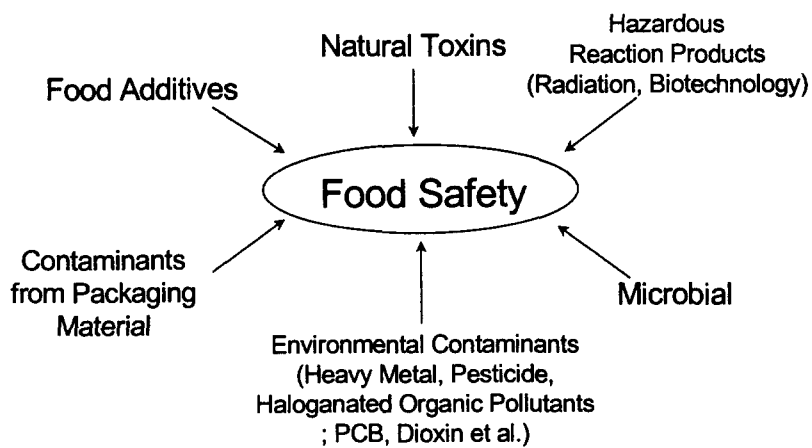


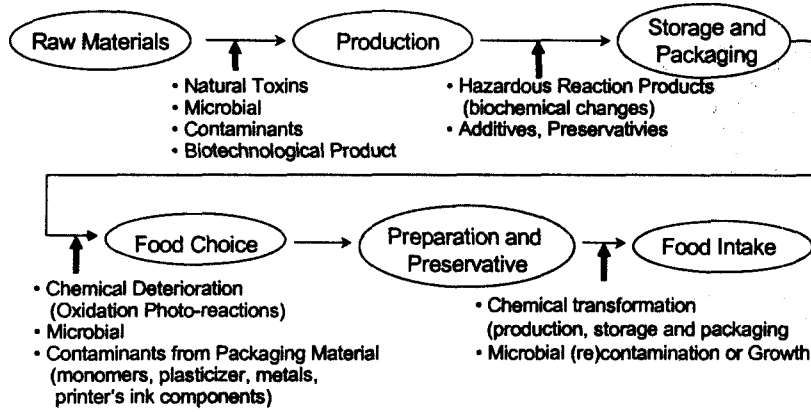
Food management Using Risk Assessment

식품의약품안전청
이 호민

Hazardous Factors Related with Food Safety



Hazardous Factors in Pathway from Raw Material to Consumer



Types of Food Safety and Quality Activities Carried Out by Principal Federal Agencies

Activity	USDA					
	FDA	AMS	FGIS	FSIS	EPA	NMFS
Inspections	x	x	x	x	x	x
Quality grading	.	x	x	.	.	x
Collect/analyze samples	x	x	x	x	x	x
Research	x	a	a	a	x	x
Develop standards for :						
Foods/trops	x	x	x	.	.	x
Facilities	x	x	.	x	.	.
Equipment	.	x	x	x	.	.
Processing procedures	x	x	.	x	.	.
Labels	x	x	.	.	x	.
Packaging	.	x	.	.	x	x
Approve before use :						
Facilities	.	x	.	x	.	.
Equipment	.	x	x	x	.	.
Processing procedures	.	x	.	x	.	.
Product recipes/formulas	.	x	.	x	.	x
Labels	.	x	.	x	x	.
Packaging	.	x
Food colors/additives	x
Animal drugs/food additives	x
Pesticide products	x	.
Set residue tolerances for :						
Pesticides	x	.
Other contaminants	x

a Agricultural Research Service carries out research for AMS, FGIS, and FSIS.



