

## 완전 선형화 가능한 미지구조를 가지는 비선형 시스템

주성준, 전 희

삼성전자 LSI3사업부 SPS 그룹 IMC 파트

### Completely Feedback Linearizable Families for Uncertain Nonlinear Sy

Joo SungJun, Jeon Hee

Samsung Electronics Co. LTD. LSI 3 Div. SPS Group IMC part.

**Abstract** - In this paper, we characterize the whole class of vector fields that can be linearized by a given nominal state transformation and a feedback linearizing controller. The necessary and sufficient condition for a given uncertain vector field to be so-called "completely linearizable by the nominal coordinate transformation" is given in terms of Lie Bracket of uncertain vector fields and some suitable vector fields of the nominal system.

#### 1. Introduction

We consider the feedback linearizing control of single input nonlinear systems that have uncertainty. The principal limitation of linearization is that the system dynamics are not known exactly for the nonlinearities which are successfully cancelled.

The main objective of this paper is to state necessary and sufficient conditions for nonlinear systems which can be transformed into the linear controllable system with unknown parameters. If a nonlinear system with uncertainty is transformed into a linear system with unknown parameters, then we apply robust control techniques such as Kharitonov-based design for linear systems to stabilize the uncertain system. Thus, using an only regular state-feedback controller, the system can be stabilized in the presence of uncertainty.

#### 2. Main Results

Consider a dynamical system:

$$\Sigma_p : \dot{x} = f_p(x, p) + g(x)u, \quad x(0) = x_0, \quad (1)$$

where  $x \in \mathbb{R}^n$ ,  $u \in \mathbb{R}$ ,  $p \in B_r^h \subset \mathbb{R}^m$ ,  $f_p, g$  are assumed to be smooth vector fields on the smooth manifold  $\Omega \subset \mathbb{R}^n$ .

Let the nominal nonlinear plant modelled by (1) be of the form:

$$\Sigma_{f_0} : \dot{x} = f_0(x, p_0) + g(x)u \quad (2)$$

where  $f_0, g$  are assumed to be known smooth vector fields on the smooth manifold  $\Omega \subset \mathbb{R}^n$ .  $p_0$  is a known nominal constant vector.

If the modelling error is defined as

$$\Delta f(x, p, p_0) = f_p(x, p) - f_0(x, p_0), \quad (3)$$

the system (1) can be rewritten in terms of the nominal vector field  $f_0$  and the modelling error  $\Delta f$  is,

$$\dot{x} = f_0(x, p_0) + \Delta f(x, p, p_0) + g(x)u. \quad (4)$$

For notational simplicity, we use  $f_0(x) \triangleq f(x, p_0)$  and  $f_p(x) \triangleq f(x, p)$ .

##### Assumption 1

The nominal system (2) is input-state linearizable in  $\Omega$ . □

By Assumption 1, there exists a nonlinear coordinate transformation  $\phi^h: \Omega \rightarrow V \subset \mathbb{R}^n$  of the form:

$$z = \phi^h(x) = [T, L_{f_0}T, \dots, L_{f_0}^{n-1}T]^T, \quad (5)$$

where  $T(x)$  is a smooth scalar function satisfying  $\langle dT, ad_{f_0}^k g \rangle = 0, k=0, \dots, n-2$  and

$$\langle dT, ad_{f_0}^{n-1} g \rangle \neq 0, \quad \forall x \in \Omega.$$

Since  $\phi^h: \Omega \rightarrow V \subset \mathbb{R}^n$  is a diffeomorphism, define a tangent mapping  $\phi^h: T\Omega \rightarrow TV$  as follows:

$$\phi^h(x) = [dT(x) \quad dL_{f_0}T(x) \quad \dots \quad dL_{f_0}^{n-1}T(x)]^T. \quad (6)$$

A nominal feedback linearizing controller can be obtained as follows:

$$u = \frac{-L_g^* T}{L_g L_g^{*n-1} T} + \frac{1}{L_g L_g^{*n-1} T} v \triangleq \alpha(x) + \beta(x)v. \quad (7)$$

with  $\beta(x) \neq 0, \forall x \in \Omega$ .

