

Oxidation-Induced Stacking Fault and  
Fast Pulling in Czochralski-Grown Silicon Crystals

Hak-Do Yoo, Hyun Jung Oh and Jong Hoe Wang

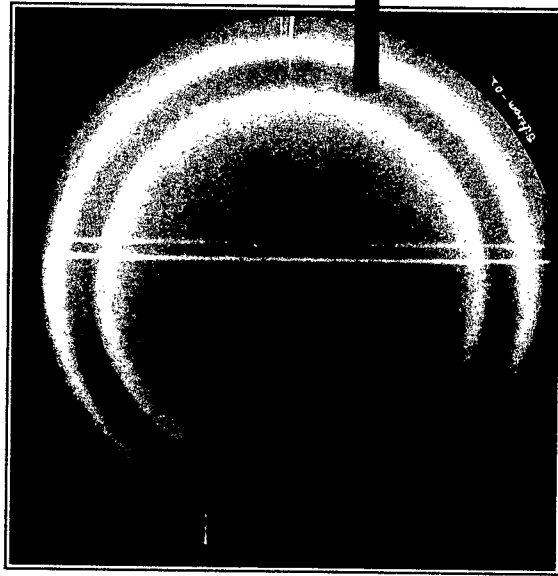
R & D Center, LG Siltron Inc., Korea

December 4, 1999

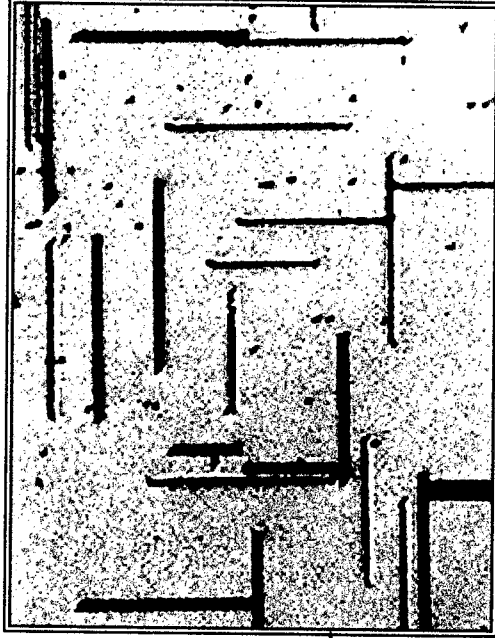
## OiSF

### What is OiSF?

Oxidation Induced Stacking Faults (OiSF) are plane defects generated in the surface region of silicon wafers during thermal oxidation process at typical temperature range between 900 and 1200 °C



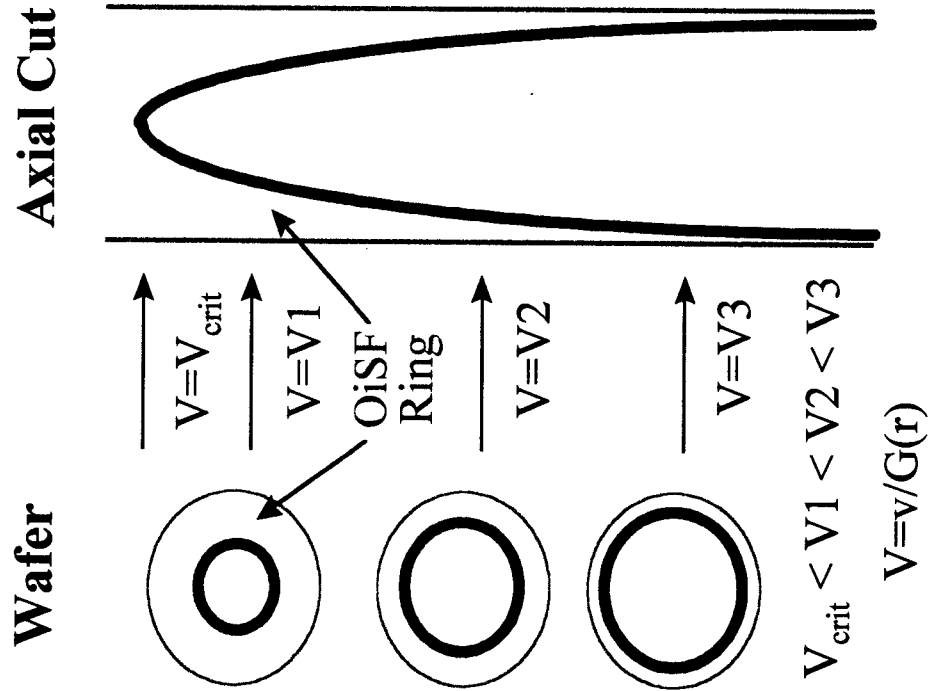
OISF-ring in a (100) silicon wafer. Revealed by Lang X-ray topography with Mo  $K_{\alpha 1}$ /g440 diffraction.