Principal Food Safety and Quality Legislation and Federal Agencies Responsible for Implementation

Legislation*	USDA					
	FDA	AMS	FGIS	FSIS	EPA	NMFS
Agricultural Marketing Act of 1946 (AMA)	•	x	x	•	•	x
Agricultural Marketing Agreement Act of 1937	•	x	•	•	•	•
Egg Products Inspection Act (EPIA)	x	x	•	•	•	•
Federal Anti-Tampering Act	x	x	•	x	•	•
Federal Food, Drug, and Cosmetic Act (FFDCA)	x	•	•	•	x	•
Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA)	•	•	•	•	x	•
Federal Meat Inspection Act (FMIA)	•	•	•	x	•	•
Federal Import Milk Act	x	•	•	•	•	•
Infant Formula Act of 1980	x	•	•	•	•	•
Lacey Act	•	•	•	•	•	x
Magnuson Fishery Conservation and Management Act	•	•	•	•	•	x
National Ocean Pollution Research and Development and Monitoring Planning Act	•	•	•	•	•	x
Pesticide Monitoring Improvements Act	x	•	•	•	•	•
Poultry Products Inspection Act (PPIA)	•	•	•	x	•	•
Public Health Service Act (PHSA)	x	•	•	•	•	•
Safe Drinking Water Act	x	•	•	•	x	•
Toxic Substances Control Act	•	•	•	•	x	•
U.S. Grain Standards Act (USGSA)	•	•	x	•	•	•

* This lists 18 of the principal laws administered by these six agencies, which also administer 10 other less significant food safety and quality laws.



Federal Agencies Responsible for Regulating, Monitoring, or Performing Quality Grading Services for Various Food Industries

Food Industry	USDA					
	FDA	AMS	FGIS	FSIS	EPA	MFS
Dairy	x	x	•	•	x	•
Eggs/egg products	x	x	•	•	x	•
Fruits/vegetables	x	x	•	•	x	•
Grain/rice/pulses	x	•	x	•	x	•
Interstate conveyances	x	•	•	•	•	•
Meat and poultry	•	x	•	x	x	•
Restaurants	x	•	•	•	•	•
Seafood	x	•	•	•	x	x

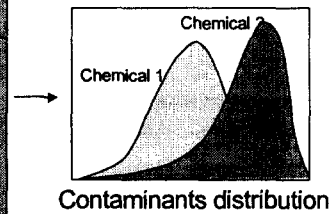
Individual Intake Form

Q1 Quick List of Food Items	Q2 Time	Q3 Occ. (Hand- Card 12)	Food/Drink And Additions	Q4 Description of Food/Drink and Ingredient Amount
A.	9:00@ p		1 Pizza	1 Slice
B.	9:00@ p		2 Coke	1 Cup
C.	a p		3 Tomato	1/2 Piece
D.	a p		4	
E.	a p		5	
F.	a p			

Basic Information Needed in Food Risk Assessment

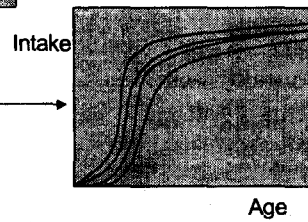
Food Contaminants Database

FOODCODE	CHEMICAL	CONCENTRATION	UNITS
62106100	61	10	ppm
62106100	23	40	ppm
62106100	237	20	ppm
62106100	100	20	ppm
62106100	100	20	ppm



Food Consumption Database

PERSON	FOODCODE	FOODMT	AGE	SEX
10011	58106230	61	21	1
10012	58106230	55	35	2
10013	58106230	69	29	1
10014	58106230	71	18	1
-	-	-	-	-
-	-	-	-	-