Hence, if we denote  $\bar{f}_0(x) \triangleq f_0(x) + \alpha(x)g(x)$   
 $\bar{g}(x) \triangleq \beta(x)g(x)$ , the feedback transformed system can be obtained as follows:

$$\bar{\Sigma}_n: \dot{x} = \bar{f}_0(x) + \bar{g}(x)v. \quad (8)$$

Using  $z = \phi^n(x)$ , the closed-loop system is transformed into a linear controllable system so-called Brunovsky controller form:

$$\dot{z} = Az + bu, \quad (9)$$

with

$$(\phi^n(x) \bar{f}_0(x))(z) = Az, \quad (\phi^n(x) \bar{g}(x))(z) = b. \quad (10)$$

where  $(A, b)$  is Brunovsky normal pair.

Since for a diffeomorphism  $\phi^n: \Omega \rightarrow V \subset \mathbb{R}^n$  arbitrary smooth vector fields  $f_1, f_2$  on  $\Omega$  identity  $\phi^n[f_1, f_2](z) = [\phi^n f_1, \phi^n f_2](z)$  holds ([7]) have

$$(\phi^n \text{ad}_{\bar{f}_0} \bar{g})(z) = (\phi^n [\bar{f}_0, \bar{g}])(z) = [Az, b] = -Ab \quad (11)$$

and similarly for  $k \in \mathbb{N}$

$$\begin{aligned} (\phi^n \text{ad}_{\bar{f}_0}^k \bar{g})(z) &= [\phi^n \bar{f}_0, \phi^n \text{ad}_{\bar{f}_0}^{k-1} \bar{g}](z) \\ &= [Az, (-1)^{k-1} A^{k-1} b] = (-1)^k A^k b. \end{aligned} \quad (12)$$

Now, we define a set  $\Lambda$  of the vector field as follows:

$$\Lambda \triangleq \left\{ X \mid X = \sum_{k=1}^n a_k \text{ad}_{\bar{f}_0}^{k-1} \bar{g}(x), a_k \in \mathbb{R} \right\}, x \in \Omega. \quad (13)$$

If we choose an arbitrary vector field  $X \in \Lambda$  it satisfies the following relation:

$$\begin{aligned} (\phi^n X)(z) &= (-1)^{n-1} a_n A^{n-1} b + \dots + (-1) a_2 A b + a_1 b \\ &= \sum_{k=1}^n (-1)^{k-1} a_{n-k+1} \frac{\partial}{\partial z_k}, \quad \forall x \in \Omega, a_i \in \mathbb{R}, i \in \mathbb{N}, \end{aligned} \quad (14)$$

where  $\partial/\partial z_1, \dots, \partial/\partial z_n$  are the coordinates vector fields associated with the  $z$ -coordinates.

From (14), the representation of a vector  $\text{ad}_{\bar{f}_0}^{k-1} \bar{g}(x), k \in \mathbb{N}$  in the coordinates of (5) can easily be obtained as follows:

$$(\text{ad}_{\bar{f}_0}^{k-1} \bar{g})(z) = (-1)^{k-1} \frac{\partial}{\partial z_{n-k+1}}. \quad (15)$$

#### Definition 2

A smooth vector field on a manifold  $\Omega$  is a completely linearizable vector field by a coordinate transformation  $z = \phi^n(x)$ , if there exists a matrix  $M$  in  $\mathbb{R}^{n \times n}$  and constant vector  $\theta$  in such that

$$(\phi^n(x) \tilde{f}(x))(z) = Mz + \theta. \quad (16)$$

#### Lemma 3

Let  $\tilde{f}(x)$  be a smooth vector field on  $\Omega$ . The vector field  $\tilde{f}$  is a completely linearizable vector field by a coordinate transformation  $z = \phi^n(x)$  if and only if

$$[\tilde{f}, \text{ad}_{\bar{f}_0}^{k-1} \bar{g}] \in \Lambda, k \in \mathbb{N}. \quad (17)$$

#### Theorem 4

Suppose that the nonlinear system (1) Assumption 1. Then, by the nominal coordinate transformation (5) and the nominal linearizing control (7), the uncertain system can be transformed into a linear system with parameters if and only if

$$[\Delta f, \text{ad}_{\bar{f}_0}^{k-1} \bar{g}] \in \Lambda, k \in \mathbb{N}. \quad (18)$$

