# Electron Emission Property of Carbon Nanotubes Grown Using Different Source Gases

Jae-Hee Han, Tae Young Lee, Ji-Beom Yoo\*, Chong-Yun Park
Department of Physics, Sungkyunkwan University, 300 Chunchun-Dong, Jangan-Gu, Suwon, 440-746, Korea

Taewon Jung, SeGi Yu, Whikun Yi and Jong Min Kim
NCRI Center for Electron Emission Source, Samsung Advanced Institute of Technology,
P.O.Box 111, Suwon 440-600, Korea

### **Abstract**

Chemical species during growth of carbon nanotubes (CNTs) in direct current-plasma enhanced chemical vapor deposition were studied in details using  $C_3H_4$ -NH<sub>3</sub> and CO-NH<sub>3</sub> mixtures through optical emission spectroscopy (OES). In the  $C_3H_4$ -NH<sub>3</sub> system, the relative intensities of CN (388.3 nm) and CH (431.4 nm) decreased and that of  $C_2$  (436 nm) increased, leading to  $sp^2$ -graphization into the CNT structure, leading to improvement of field emission property of CNTs. In the CO-NH<sub>3</sub> system, the trend is completely reversed. Attributing to the atomic oxygen for helping the graphitization of carbon, CNTs could be grown under the flow rate of CO (180 sccm)-NH<sub>3</sub> (10 sccm). Through these results, we suggest the growth mechanism in our system.

#### 1. Introduction

Since the first discovery of carbon nanotubes (CNTs) in 1991 [1], tremendous excitement has been caused because of their excellent properties and potential application to various devices. Among various synthesis methods for the CNTs growth, chemical vapor deposition (CVD) method including enhanced chemical vapor plasma deposition (PECVD) has received much attention for the growth of CNTs because of its controllability and reliability in the synthesis of CNTs. Various source gas for the CNTs growth have been used including  $C_2H_2$ ,  $C_3H_4$ , CH<sub>4</sub>, and CO, etc. In PECVD, especially, it has been considered that various active chemical species react with each other, forming  $sp^2$  or  $sp^3$ -hybridization materials of carbon. However, no study on identifying the chemical species for the CNTs growth in direct current (DC)-PECVD system has been reported up to now. Here we suggest the growth model of CNTs in our PECVD through *in-situ* diagnosis of plasma during CNTs growth.

## 2. Experiments

In this work, the growth of vertically aligned CNTs was carried out using various source gases with NH<sub>3</sub> as a catalytic gas on two-catalyst metals (Co or Fe)-coated glass substrate with Cr buffer layers. The CNT growth was performed at the temperature around 550 °C by the PECVD. C<sub>3</sub>H<sub>4</sub>, and CO gases were used as a carbon source and the NH<sub>3</sub> gas was used as a catalyst and a dilution gas, respectively. A DC plasma was used to grow vertically aligned CNTs. Detailed processes for the growth of CNTs were described in our previous report [2].

Optical emission spectroscopy (OES, SPEX 270N) was used to examine the species in the plasma during the CNTs growth process. The emission characteristics of CNTs were measured in a vacuum chamber with a parallel diode-type configuration at  $5 \times 10^{-7}$  mbar.

## 3. Results and Discussion

Figure 1 shows that OES spectra detected from the plasma of  $C_3H_4$  or CO, and  $NH_3$  gas mixtures for CNTs growth. In the plasma of the  $C_3H_4$ -  $NH_3$  gas mixture, CN and the atomic hydrogen  $(H_\alpha)$  bands had a great portion of the whole, and the CH (431.4 nm) and  $C_2$  (436.5 nm) band, which is the  $C_2$  Swan system band with Q (4,2), also appeared. In case of the CO-NH<sub>3</sub> system, CN and CO radicals did.