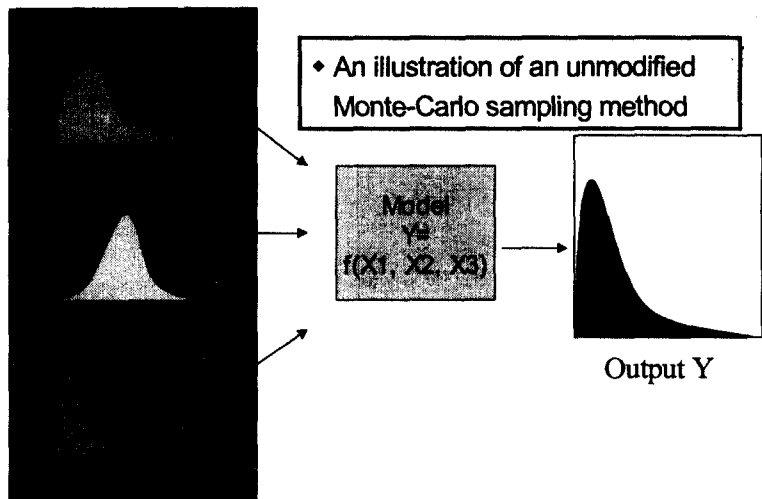


Human Exposure Assessment of Chemical Using Food Ingestion Data

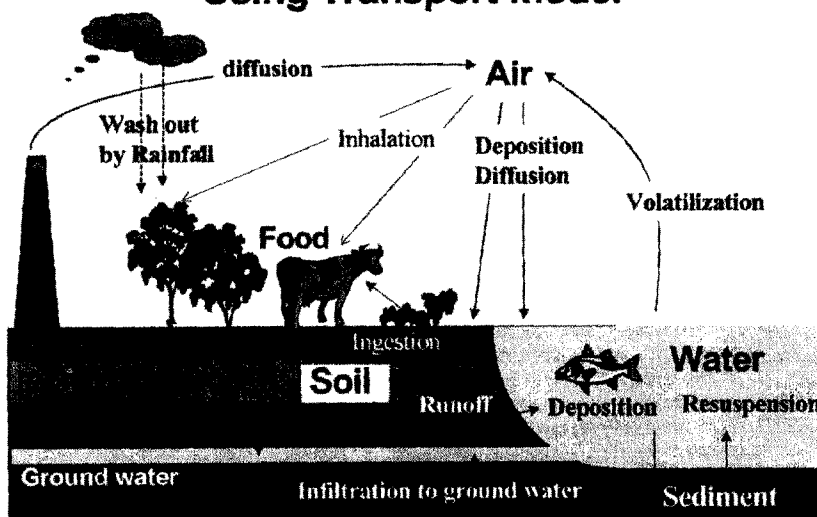
$$\text{ADD, LADD} = \frac{C \times CF \times \text{BIO} \times \text{Prep}}{\text{AT} \times \text{Days}} \times \sum_{i=1}^n \left[\frac{\text{IR}_i \times \text{EF}_i \times \text{ED}_i}{\text{BW}_i} \right]$$

ADD	average daily dose for non-cancer effects (mg/kg per day)
LADD	lifetime average daily dose for cancer effects(mg/kg per day)
C	chemical concentration in food (mg/kg)
CF	conversion factor (kg/10 ³ g)
BIO	relative oral bioavailability factor , which adjusts for difference in chemical bioavailability, if applicable (unitless)
Prep	reduction in concentration due to food preparation (unitless)
IR _i	ingestion rate for food in age period i (g/day)
EF _i	exposure frequency in age period i (days/year)
ED _i	duration of exposure in age period i (years)
BW _i	average body weight in age period i (kg)
AT	averaging time (70years for carcinogens, duration of exposure for noncarcinogens)
Days	conversion factor (365days/year)

Crystal Ball : *Monte Carlo Simulation* An efficient technique for analyzing these types of problems



Prediction of Food Contamination Using Transport model



~~Dose-Response Assessment~~

Developmental Toxicity Risk Assessment (1991)

- Guidance

~~Major Manifestations~~

- Death of the developing organism (prenatally or postnatally)
- Structural abnormalities (including birth defects or teratogenicity)
- Altered growth
- Functional deficiencies (e.g., neurological, pulmonary, cardiovascular, renal)

Several Default Assumption must be made in Developmental Toxicity Risk Assessment

- 1) Adverse effects seen in animal studies are assumed to indicate a potential risk for humans.
- 2) All manifestations of developmental toxicity are of concern.
- 3) The types of effects seen in animal studies are not assumed to be the same as those in the human.
- 4) The most appropriate (e.g., based on pharmacokinetics) or sensitive animal species is used to estimate risk to human.
- 5) In general, a threshold is assumed for the dose-response curve.