□

Proof) Sufficiency:

Substituting (7) into (4) we obtain

$$\dot{x} = \bar{f}_0(x) + \Delta f(x, p, p_0) + \bar{g}(x)v, \quad (19)$$

Since, by lemma 3, the modeling error  $\Delta f$  completely linearizable vector field by the coordinate transformation (5), we have

$$\begin{aligned} \phi^n(\bar{f}_0 + \Delta f)(z) &= Az + M(p)z + \theta(p), \\ \phi^n(\bar{g})(z) &= b, \end{aligned} \quad (20)$$

$$\text{where } M(p) = \begin{pmatrix} m_{11}(p) & \dots & m_{1n}(p) \\ \vdots & \ddots & \vdots \\ m_{n1}(p) & \dots & m_{nn}(p) \end{pmatrix}, \theta(p) = \begin{pmatrix} m_{01}(p) \\ \vdots \\ m_{0n}(p) \end{pmatrix},$$

$$m_{ij}(\cdot): \mathbb{R}^p \rightarrow \mathbb{R} \quad (i=0, 1, 2, \dots, n, j=1, 2, \dots, n).$$

Therefore, by the nominal coordinate transformation (5) and the nominal feedback linearizing control the system (1) can be transformed into system:

$$\dot{z} = (A + M(p))z + bv + \theta(p) \quad (21)$$

Necessity:

Suppose the nominal coordinate transformation  $z = \phi^n(x)$  transforms the nonlinear system (34), it follows from Lemma 3 that the result is satisfied. □□□

In order to design control  $v$  which stabilizes linear system with uncertain parameters, the system (32) should be stabilizable for all  $p \in B_r^p$ . The following theorem gives a controllability condition for the linear uncertain system (34).

#### Theorem 5

Suppose that the nonlinear system (1) satisfies conditions of Theorem 4. Then the linear system with uncertain parameters (35) is controllable

$p \in B_r^{p_0}$  if and only if the distribution defined

$$D_n^{f, g} = \text{span}\{g, ad_f g, \dots, ad_f^{n-1} g\}, \quad (22)$$

satisfies  $\dim D_n^{f, g} = n$  for all  $p \in B_r^{p_0}$  and  $x \in \Omega$

□

To prove the above theorem, we need the following lemma.

**Lemma 6**

Suppose a distribution  $D_k^{\bar{f}, \bar{g}}$  where  $\bar{f}_p = f_0 + ag + \Delta f$  and  $\bar{g} = \beta g$  is defined as

$$D_k^{\bar{f}, \bar{g}} = \text{span}\{\bar{g}, ad_{\bar{f}} \bar{g}, \dots, ad_{\bar{f}}^{k-1} \bar{g}\}, \quad k \in \mathbb{N}. \quad (23)$$

Then for each  $p \in B_r^{p_0}$  and  $x \in \Omega$ , if the distributions

$D_i^{\bar{f}, \bar{g}}$  ( $i=1, 2, \dots, k$ ) are all involutive, then

$$D_k^{f, g} = D_k^{\bar{f}, \bar{g}}, \quad k \in \mathbb{N}, \quad (24)$$

at each  $x \in \Omega$ .

□

(Proof of Theorem 5)

Sufficiency: For the linear system with parameters (34), the distributions  $D_1, \dots, D_n$  are the following flat distributions, defined

$$D_k^{A, b} = \text{Im}\{b | A_m b | \dots | A_m^{k-1} b\} \subset \mathbb{R}^n, \quad k \in \mathbb{N} \quad (25)$$

where the superscripts  $A_m, b$  indicate dependence on the vector  $f$  and  $b$ .