In Fig. 2, for the  $C_3H_4$ -NH<sub>3</sub> system, the relative intensities of monomeric CH and CN, and dimeric  $C_2$  carbon species in the plasma are shown. The  $I(CH)/I(H_{\alpha})$  and  $I(CN)/I(H_{\alpha})$  decreased with the increase in NH<sub>3</sub> flow rate from 240 to 300 sccm, the

decay rate of the relative CN intensity was still larger than that of CH by a factor of 69. On the contrary, the intensity of  $C_2$  (436.5 nm)/  $H_{\alpha}$  increased by a factor of 7. It has been known that the CN radical plays a role in the atomic hydrogen abstraction from the growing surface or gas phase forming the HCN species. In addition, because the CN species is produced at the expense of the atomic carbon and atomic nitrogen, a depleting of the atomic carbon has been caused. The reason for the decrease in the relative CN intensity with NH<sub>3</sub> addition can be attributed to the followings; i) for a given power (about 75 W) for sustaining plasma, the amount of the atomic nitrogen (did not detect from our OES result due to simultaneous strong bonding with other species) dissociated from plasma would not increase with NH<sub>3</sub> addition but decrease due to plasma quenching effect, resulting in the reduction of the amount of CN radical at the expense of both atomic carbon and nitrogen.

It has been shown that CH and  $C_2$  are responsible for good diamond (C,  $sp^3$ -hybridization) and graphite (C,  $sp^2$ -hybridization) deposition, respectively [3]. This is consistent with the SEM data depicted in Fig. 3(a) and (b), which shows CNTs grown on Co catalyst metal-coated glass substrate using the mixture of  $C_3H_4$  and  $NH_3$ . As  $NH_3$  flow rate increased from 240 to 300 sccm, the growth rate of CNTs increased, implying that  $sp^2$ -graphization into the CNT structure was facilitated by the increase in the amount of  $C_2$  than CH radical in plasma of mixed gases of  $C_3H_4$  and  $NH_3$ . This result was also confirmed by Raman spectroscopy (not shown here).

For the CO-NH<sub>3</sub> system, as shown in Fig. 4, we found the condition for the CNTs growth on Fecoated glass substrate at the ratio of CO (180 sccm) to NH<sub>3</sub> (10 sccm) flow rate, which are completely different from the C<sub>3</sub>H<sub>4</sub> (300 sccm)-NH<sub>3</sub> (60 sccm) Therefore we performed the system. measurement over 5 to 26-sccm ranges of NH<sub>3</sub> flow rate. As shown in Fig. 4, the intensity of  $I(CN)/I(H_{\alpha})$ increased with NH<sub>3</sub> flow rate. It should be noted that the increment rate (by a factor of 41) in the relative ratio of CN to  $H_{\alpha}$  with the increase in NH<sub>3</sub> flow rate from 5 to 10 sccm is much higher than that (a factor of 28) with the increase in NH<sub>3</sub> flow rate from 10 to 26 sccm. In the C<sub>3</sub>H<sub>4</sub>-NH<sub>3</sub> system, because the amount of NH<sub>3</sub> (240 to 300 sccm) was higher than that of C<sub>3</sub>H<sub>4</sub> (60 sccm), the effect of NH<sub>3</sub> addition did not significantly affect the relative intensity of CN to  $H_{\alpha}$ . However, in the CO-NH<sub>3</sub> system, the amount of NH<sub>3</sub> (5 to 26 sccm) was much smaller than that of CO (180 sccm), the relative intensity of CN to  $H_{\alpha}$  was drastically changed with NH<sub>3</sub> flow rate, implying that the dissociation of NH<sub>3</sub> molecules into the atomic nitrogen and hydrogen is accelerated under its small fraction of the whole. Another notable fact is the increase in the relative intensity of CN to  $H_{\alpha}$  with NH<sub>3</sub> addition. The tendency of bonding between the unsaturated atomic nitrogen dissociated from NH<sub>3</sub> plasma and the atomic carbon is very high (bonding enthalpy of C-N; 754.3  $\pm$  10 kJ/mol), thus the amount of CN drastically increased with small NH<sub>3</sub> addition.

The intensity of  $I(C_2; 436 \text{ nm})/I(H_{\alpha})$  decreased with NH<sub>3</sub> addition as shown in Fig. 4. This is the opposite of the  $C_3H_4$ -NH<sub>3</sub> system. The reason for this may be attributed to the more activated atomic nitrogen with NH<sub>3</sub> addition. The dissociated atomic nitrogen is strongly bonded to the atomic carbon and hydrogen. This is related to the increase in the relative intensity of CN as discussed above. However, the intensity of  $I(CH; 389 \text{ nm})/I(H_{\alpha})$  increased, indicating the increase in bonding reactions between the atomic hydrogen resulted from NH<sub>3</sub> addition and the atomic carbon from CO.