Reproductive Toxicity Risk Assessment (1996)

~~Major Manifestations~~

- Guidance

- Visual examination and histopathology.
- Sperm evaluation
(sperm number-count, morphology, motility)
- Sexual behavior
(mounts, intromissions, ejaculations)
- Hormone levels
(Luteinizing hormone, follicle stimulating hormone, testosterone, estrogen, prolactin)
- Developmental effects
(Testis descent, preputial separation, sperm production, ano-genital distance, structure of external genitalia)

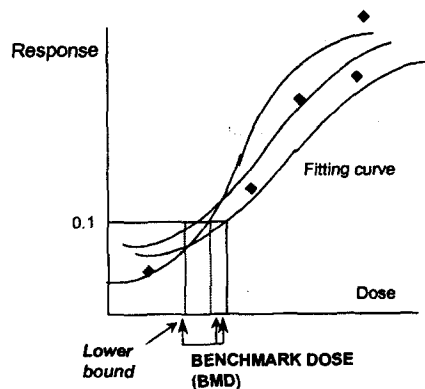
Reproductive Toxicity Risk Assessment (1996)

Major Manifestations

- Guidance

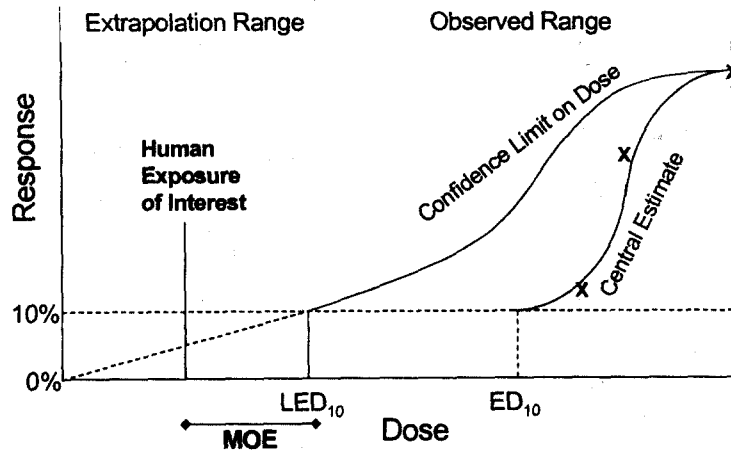
- Visual examination and histopathology
- Estrous (menstrual) cycle normality (Vaginal smear cytology)
- Sexual behavior
(Lordosis, time to mating, vaginal plugs, or sperm)
- Hormone levels
(LH, FSH, estrogen, progesterone, prolactin)
- Lactation (Offspring growth, milk quantity and quality)
- Development
(Normality of external genitalia, vaginal opening, vaginal smear cytology, onset of estrous behavior [menstruation])
- Senescence
(Vaginal smear cytology, ovarian histology [menopause])

Application of Benchmark Dose (BMD)

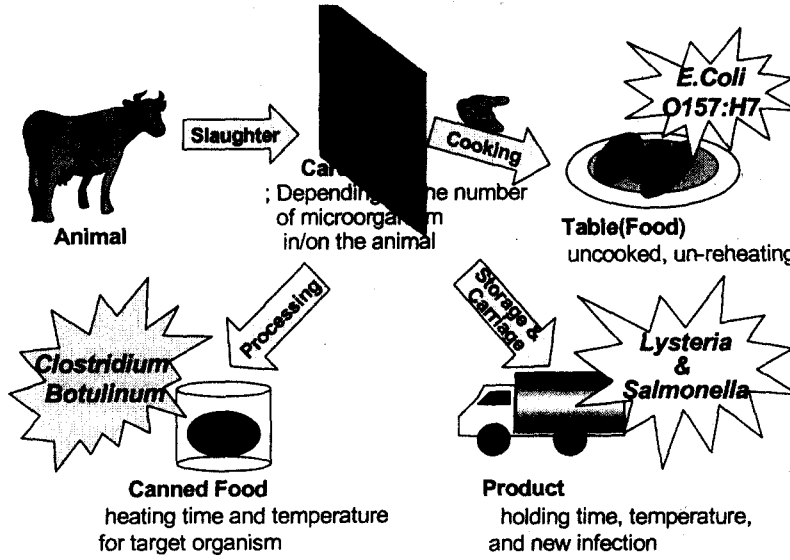


- BMD (Benchmark dose)
: The lower 95% confidence bound on dose which results in some prespecified level of excess risk
- The benchmark dose is based on a model-derived estimate of a particular incidence level, such as 5% or 10% incidence