OISF generated on (100) wafer surface after Wright etching

OiSF

Oxygen-related Defects and Temperature Distribution



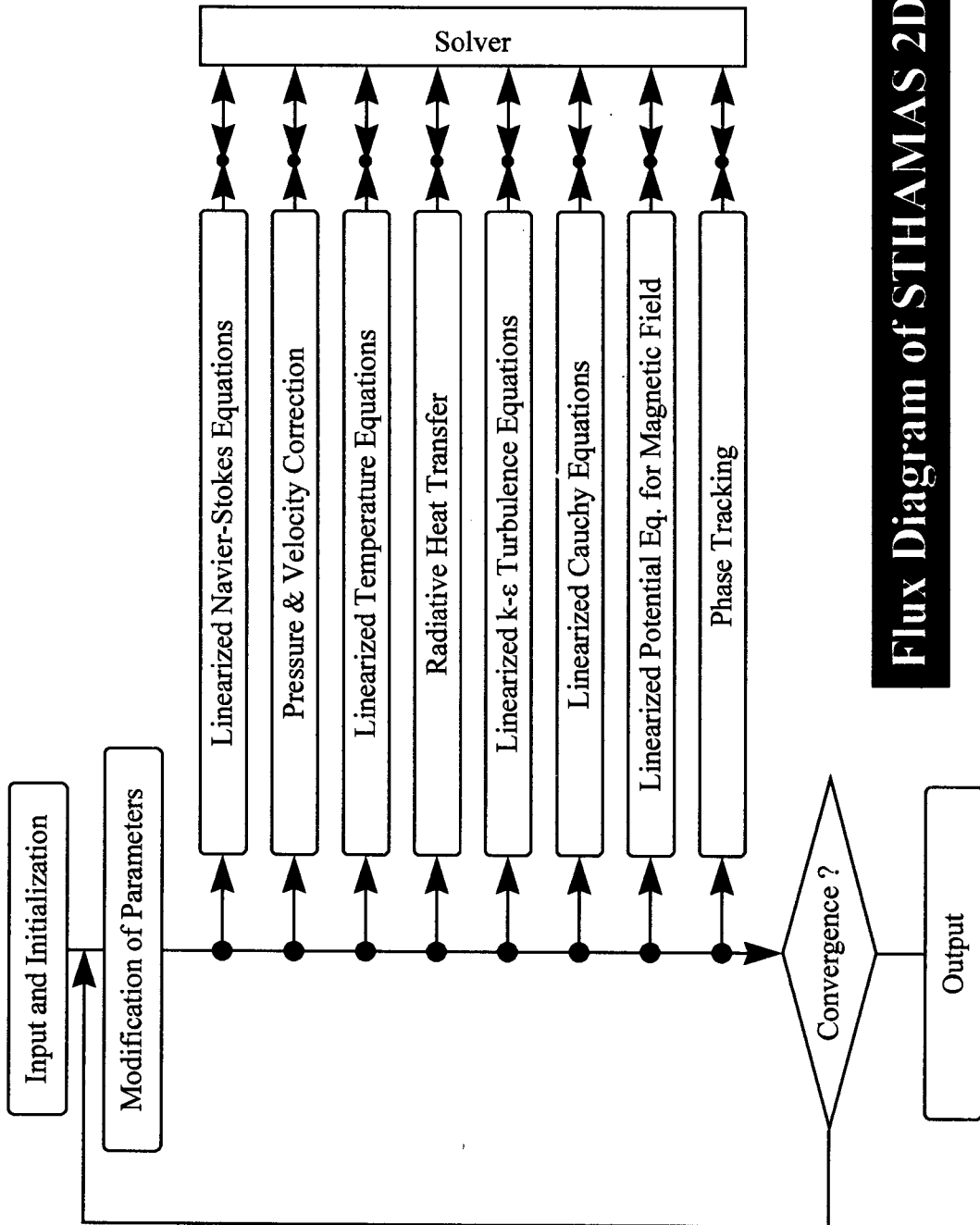
## STHAMAS 2DA

- In many materials processing technologies like casting and crystal growth, the process are controlled by heat transfer in complex geometry.
  - The computer code STHAMAS is designed to be used as a tool for the analysis of heat transfer problems in crystal growth configurations.
  - STHAMAS stands for  
Stress, Heat and mass Transfer Analysis using a Multigrid Accelerated Solver.
  - STHAMAS 2DA consists of three program packages
    - GRIDE : Preprocessor written in C
    - STHAMAS : Main Processor written in FORTRAN 77
    - SHOW : Postprocessor written in C
- SUI (STHAMAS User Interface) :

three modules are linked together by a graphical user interface

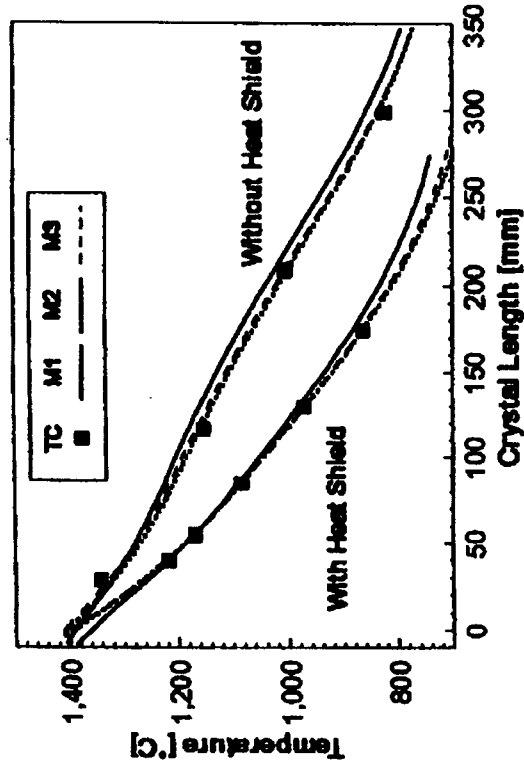
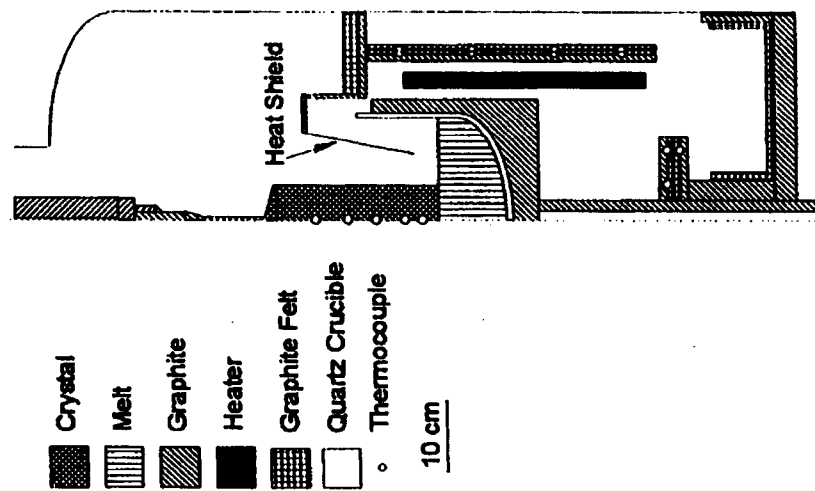
User Guide for STHAMAS 2DA (May, 1997) Erlangen, Germany

# STHAMAS 2DA



# Flux Diagram of STHAMAS 2DA

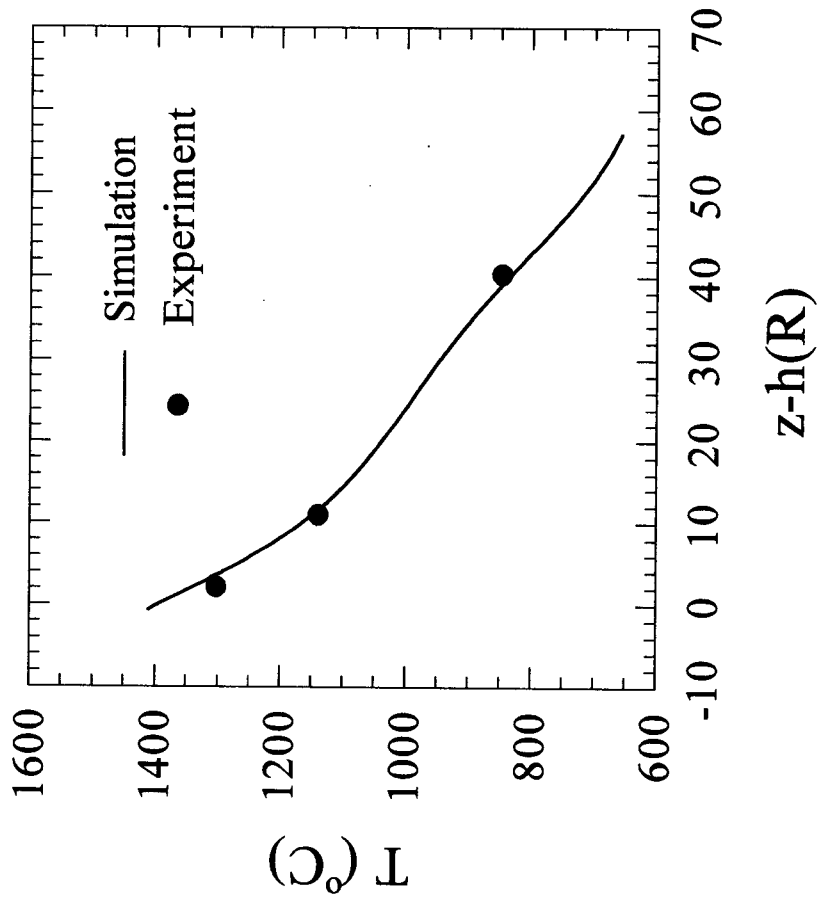
Comparison with Experiment



M1	Erlangen (STHAMAS 2DA)	FVM
M2	Catholique de Louvain (FEMAG)	FEM
M3	MIT (IHTCM)	FEM

E. Dornberger et al., Proceed. 2nd International Workshop for Modelling in Crystal Growth (1996) 45-47

Axial Temperature Distribution



## Mathematical Model

### Governing Equations

$$\frac{DC_I}{Dt} = \nabla \cdot (-D_I \nabla C_I + \frac{C_I D_I H_I^f}{kT^2} \nabla T) + k_{IV} [C_I C_V - C_I^{eq} C_V^{eq}]$$

$$\frac{DC_V}{Dt} = \nabla \cdot (-D_V \nabla C_V + \frac{C_V D_V H_V^f}{kT^2} \nabla T) + k_{IV} [C_I C_V - C_I^{eq} C_V^{eq}]$$