Clearly, the distributions  $D_k^{A, b}$ ,  $k \in \mathbb{N}$ , are involutive. Since it follows from (36) and the involutiveness of  $D_k^{A, b}$  that  $(\phi_*^k ad_{\bar{f}}^k \bar{g})(x) = (-1)^k A_m^k b$  where  $\bar{f}_p = \bar{f}_0 + \Delta f$  that

$$D_k^{\bar{f}, \bar{g}} = (\phi_*^k)^{-1} D_k^{A, b} (\phi_*^k(x)) \quad (26)$$

where  $(\phi_*^k)^{-1} D_k^{A, b} (\phi_*^k(x)) = \{X(x) | \phi_*^k X(x) \in D_k^{A, b}\}$ ,

we conclude that all the distributions  $D_k^{\bar{f}, \bar{g}}$  are also involutive. By Lemma 6, it follows that the involutiveness of distributions  $D_k^{\bar{f}, \bar{g}}$ ,  $k \in \mathbb{N}$

$D_k^{\bar{f}, \bar{g}} = D_k^{f, g}$ . Therefore, we have

$$\dim D_k^{f, g} = \dim D_k^{A, b} = \dim \text{Im}\{b | \dots | A_m^{k-1} b\} = n. \quad (27)$$

Necessity: Assume that the linear system is controllable. Then we have

$$\dim D_n^{A, b} = \dim \text{Im}\{b | A_m b | \dots | A_m^{n-1} b\} = n, \quad k \in \mathbb{N} \quad (28)$$

However, the distributions  $D_k^{A, b}$ ,  $k \in \mathbb{N}$  are not involutive in  $\Omega$ . Thus by Lemma 6 we have

$$\dim D_n^{A, b} = \dim D_n^{\bar{f}, \bar{g}} = \dim D_n^{f, g} = n. \quad (29)$$

□□□

To stabilize the linearized system (34), we use a new input  $v$  of the form

$$v = -k_1 z_1 - k_2 z_2 - \dots - k_n z_n, \quad k_i \in \mathbb{R}, \quad i \in \mathbb{N}. \quad (30)$$

Thus, the complete closed-loop system of (30) is as follows:

$$\begin{pmatrix} \dot{z}_1 \\ \dot{z}_2 \\ \vdots \\ \dot{z}_n \end{pmatrix} = \begin{pmatrix} m_{11} & \dots & m_{1n} \\ m_{21} & \dots & \vdots \\ \vdots & \ddots & \vdots \\ -k_1 + m_{n1} & \dots & -k_n + m_{nn} \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \\ \vdots \\ z_n \end{pmatrix} + \begin{pmatrix} m_{01} \\ m_{02} \\ \vdots \\ m_{0n} \end{pmatrix} \quad (31)$$

Now, we consider a design technique to stabilize the system (44). We want to obtain conditions for all characteristic roots of the system to be in the left half plane despite the variation of parameters  $m_{ij}$ ,  $i=0, 1, \dots, n$ ,  $j \in \mathbb{N}$ . If we can choose the feedback gain  $k_1, k_2, \dots, k_n$  which form a family of characteristic polynomials of (44) that are Hurwitz, then the closed-loop system (44) is stabilized. The study of polynomial robustness results has been active in recent years. A number of useful results have been obtained. Kharitonov's Theorem is a powerful result that enables the robust stability of an interval polynomial to be determined by checking only four polynomials.

### 3. Conclusion

Necessary and sufficient conditions for a class of uncertain nonlinear systems that can be linearized by the given nominal state transition matrix and the feedback linearizing controller have been discussed. The proposed condition is different from the previous matching conditions. If a nonlinear system satisfies the proposed conditions, the system can be transformed into a linear system with uncertain parameters and thus be stabilized by a regular static feedback controller.

#### (Reference)

- [1] S.P. Bhattacharyya, H. Chapellat and L. Keel, *Robust Control: the parametric approach*, Prentice-Hall, 1995.
- [2] S.J. Joo, J.J. Byun, H.B. Shim and S.H. Lee, "Design and analysis of the nonlinear feedback linearizing controller for an EMS system.", IEEE Conference on Control Applications, G. U.K., pp. 593-598, 1994.
- [3] I. Kanellakopoulos, P.V. Kokotovic and R. Spong, "An extended directed scheme for robust nonlinear control", *Automatica*, Vol. 27, pp. 1-12, March 1991.
- [4] R. Marino and P. Tomei, *Nonlinear Design*, Prentice-Hall, 1995.
- [5] H. Nijmeijer and A.J. van der Schaft, *Dynamical Control Systems*, Springer-Verlag, 1990.