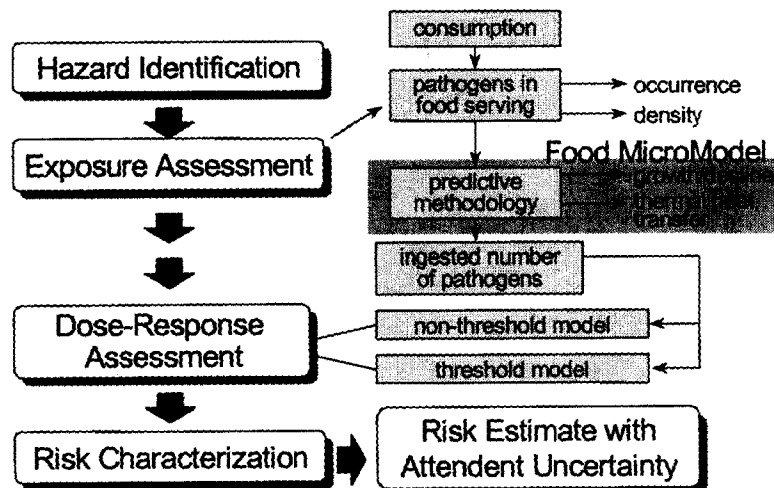
Application of Margin of Exposure using ED₁₀, LED₁₀



Microbial Risk Assessment



Procedure of Microbial Risk Assessment in food



Microbial Risk Assessment

- Hazard identification is accomplished by observing and defining the types of adverse health effects in human associated with exposure to foodborne agents
- Clinical studies, epidemiological evidence (mortality ratios, illness severity, and mortality ratios), surveillance, laboratory animal studies, investigations of characteristics of microorganisms, et.al.

Example). Cases, severity, and mortality associated with *salmonella* and *E.coli* infections in the U.S (Bennett et al., 1987; Gerba et al., 1994; Smith et al. 1993; Meyers 1989)

	Salmonella	E.coli
Annual number of cases	2,000,000	200,000
Annual number of deaths	2,000	400
% Foodborne	96.0	25.0
Mortality ratio(%)	0.1	0.2*
Severity ratio(%)**	4.1	12.7
% Associated with reactive arthritis	2.3	NA
Mortality ratio in nursing homes	3.8	11.8*

* *E.coli* O157:H7

** Hospitalized cases/Total cases during outbreaks

Microbial Risk Assessment

Example) Adverse health effects associated with risks
from exposure to salmonella

Exposure: 1 colony forming unit

- | | |
|----------------------------|------------------------|
| • Probability of infection | 7.5 x 10 ⁻³ |
| Pi = 1-exp(-0.00752 x 1) | |
| • Probability of severity | 3.1 x 10 ⁻⁴ |
| (Pi x 0.041) | |
| • Probability of mortality | 7.5 x 10 ⁻⁶ |
| (Pi x 0.001) | |

Risk of mortality = Pi x mortality ratio

Microbial Risk Assessment



Food MicroModel

Input

Temperature : 10-30°C, NaCl(%) : 0.5
 pH : 4.5 - 6.0, Water activity : 0.997

Food MicroModel

Output

Growth & Survival Model

Ex) Temp 10°C, NaCl 0.5%,

pH 5.0

- Growth rate : 1.02 (log₁₀/hr)