$k_{IV}$  : kinetic rate constant for the rate of vacancies and interstitials

$C_I^{eq}, C_V^{eq}$  : Equilibrium concentrations of interstitials and vacancies

$D_I, D_V$  : Diffusion coefficients for interstitials and vacancies

$H_I^f, H_V^f$  : Enthalpies of formation of the point defects

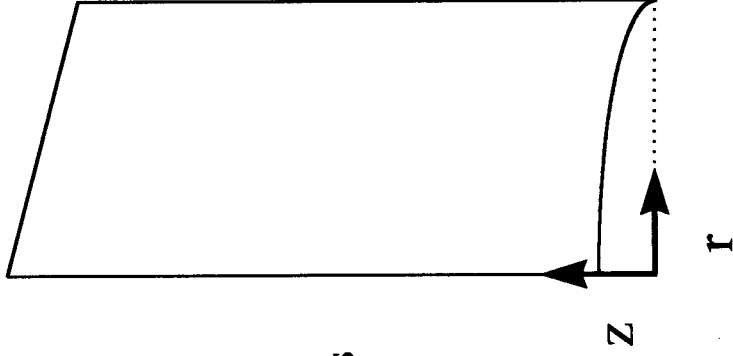
$k$  : Boltzman constant

### Boundary Conditions

$$C_j = C_j^{eq}(T_m) \quad j=I, V \quad \text{along the melt / crystal interface}$$

$$\mathbf{n} \cdot \mathbf{J}_j = 0 \quad j=I, V \quad \text{along the exposed crystal surface}$$

$\mathbf{J}_j$  : flux of the point defect j



Schematics of  
Computational Domain



## Thermophysical Properties of Point Defects

$$C_I^{eq} = 3.945 \times 10^{26} \exp\left(-\frac{3.943 \text{ eV}}{kT}\right) \text{ [atoms / cm}^3\text{]}$$

$$D_I = 2.101 \times 10^{-1} \exp\left(-\frac{0.907 \text{ eV}}{kT}\right) \text{ [cm}^2 \text{ / sec]}$$

$$C_V^{eq} = 2.675 \times 10^{23} \exp\left(-\frac{2.848 \text{ eV}}{kT}\right) \text{ [atoms / cm}^3\text{]}$$

$$D_V = 1.000 \times 10^{-4} \exp\left(-\frac{0.489 \text{ eV}}{kT}\right) \text{ [cm}^2 \text{ / sec]}$$

$$k_{IV} = \frac{4\pi a_r}{\Omega c_s} (D_I + D_V) \exp\left(-\frac{\Delta G_{IV}}{kT}\right) \quad \text{[ R. A. Brown et al., JCG 137 (1994) 12-25 ]}$$