From the OES results stated above in the CO-NH<sub>3</sub> system, we found an interesting fact, which is the complete opposite tendency to the case in the C<sub>3</sub>H<sub>4</sub>-NH<sub>3</sub> system. In the C<sub>3</sub>H<sub>4</sub>-NH<sub>3</sub> system, the relative intensities of CN and CH decreased and that of C<sub>2</sub> increased with NH<sub>3</sub> addition. The other hands, in the CO-NH<sub>3</sub> system, the relative intensities of CN and CH increased, CO and C<sub>2</sub> decreased with NH<sub>3</sub> addition. Intriguingly, as shown in Fig. 3(d), CNTs were grown at the ratio of CO (180 sccm) to NH<sub>3</sub> (10 sccm) flow rate. To elucidate the CNTs growth under this condition, we observed another relative intensity of the species.

It is carefully noticed that the intensity of  $I(O; 777.2 \text{ nm})/I(H_{\alpha})$  (marked by both opened diamond and dashed-line) had a maximum value at the  $NH_3$  flow rate of 10 sccm (indicated by dotted-arrow), as shown in Fig. 4, and the  $N_2$  emission ranged from 337 nm to 405.9 nm linearly increased (not shown here). In our system, the production of NO radical is possible because the atomic nitrogen and oxygen can be generated from the  $NH_3$  and CO plasma, respectively. Hong *et al.* reported that once NO was formed in the gas phase, a reaction of NO with active nitrogen species resulted in a significant

density of nitrogen atoms being present in the gas phase to engage in the "titration reaction" (a high-rate reaction):  $N + NO \rightarrow N_2 + O$  [4]. Oxygen had several effects besides the increase in the atomic hydrogen concentration, such as acetylene reduction in the gas phase and graphitic carbon deposits on the growing film surface [5]. Even though the  $I(C_2; 436 \text{ nm})/I(H_{\alpha})$  decreased with  $NH_3$  addition, CNTs could be grown at the  $NH_3$  flow rate of 10 sccm due to such role of the atomic oxygen mentioned just above. Thus, we tentatively suggest that the maximum value of  $I(O)/I(H_{\alpha})$  at the  $NH_3$  flow rate of 10 sccm may be responsible for the CNTs growth as depicted in Fig. 3(d).

Figure 5 shows the emission currents as a function of applied voltages (I-V) of CNTs with different source gases. The turn-on electric field,  $E_{to}$ , is defined as the electric field at the current density of 1  $\mu$ A/cm<sup>2</sup>. The  $E_{to}$  of CNTs grown under the NH<sub>3</sub> flow rate of 240 sccm (see (i) of Fig. 5) and 300 sccm (see (ii) of Fig. 5) at the constant C<sub>3</sub>H<sub>4</sub> flow rate of 60 sccm were 10.3 V/μm and 6.1 V/μm, respectively, and that of CNTs under the flow rates of CO (180 sccm) and NH<sub>3</sub> (10 sccm) was 6.4 V/ $\mu$ m. The difference in the  $E_{to}$  values of CNTs grown using C<sub>3</sub>H<sub>4</sub> with different NH<sub>3</sub> flow rates may be attributed to the difference in their average diameters, i. e. curvatures at the CNT tips, as shown in Fig. 3(a) and (b). On the basis of the results from OES and field emission analysis, we suggest that as the NH<sub>3</sub> flow rate increased at the constant C<sub>3</sub>H<sub>4</sub> flow rate, C<sub>2</sub> radical, which is considered to be responsible for  $sp^2$ graphization, increased in plasma, thus the growth of CNTs with smaller diameter was promoted, leading to improvement of the field emission property of CNTs.

It is noted that from the I-V curve of CNTs grown using the mixture of CO (180 sccm) and NH<sub>3</sub> (10 sccm), shown in (iii) of Fig. 5, the onset of current density saturation (indicated by arrows) appeared at the relatively low value of  $0.01 \,\mu\text{A/cm}^2$  at the electric field of  $2.8 \,\text{V/}\mu\text{m}$  and the rate of increase in the emission current decreased. However, in the CNTs grown using the mixture of C<sub>3</sub>H<sub>4</sub> (60 sccm)-NH<sub>3</sub> (300 sccm) (see (ii) of Fig. 5), the current saturation occurred at higher current density value (about 6.4  $\mu\text{A/cm}^2$ ). Thus, the  $E_{to}$  of CNTs grown using the mixture of CO-NH<sub>3</sub> was higher than that of CNTs using C<sub>3</sub>H<sub>4</sub>-NH<sub>3</sub> even though the onset of the field emission from CNTs using the mixture of CO-NH<sub>3</sub> in

the low field region (<  $2.8 \text{ V/}\mu\text{m}$ ) was the smallest among the other CNTs.