- Doubling time : 33.9 min

Thermal Death Model

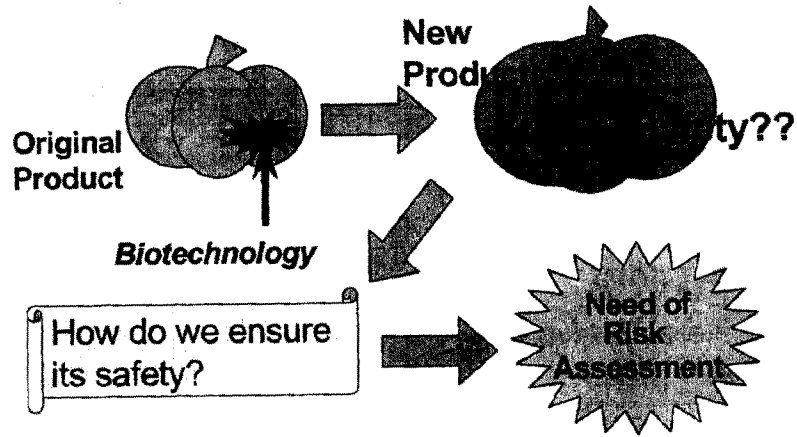
Ex) Temp 54.5°C, NaCl 0.5%,

a_w 0.997, pH 5.2

D₁₀ 152 (min) at 100°C

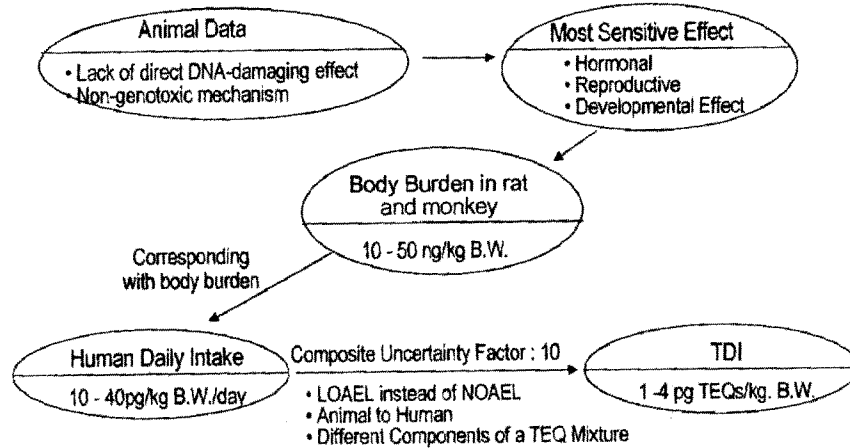
2.5 min

Application of Biotechnology



Management Study Case

WHO Revises the Tolerable Daily Intake (TDI) to the TCDD (Dioxin '98)



PCDDs/PCDFs Level in Food by Nations (Dioxin '98)

Food	Level	Nation	Year
Milk	0.84pg TEQ/g lipid	USA	1998
Beef	1.36pg TEQ/g lipid		1971
Potato	0.8 pg TEQ/kg wet		1994-1997
Chicken	2.54ng TEQ/g lipid	Russia	1998
Fish	173.0 pg TEQ/g lipid		1998
Butter	0.55 pg TEQ/g fat	Germany	1996
Beef	0.46 ng TEQ/kg fat		1998
Poultry	0.22 ng TEQ/kg fat		1998
Beef	1.75 pg TEQ/g fat	Netherlands	1990-1991
Milk	1.49 pg TEQ/g fat		1990-1991
Salmon	56 ng TEQ/g wet	Sweden	1994
Seafish	0.87 ng TEQ/kg fat	Japan	1996
Market fish	0.33 ng TEQ/kg fat		1996



Contaminants in Swedish Human Milk Decreasing Levels of Organochlorine and Increasing Levels of Organobromine Compounds.

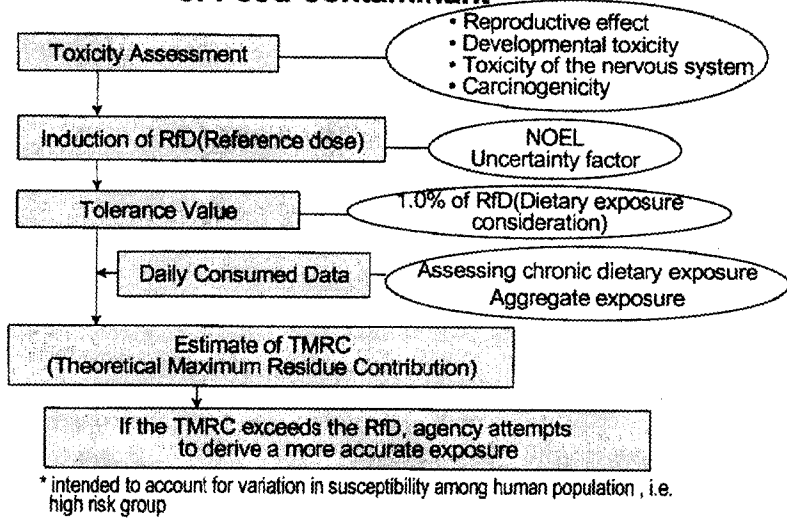
Year	Pollution Contribution (human milk)
1972	DDE > PCB > DDT > HCB 57% 24% 16% 13%
1997	PCB > DDE > DDT > HCB > PBDE 67% 27% 3% 2% 1%

Half Life Estimation of Hazardous Compounds in Human Milk.