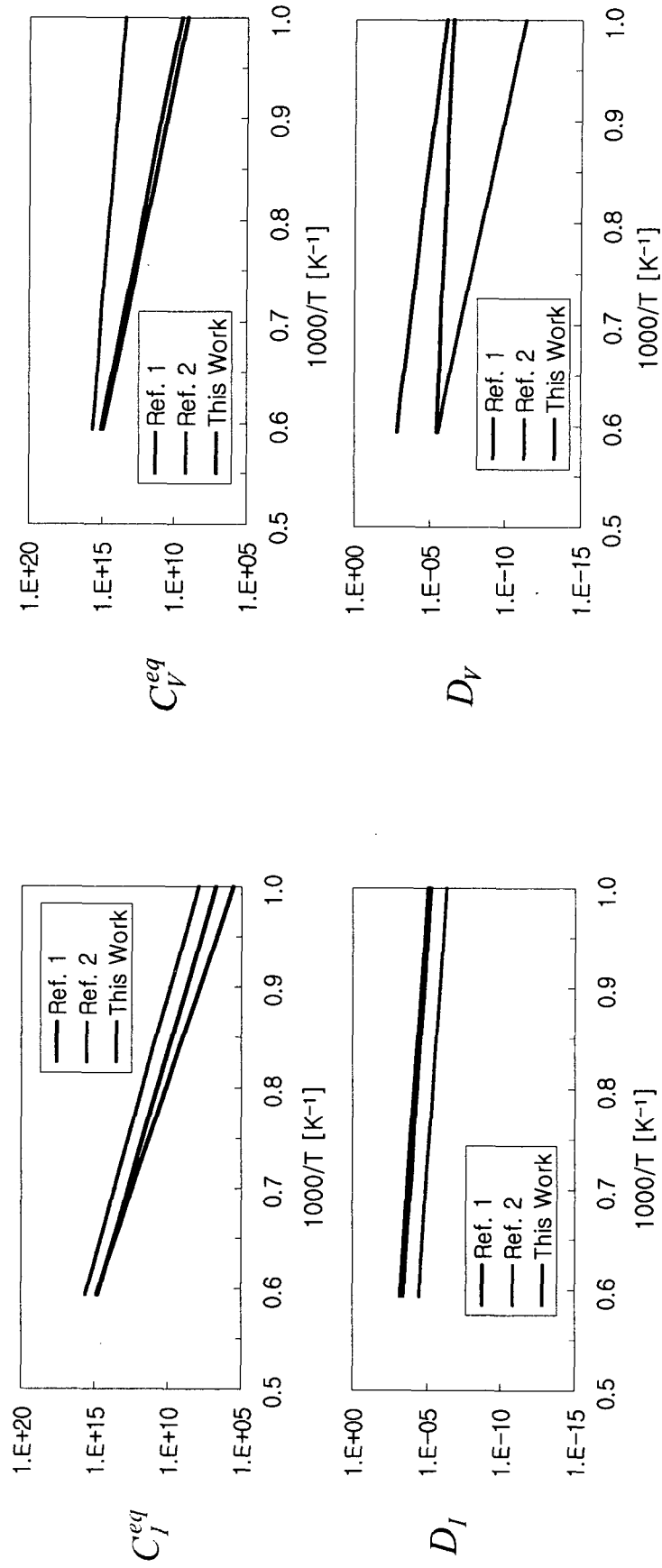
$$\Delta H_{IV} = 3.173 \text{ eV}$$

$$\Delta S_{IV} = 15.0k$$

☐

# Point Defect Dynamics

## Comparison of Thermophysical Properties to Literature Estimates



Ref. 1 : R. Habu and A. Tomiura, *Jpn. J. Appl. Phys.* **35** (1996) 1

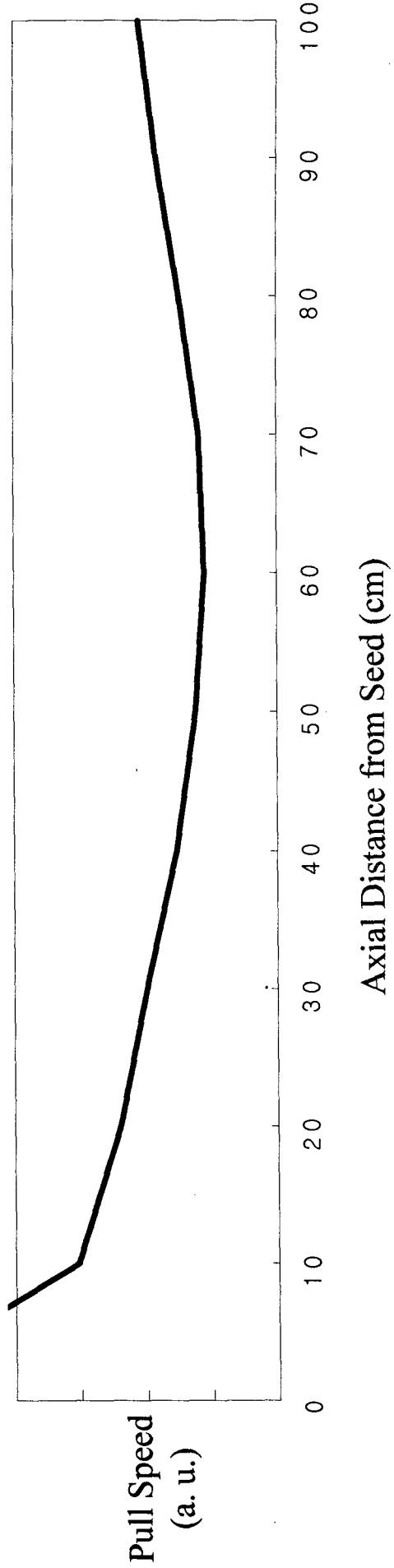
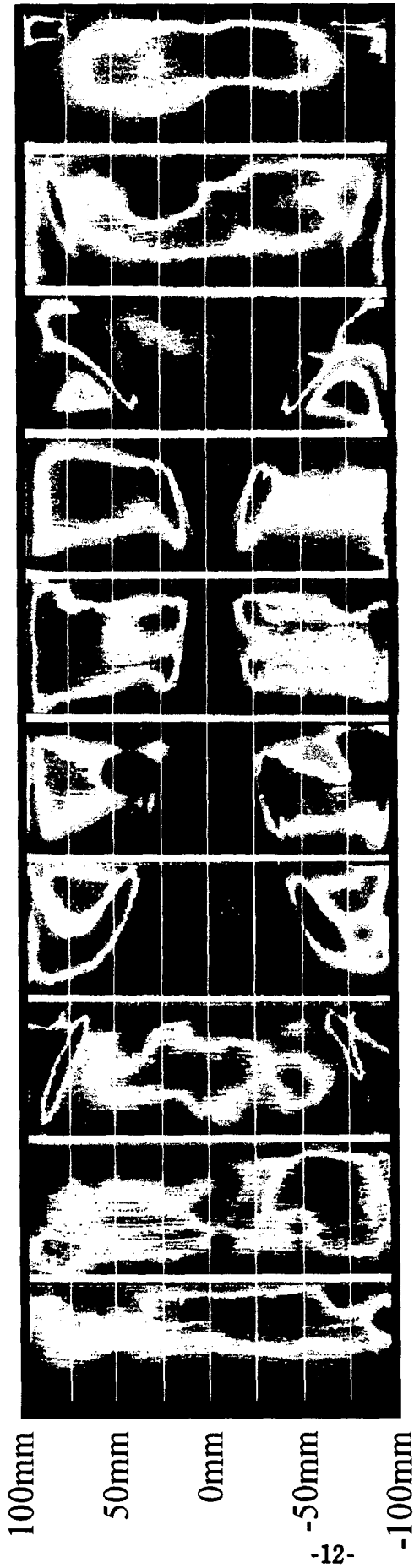
Ref. 2 : H. Zimmermann and H. Ryssel, *J. Appl. Phys.* **A 55** (1992) 121

## Numerical Analysis

- Temperature Distribution : STHAMAS 2DA [Global Simulator]
- Discretization of Domain : Galerkin Finite Element Method
  - 9 node Lagrangian quadrilateral finite element
  - concentration : Biquadratic Lagrangian basis function
- Linearization : Newton-Raphson Method
  - Jacobian Matrix is obtained with closed form
- Numerical Integration : Gaussian Quadrature
  - 9-point Gaussian quadrature for volume integral
  - 3-point Gaussian quadrature for surface integral
- Solution for linear algebraic equations : Frontal Solver
  - efficient computation
  - minimization of core memory

