-2$ ) such that

$$I_n \oplus T = T^p$$

Corollary 3.8 Let  $T$  be the characteristic matrix of an  $n$ -cell 90/150 NBCA whose characteristic polynomial is an  $n$ -degree primitive polynomial. Then there exists  $k$  ( $1 \leq k \leq 2^n-2$ ) such that

$$T^k \oplus T^{k+1} = I_n$$

Corollary 3.9 Let  $T$  be the characteristic matrix of an  $n$ -cell 90/150 NBCA whose characteristic polynomial is an  $n$ -degree primitive polynomial. For nonzero states  $a, b$  such that  $a \oplus b = (0, 0, \dots, 0, 1)^t$ , there exists a  $k$  ( $1 \leq k \leq 2^n-2$ ) such that

$$T^k(0, 0, \dots, 0, 1)^t = a$$

$$T^{k+1}(0, 0, \dots, 0, 1)^t = b$$

Lemma 3.10 Let  $T$  be the characteristic matrix of an  $n$ -cell 90/150 NBCA whose characteristic polynomial is an  $n$ -degree primitive polynomial. And let  $f^*(x)$  be the reciprocal polynomial of  $f(x)$  and  $T'$  be the characteristic matrix of the  $n$ -cell 90/150 NBCA obtained from  $f^*(x)$  by the method in [14]. For some  $k$  ( $1 \leq k \leq 2^n-2$ ) such that  $T^k \oplus T^{k+1} = I_n$ , let

$$T'^{k'} \oplus T'^{k'+1} = I_n. \text{ Then } k' = 2^n - k - 2.$$

Theorem 3.11 The minimum range corresponding to a primitive polynomial and that corresponding to its reciprocal polynomial are equal.

Theorem 3.12 If  $O_1$  and  $O_2$  are the minimum offsets for an  $n$ -degree primitive

polynomial and its reciprocal polynomial, respectively, and  $d$  is the minimum range in both case. Let  $|OA_1| = a, |A_2O| = b, |B_1O| = y$ . Then the following hold:

$$O_1 + O_2 = 2(2^n - 1) - b - y.$$

Corollary 3.13 If  $O_1$  and  $O_2$  are the minimum offsets for an  $n$ -degree primitive polynomial and its reciprocal polynomial, respectively, and  $d$  is the minimum range in both case. Let  $|OA_1| (= a) \neq |A_2O| (= b), |B_1O| = y$ . Then  $O_1 + O_2 \neq 2^n - 1 + |A_1B_1|$ .

Corollary 3.14 If  $O_1$  and  $O_2$  are the minimum offsets for an  $n$ -degree primitive polynomial and its reciprocal polynomial, respectively, and  $d$  is the minimum range in both case. Let  $|OA_1| = |A_2O|$ . Then  $O_2 = 2(2^n - 1) - (O_1 + d) + 1$ .

#### IV. Conclusion

In this paper, we analyzed PN sequences generated by a 90/150 NBCA whose characteristic polynomial is a primitive polynomial. and we give the relationship among offsets  $O$  such that the minimum offset  $O_1$  is obtained from the  $A_1$  matrix whose characteristic polynomial is the primitive polynomial  $f(x)$  and  $O_2$  is obtained from the  $A_2$  matrix whose characteristic polynomial is the reciprocal polynomial of  $f(x)$ . This analysis is helpful to study for the pattern generation, cryptography and so on.

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