#### 4. Conclusion

In the  $C_3H_4$ -NH<sub>3</sub> system, as the NH<sub>3</sub> flow rate increased from 240 to 300 sccm, the relative intensities of both I(CN; 388.3 nm)/I(H $_{\alpha}$ ; 656.5 nm) and I(CH; 431.4 nm)/I(H $_{\alpha}$ ) decreased. However, the relative intensity of  $I(C_2; 436 \text{ nm})/I(H_{\alpha})$  increased, giving rise to the  $sp^2$ -graphization into the CNT structure, leading to improvement of field emission property of CNTs. On the contrary, in the CO-NH<sub>3</sub> system, the relative intensities of  $I(C_2)/I(H_{\alpha})$  and I(CO; 483 nm)/I(H $_{\alpha}$ ) decreased except the relative intensities of both  $I(CN)/I(H_{\alpha})$  and I(CH; 389)nm)/ $I(H_{\alpha})$ . However, due to the atomic oxygen for helping the graphitization of carbon, CNTs could be grown at 10 sccm of NH<sub>3</sub> flow rate. The turn-on electric field,  $E_{to}$ , of CNTs using the mixture of CO- $NH_3$  was higher than that of CNTs using  $C_3H_4$ - $NH_3$ .

## 5. Figures/Captions

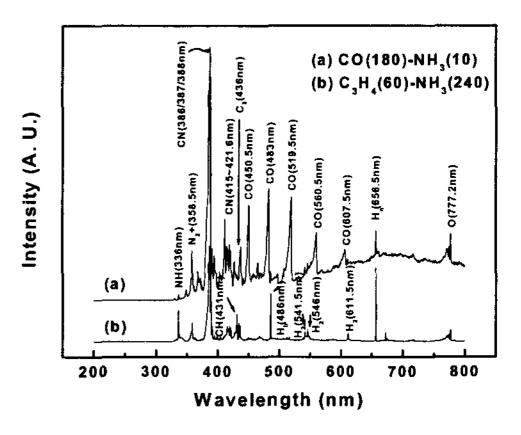


Fig. 1. OES spectra of CNTs grown using (a) the mixture of C<sub>3</sub>H<sub>4</sub> and NH<sub>3</sub> and (b) CO and NH<sub>3</sub>.

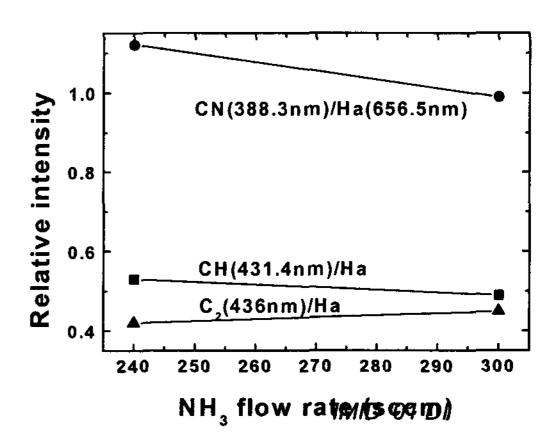


Fig. 2. Relative intensities of various chemical species such as CN, CH, and  $C_2$ .