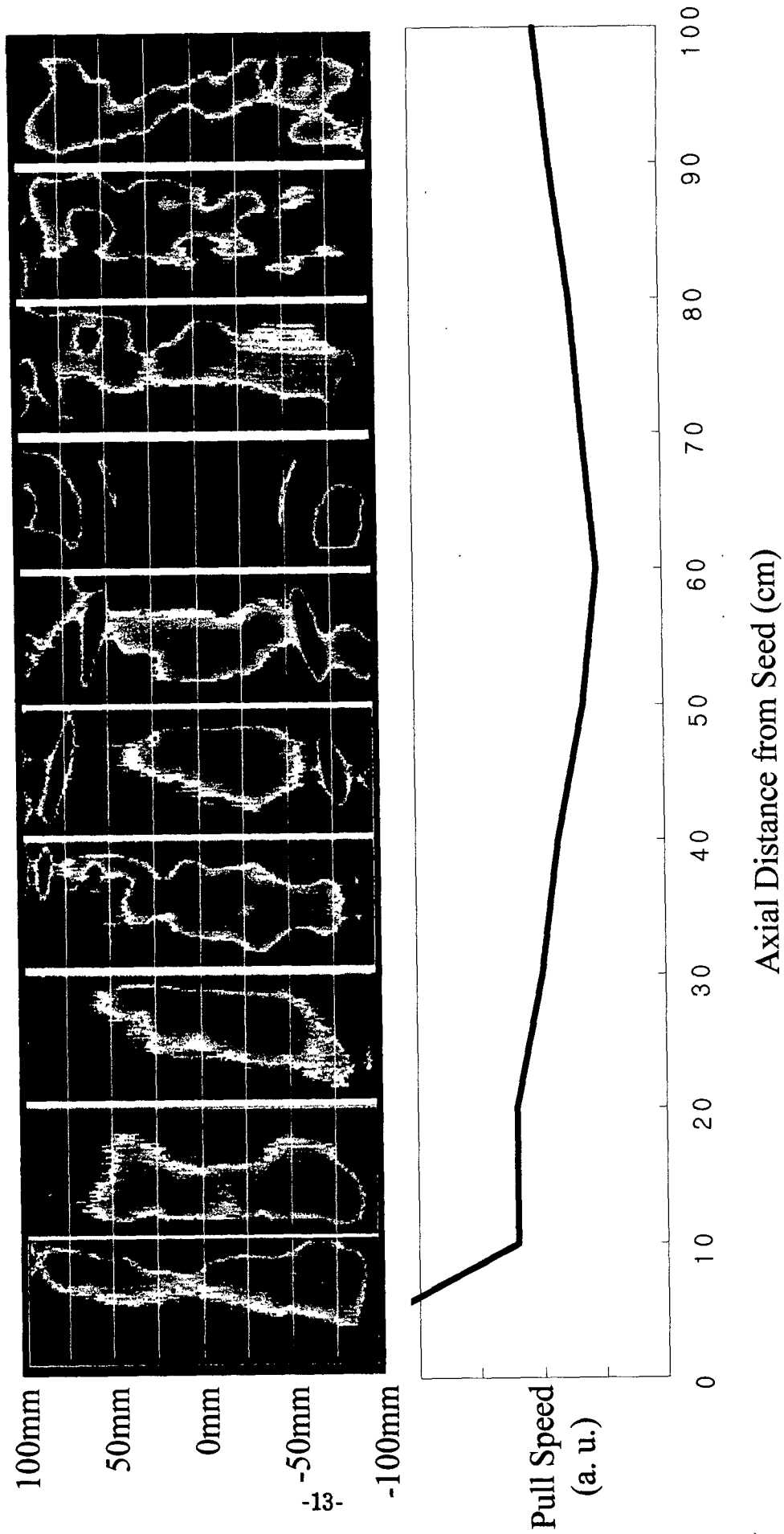
# Point Defect Dynamics

## OiSF Ring Position (HZ1)



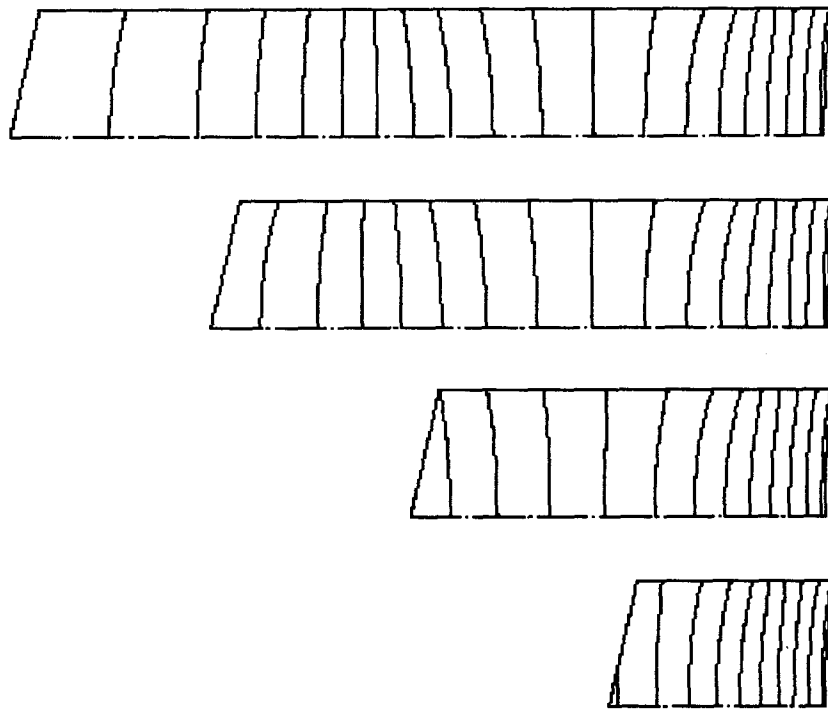
# Point Defect Dynamics

## OiSF Ring Position (HZ2)



# Point Defect Dynamics

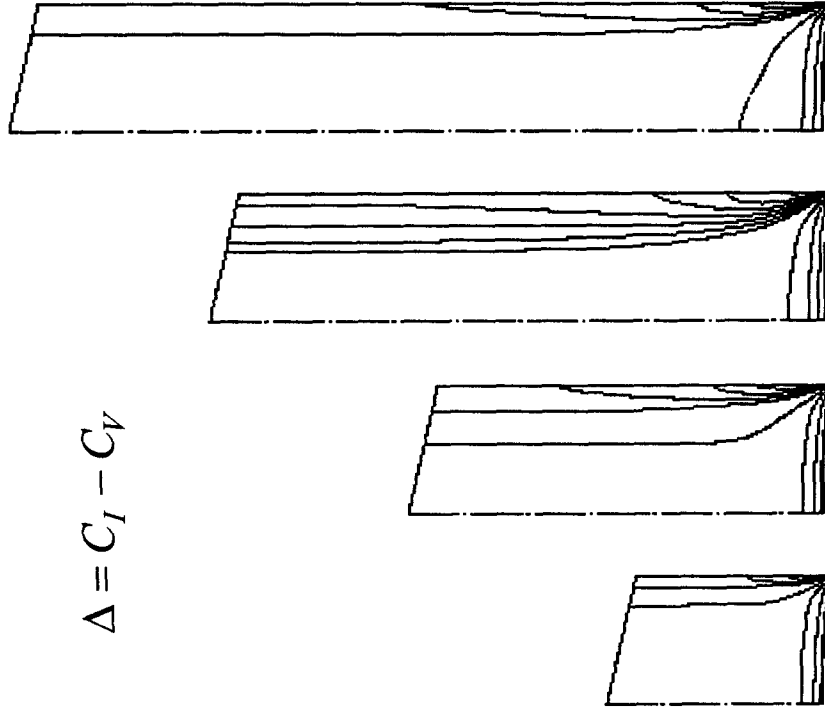
Temperature Distribution (HZ2)



(a) 20 cm (b) 40 cm (c) 60 cm (d) 80 cm

$$\Delta = C_I - C_V$$

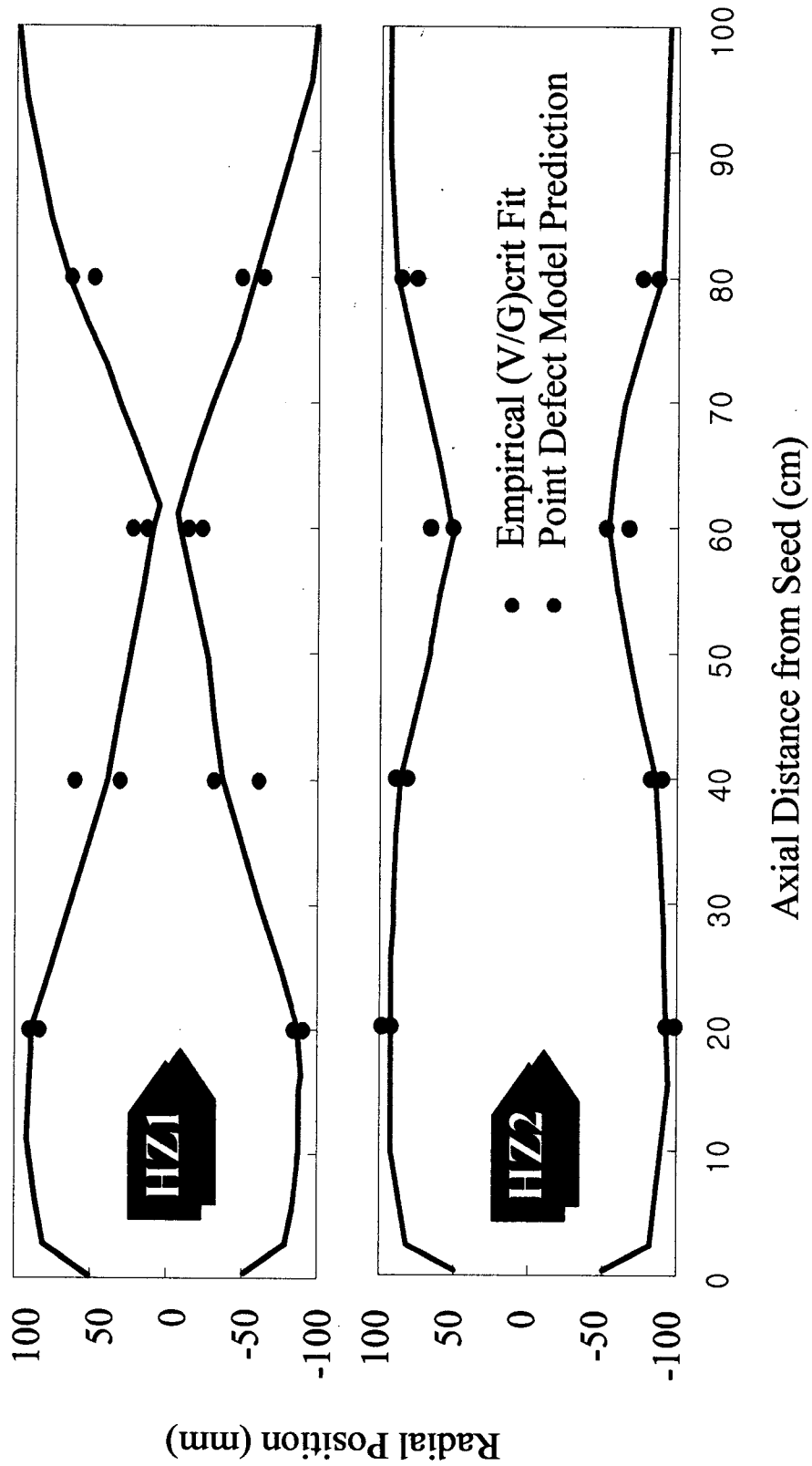
Relative Supersaturation Distribution (HZ2)