Compound	Half-life	Compound	Half-life
DDT	4 years	PCN	8 years
DDE	6 years	TEQ PCDDs/PCDFs/PCB	15 years
PCB	14 years	HCB	6 years

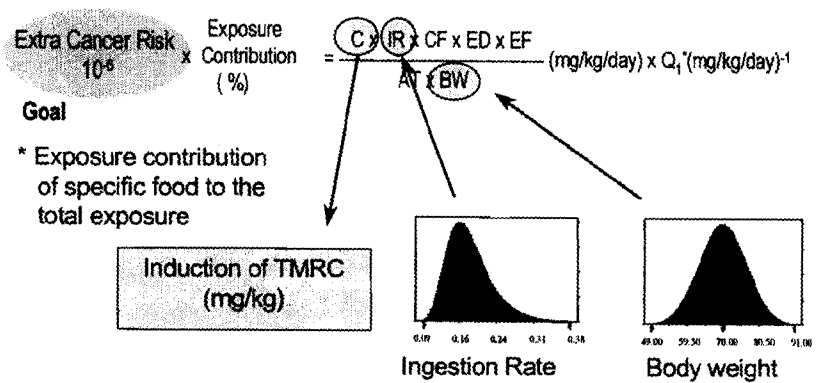
Management Study Case

Induction of Theoretical Maximum Residue Concentration (TMRC) for management of Food Contaminant



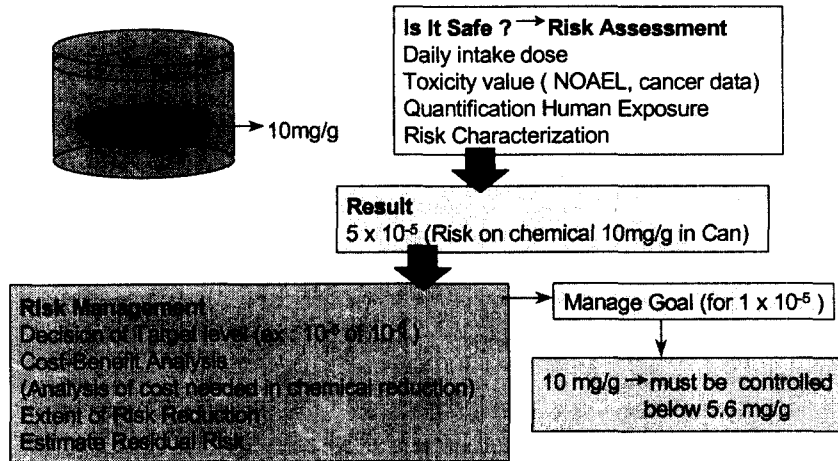
Management Strategy

Finding of TMRC (Theoretical Maximum Residue Concentration) Value for Carcinogen Using Current Consumption Data



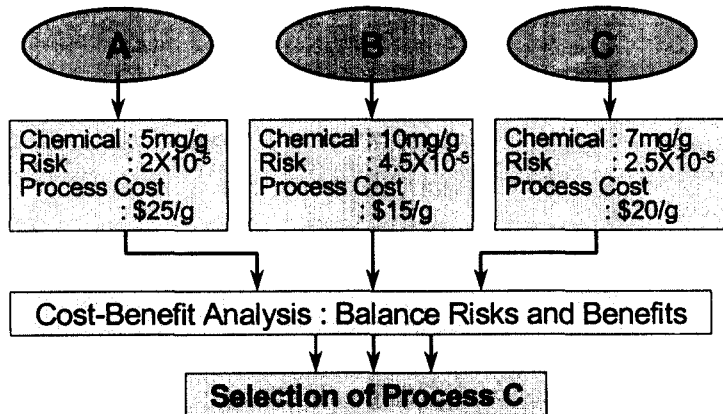
Application of Risk Assessment for Food Management