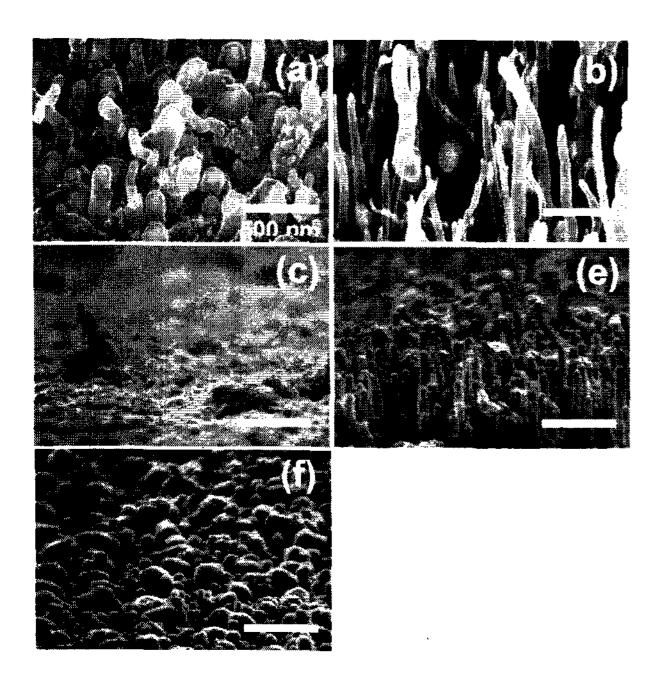


Fig. 3. SEM images showing the morphology of CNTs using various source gases: (a) C<sub>3</sub>H<sub>4</sub> (60 sccm)-NH<sub>3</sub> (240 sccm), (b) C<sub>3</sub>H<sub>4</sub> (60 sccm)-NH<sub>3</sub> (300 sccm), (c) CO (180 sccm)-NH<sub>3</sub> (5 sccm), (d) CO (180 sccm)-NH<sub>3</sub> (10 sccm), (e) CO (180 sccm)-NH<sub>3</sub> (26 sccm). Scale bars indicate 500 nm equally.

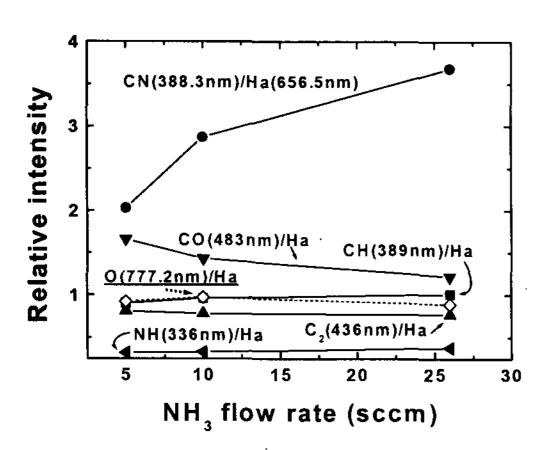


Fig. 4. Relative intensities of various chemical species such as CN, CH, C<sub>2</sub>, CO, and O.

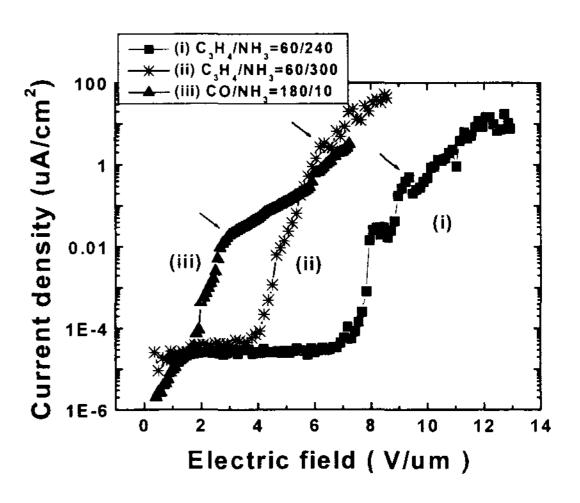


Fig. 5. Current vs. applied electric field (I-V) curves with different source gases and the NH<sub>3</sub> flow rate. Current saturations are indicated by arrows.

## 6. Acknowledgements

This work is supported by Korea Science and Engineering Foundation (KOSEF) through Center for Nanotubes and Nanostructured Composites (CNNC).

# 6. References

- [1] S. Iijima, Nature (London), 56 (1991) 354.
- [2] J. H. Han, J. B. Yoo, C. Y. Park, H. J. Kim, G. S. Park, M. H. Yang, I. T. Han, N. S. Lee, and J. M. Kim, J. Appl. Phys., 91 (2002) 483.
- [3] Y. Muranaka, H. Yamashita, K. Sato, and H Miyadera, J. Appl. Phys., 67 (1990) 6247.
- [4] H. V. Boenig, Fundamentals of Plasma Chemistry and Technology, 1st ed. (Technomic, Lancaster, PA, 1988), p. 65.
- [5] Y. Muranaka, H. Yamashita, and H. Miyadera, *Thin Solid Films*, 195 (1991) 257.