(a) 20 cm (b) 40 cm (c) 60 cm (d) 80 cm

# Point Defect Dynamics

## Comparison of Simulation and Experiments for OiSF Ring Dynamics

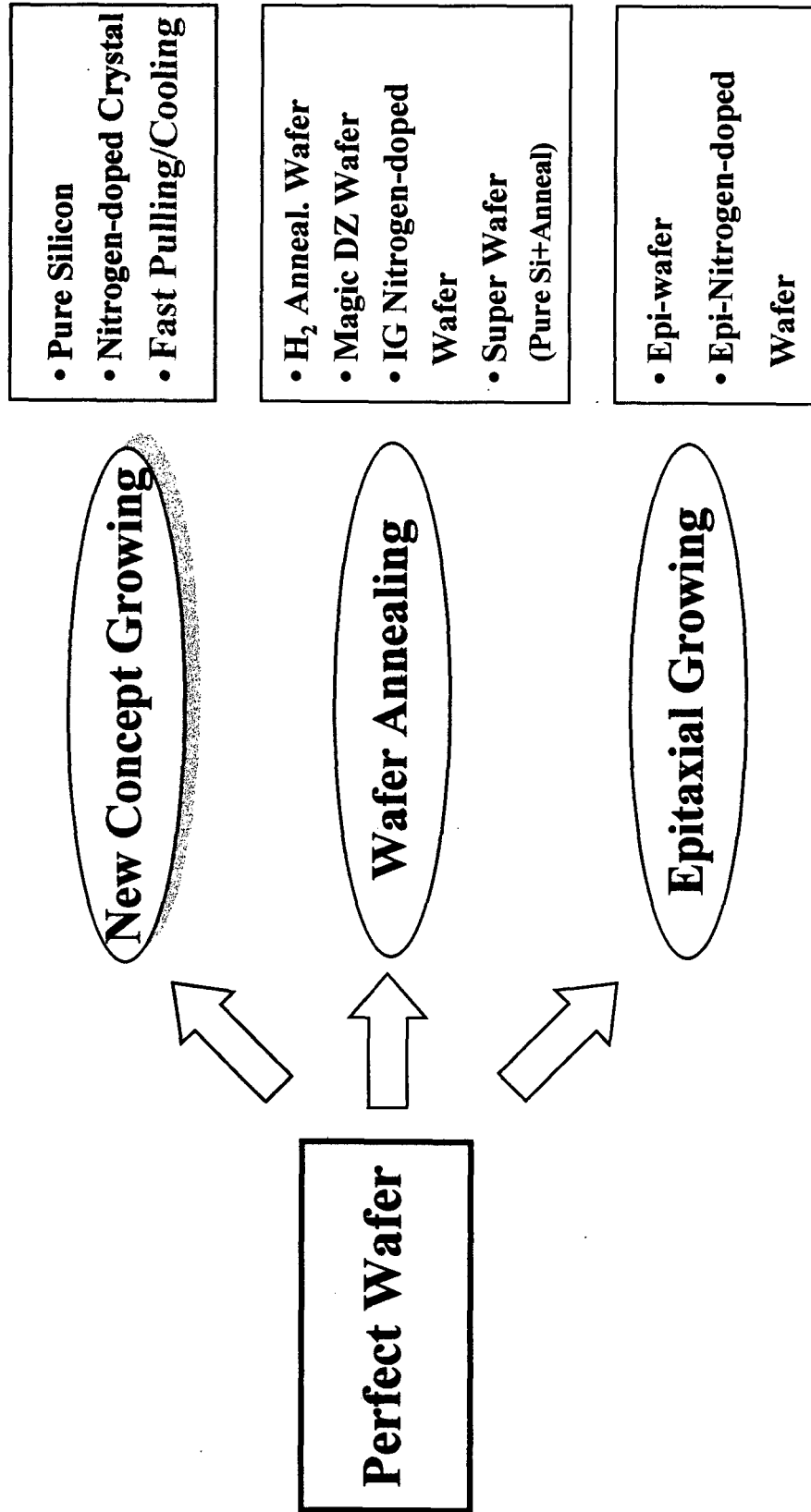


### Conclusions (I)

- The continuum model of point defect dynamics is established by estimating expressions for equilibrium, transport and kinetic parameters.
- The numerical computations give a detailed picture of the development of the self-interstitial and vacancy distributions in a crystal during Czochralski crystal growth.
- The predictions of the numerical analysis for transition from vacancy to self-interstitial dominated regions are in good agreement with experiments when correlated with the location of the OiSF ring.
- This work will give the basis for direct comparison between simulation and the observation of microdefect distributions in Czochralski-grown silicon wafers.