Ex) Management on chemical A in some food



Cost-Benefit Analysis

Treatment method



RISK MANAGEMENT FRAMEWORK

ELEMENTS OF RISK MANAGEMENT

A. Risk evaluation

- Identification of a food safety problem
- Establishment of a risk profile
- Ranking of the hazard for risk assessment and risk management priority
- Establishment of risk assessment policy for conduct of risk assessment
- Commissioning of risk assessment
- Consideration of risk assessment

B. Risk management option assessment

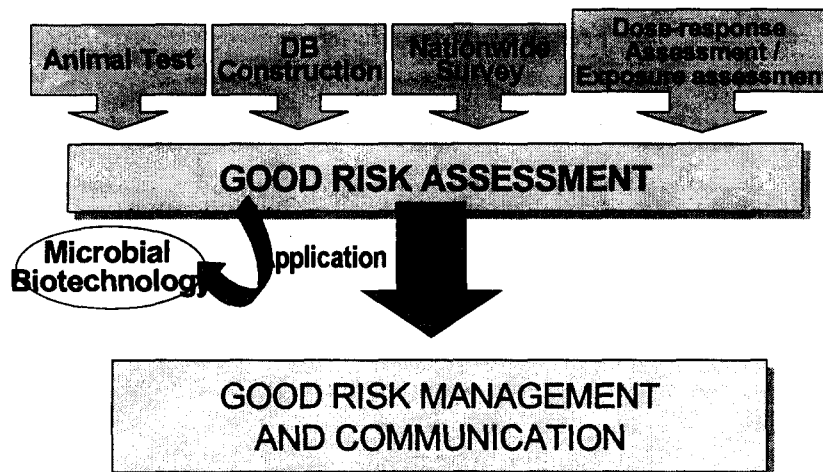
- Identification of available management options
- Selection of referred management option, including consideration of an appropriate safety standard.
- Final management decision

C. Implementation of management decision

D. Monitoring and review

- Assessment of effectiveness of measures taken
- Review risk management and /or assessment as necessary

Management Goal of Food



References

- John de Vries, Ed., Food Safety and Toxicity, CRC Press, 1997
- Loris G. Cockerham and Barbara S.Shane, Ed., Basic Environmental Toxicology, CRC Press, 1994
- B.G.Tweedy et al., Ed., Pesticide Residues and Food Safety, ACS Symposium series 446, 1991
- David R. Patrick, Ed., Toxic Air Pollution Handbook, Van Nostrand Reinhold, 1994
- McKenna & Cuneo and Technology Sciences Group Inc., Ed., Pesticide Regulation Handbook, Executive Enterprises Publication Co., Inc.,1991
- Office of Emergency and Remedial Response, U.S.EPA, Risk Assessment Guidance for Superfund ,Vol.1, Human Health Evaluation Manual(part A), 1989
- Fina P. Kaloyanova and Mostafa A. El Batawi, CRC Press, 1991
- ICF Kaiser, TOX_RISK ver 4.0, Toxicology Risk Assessment Program, 1995
- WHO(Food Safety Unit, Programme of Food Safety and Food Aid) GEMS/FOOD Regional Diet, 1998

References

- FAO/WHO, Risk Management and Food Safety, 1997
- Michael H.Cassin, et al. Quantitative risk assessment for Escherichia coli O157: H7 in ground beef hamburgers. International Journal of Food Microbiology 41(1998) 21-44
- U.S.EPA. Guidelines for Reproductive Toxicity Risk Assessment. 1996
- Harry M.Marks, et al. Topics in Microbial Risk Assessment: Dynamic Flow Tree Process. Risk Analysis 18(1998) 309-328
- Charles N.Haas. Estimation of Risk due to Low Doses of Microorganisms: A Comparison of Alternative Methodologies. American Journal of Epidemiology 118(1983) 573-582
- U.S.GAO. Food Safety and Quality : Who does what in the federal government. 1990