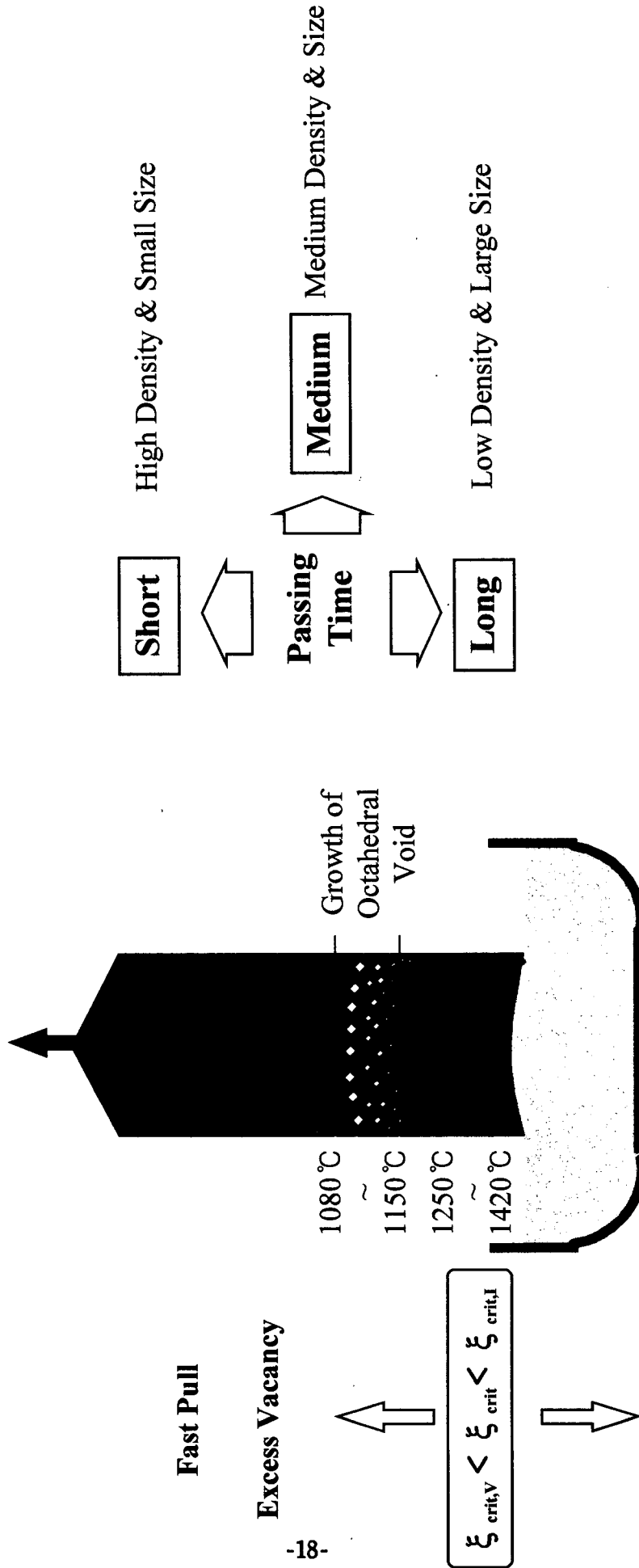


# Fast Pulling



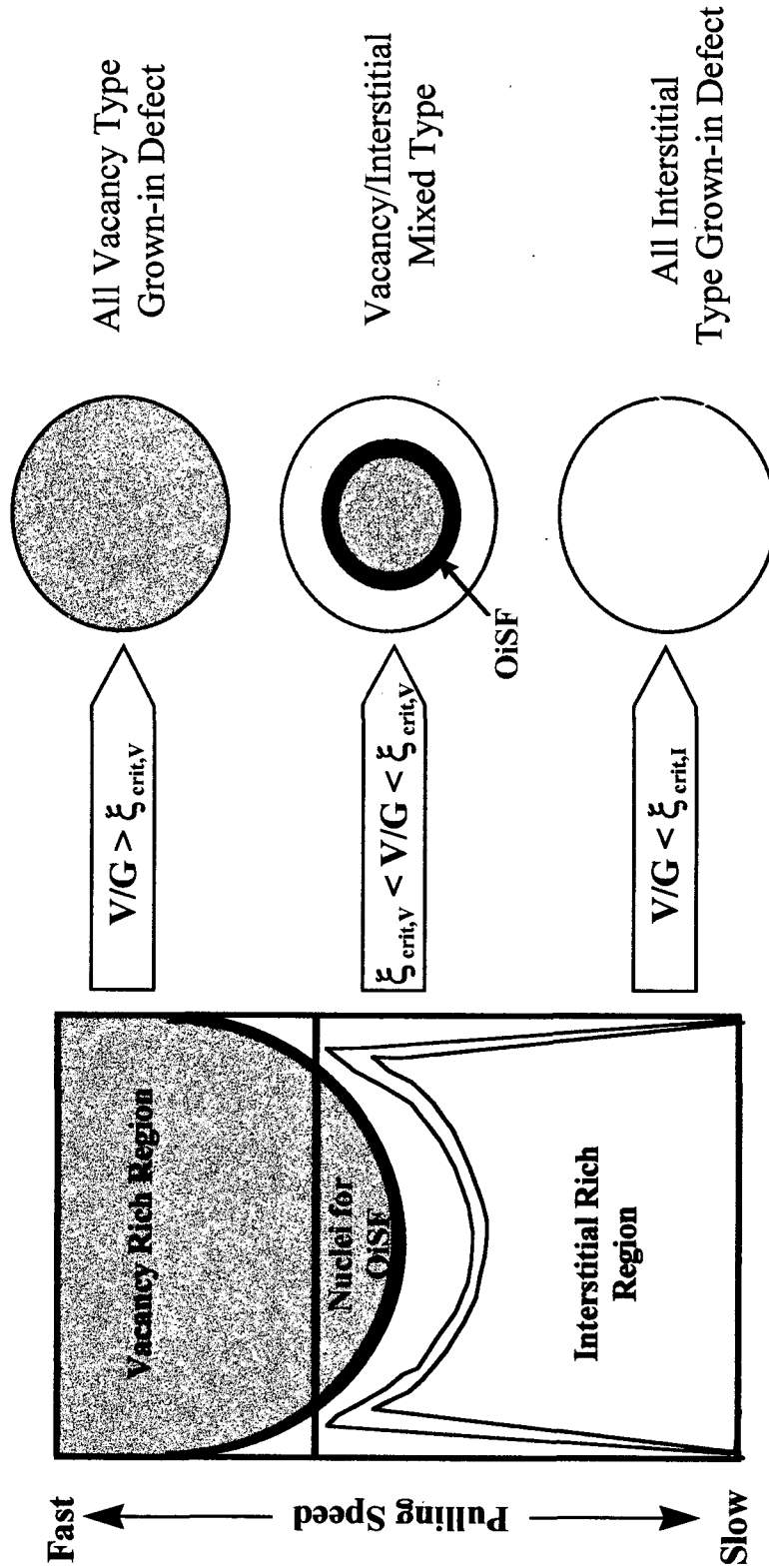
# Fast Pulling

## Behavior of Grown-in Defect during Crystal Growth



# Fast Pulling

## Uniformity of Grown-in Defect by Pull speed



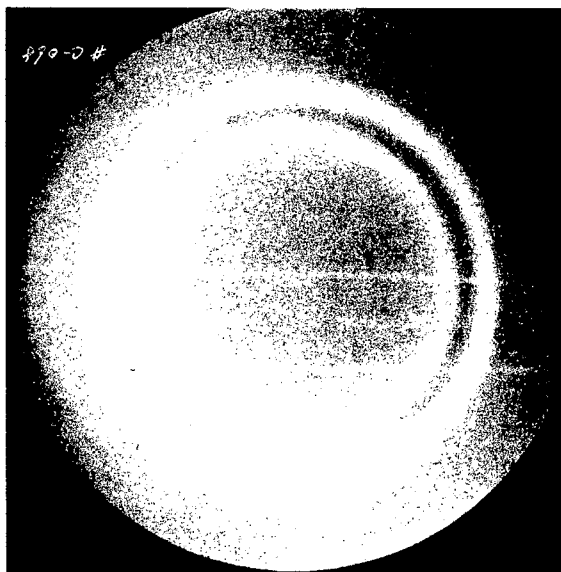
$V$  : Crystal Pulling Rate  
 $G$  : Axial Temperature Gradient at Interface  
 $\xi_{crit}$  : Critical Value

**Fast Pulling**

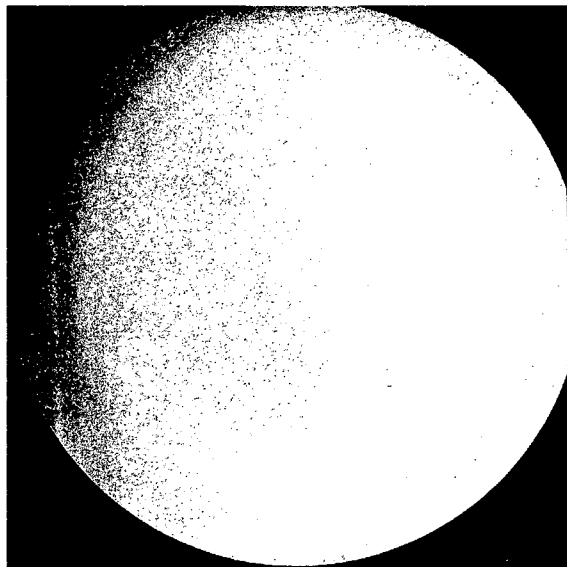
**Crystal Quality of Fast Pulled Wafer**

Uniformity of Oxygen Precipitates\*

Normal Wafer



Fast Pulled Wafer



\* Measured by x-ray topography after CMOS Simulation, (220) plane

**Available Maximum Pull speed (conduction limited case)**

$$K_s G_s = K_l G_l + V L$$

if  $K_l G_l = 0, V \Rightarrow V_{\max}$

$$K_s G_s = V_{\max} L, \quad \frac{V_{\max}}{G_s} = \frac{K_s}{L}$$

$$K_s = 22 \text{ W / mK}, \quad L = 4.19 \times 10^9 \text{ J/m}^3$$

$$\therefore \frac{V_{\max}}{G_s} = 0.315 \text{ (mm}^2 \text{ / min K)} \equiv \xi_{\max}$$

cf)  $\xi_{\text{crit}, V} = 0.138 \text{ (mm}^2 \text{ / min K)}$

*in reality*  $0.138 < \xi_{\max} < 0.315$

*we conformed*  $\xi_{\max} \cong 0.2 \text{ (mm}^2 \text{ / min K)}$

## Available Maximum Pull speed (radiation limited case)

### Billig's Expression

$$V_{\max} = \frac{\sqrt{2 \sigma \varepsilon K_m T_m^5 / 3r}}{L \sigma_m} \sim \text{Billig's expression, 1955}$$

$K_m$  ~ Thermal conductivity of solid crystal at the melting temp.

$\sigma_m$  ~ Density of the crystal at the melting Temp.

$T_m$  ~ Melting temp. of the crystal

### Assumptions

- I) Heat loss from the crystal is only crystal by radiation.
- ii) solid liquid interface is planer
- iii) Thermal conductivity is temperature dependent
- iv) emissivity is temperature independent

## Available Maximum Pull Speed

### Samuel's Result

$$\frac{d}{dx} \left( k \frac{dT}{dx} \right) - V \sigma c \frac{dT}{dx} - \frac{2h}{r} (T - T_a) - \frac{2}{r} q_r = 0$$

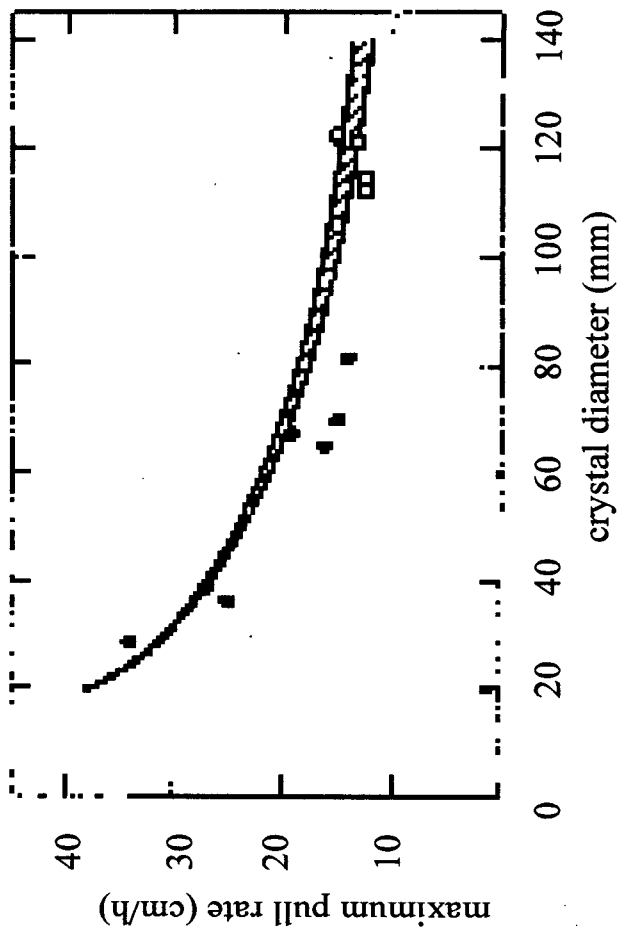
V: Pull speed

c : specific heat

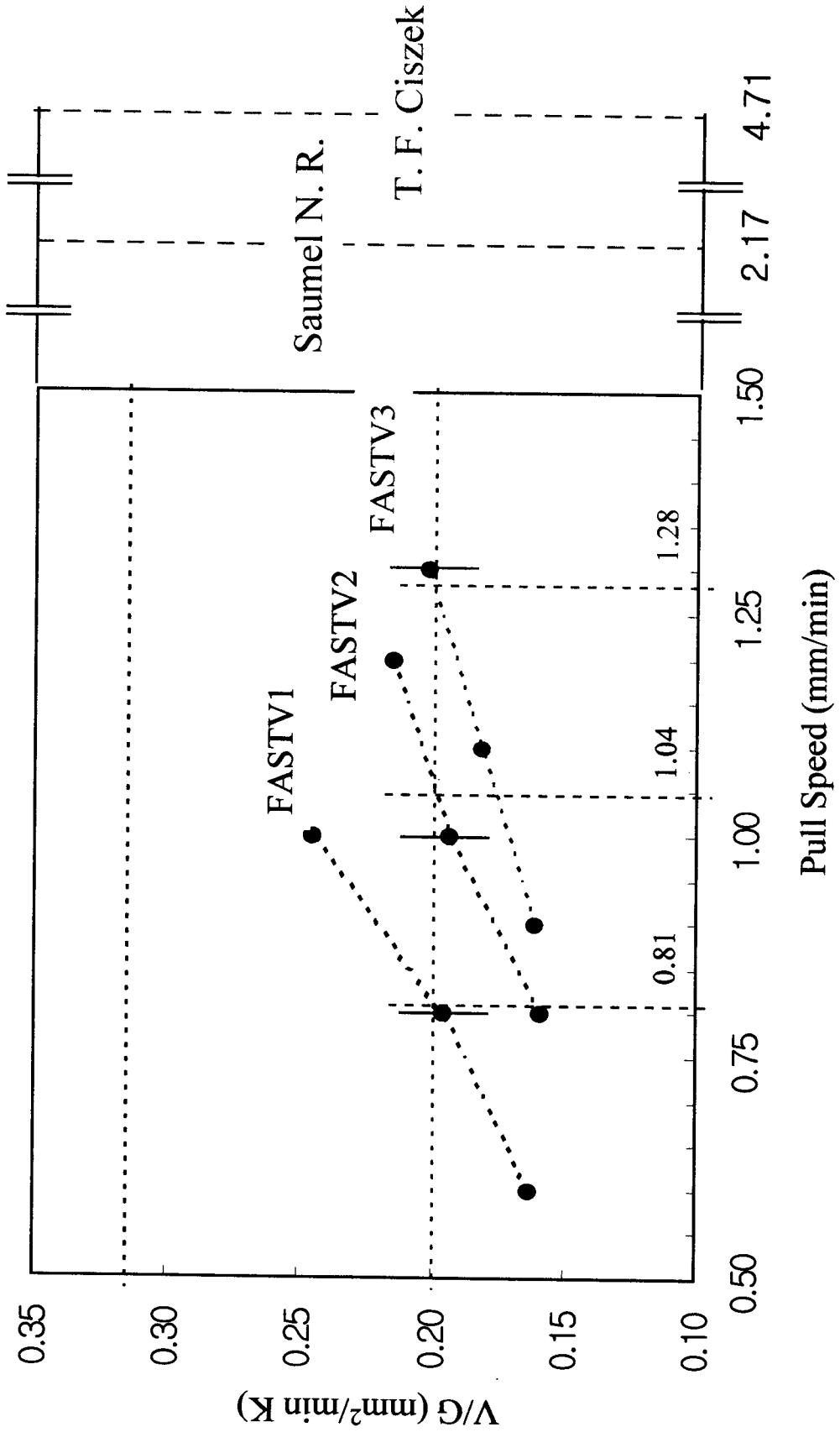
h : thermal convective coeff.

T<sub>a</sub>: average ambient temp.

q<sub>R</sub>: radiation heat



# Fast Pulling





## Conclusions (II)

- Axial temperature gradient  $G$  at the center of crystal/melt interface has been calculated using global simulator for growing Czochralski silicon crystal STHAMAS.
- It is known well that radial position of oxidation induced stacking fault ring, which appears when  $\xi_{\text{crit}} = V/G = 0.138 \text{ mm}^2/\text{min K}$ .
- In other hand, Available maximum pull speed can be found when  $0.138 \text{ mm}^2/\text{min K} < V/G_{\text{max}} < 0.315 \text{ mm}^2/\text{min K}$ , which is estimated for the conduction limit case.
- Experiment data was present available maximum pull speed appears when  $V/G \cong 0.2 \text{ mm}^2/\text{min K}$ .