

## A Study on the Applicability of Augmented Proportional Navigation Guidance

Fumiaki Imado\*, Akira Ichikawa\* and Kimio Kanai\*\*

\* Central Research Laboratory, Mitsubishi Electric Corporation  
8-1-1 Tsukaguchi-Honmachi Amagasaki Hyogo 661, JAPAN

\*\* Faculty of Aeronautics and Astronautics, Japan Defense Academy  
1-10-20 Hashirimizu Yokosuka Kanagawa 239, JAPAN

### Abstract

Augmented proportional navigation requires the information of a target acceleration, which must be estimated by a filtering logic. The process necessary accompanies a time lag, which degrades the guidance performance.

A trade-off study between augmented and conventional proportional navigation is conducted with the time lag taken into consideration. The result shows that the conventional proportional navigation has better performance against a target maneuver in the missile-target coplane, while the augmented has better performance against out-of-coplane maneuver.

### Nomenclature

$a_{cmax}$  = missile lateral acceleration command limit  
 $a_p, a_y, a_{pc}, a_{yc}$  = missile-pitch and yaw-axis lateral accelerations and their command signals  
 $a_{pt}, a_{yt}$  = aircraft-desired pitch and yaw acceleration components, respectively  
 $a_{tp}, a_{ty}$  = aircraft acceleration components measured in missile pitch and yaw axis, respectively  
 $\hat{a}_{tp}, \hat{a}_{ty}$  = filtered values of  $a_{tp}$  and  $a_{ty}$ , respectively  
 $C_D$  = drag coefficient  
 $C_{D0}$  = zero-lift drag coefficient  
 $C_L, C_{L\alpha}$  = lift coefficient and lift coefficient derivative  
 $D, D_m$  = aircraft and missile drag, respectively  
 $g$  = acceleration of gravity  
 $g_{bias}$  = gravity compensation term in missile guidance system  
 $h$  = altitude  
 $k$  = aircraft induced-drag coefficient  
 $k_1, k_2$  = missile drag coefficients  
 $L$  = lift  
 $MD$  = miss distance  
 $m$  = mass

$N_e, N_r$  = missile and aircraft effective navigation constants, respectively  
 $r, r_x, r_y, r_z$  = relative range between missile and aircraft and its inertial x, y and z components, respectively  
 $s$  = reference area  
 $T$  = thrust  
 $t$  = time  
 $v$  = velocity  
 $v_c$  = closing velocity  
 $x, y, z$  = inertial coordinates  
 $\alpha, \alpha_0$  = angle of attack and zero lift angle  
 $\gamma, \psi$  = flight path and azimuth angles, respectively  
 $\eta$  = introduced angle to rotate line-of-sight rate vector  
 $\rho, \rho_m$  = air densities at aircraft and missile altitudes, respectively  
 $\vec{\sigma}, \dot{\sigma}_p, \dot{\sigma}_y$  = line-of-sight rate vector and its pitch and yaw components, respectively  
 $\dot{\sigma}_{xI}, \dot{\sigma}_{yI}, \dot{\sigma}_{zI}$  = inertial x, y and z components of  $\vec{\sigma}$ , respectively  
 $\tau$  = missile time constant  
 $\tau_1$  = target acceleration filter time constant  
 $\tau_\alpha, \tau_\phi$  = aircraft  $\alpha$  and  $\phi$  control time constants, respectively  
 $\phi$  = aircraft roll angle  
 $(\dot{\quad})$  = time derivative  
 $(\vec{\quad})$  = vector  
 Subscripts  
 $0$  = initial value  
 $c$  = control command signal  
 $f$  = terminal (final) value  
 $I$  = inertial coordinate  
 $max$  = maximum value  
 $m$  = missile  
 $p$  = pitch component  
 $t$  = aircraft (target)  
 $y$  = yaw or y component

## Introduction

The authors have studied the fighter evasive maneuvers against PNG (proportional navigation guidance) missiles, such as the optimal evasive maneuvers, the maximum sustained g turns<sup>1</sup>, and the HGBs (high-g barrel roll)<sup>2</sup>. The results show that, the fighter has enough evasive opportunity, if it employs its maximum performance. Many papers suggest the employment of modern control theory in order to improve PNG, but its implementation still seems to be difficult in current hardware point of view. However, APNG (augmented PNG)<sup>3</sup> seems promising in near future. The essential idea of APNG is to introduce a target lateral acceleration compensation term into PNG. Therefore provided with the information on the target lateral acceleration, its application to a current PNG missile is straightforward. Unfortunately the obtained information is deeply contaminated by noise, therefore the true value must be estimated. The use of an extended Kalman filter is assumed here. The superiority of APNG over PNG is well exhibited in our preceding studies<sup>3,4</sup>, but in these papers simulations are based on the true target acceleration. As the estimating process is necessary accompanied with a delay time, in this paper the effect is introduced as a first order time lag, and the applicability of APNG in an actual missile is evaluated. Simultaneously, a trade-off study of PNG and APNG are carried out by massive simulations in the parameter space of the missile and target initial geometries and guidance law parameters. In this paper, the mathematical models of the dynamics and the guidance laws of the missile and aircraft are briefly explained first. Next, the results of the simulation study are summarized and discussed.

## Mathematical Simulation Model

The model employed is the same as that of Ref. (4), but stated here for the reader's convenience. Figure 1 shows missile and aircraft symbols. Point mass models are used for both vehicles, and a no side-slip condition is assumed for the aircraft. The equations employed are as follows:

### Aircraft Dynamics

$$\dot{v}_t = \frac{1}{m_t} (T_t \cos \alpha - D) - g \sin \gamma_t \quad (1)$$

$$\dot{\gamma}_t = \frac{1}{m_t v_t} (L + T_t \sin \alpha) \cos \phi - \frac{g}{v_t} \cos \gamma_t \quad (2)$$

$$\dot{\psi}_t = \frac{(L + T_t \sin \alpha)}{m_t v_t \cos \gamma_t} \sin \phi \quad (3)$$

$$\dot{x}_t = v_t \cos \gamma_t \cos \psi_t \quad (4)$$

$$\dot{y}_t = v_t \cos \gamma_t \sin \psi_t \quad (5)$$

$$\dot{h}_t = v_t \sin \gamma_t \quad (6)$$

where

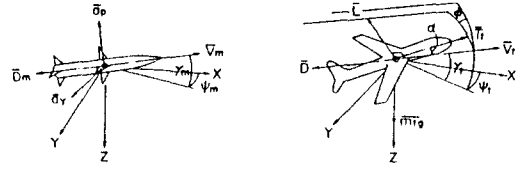


Fig. 1 Missile and aircraft symbols

$$L = 1/2 \rho v_t^2 s_t C_L \quad (7)$$

$$C_L = C_{L\alpha} (\alpha - \alpha_0) \quad (8)$$

$$D = 1/2 \rho v_t^2 s_t C_D \quad (9)$$

$$C_D = C_{D0} + k C_L^2 \quad (10)$$

## Missile Dynamics

$$\dot{v}_m = \frac{1}{m_m} (T_m - D_m) - g \sin \gamma_m \quad (11)$$

$$\dot{a}_p = (a_{pc} - a_p) / \tau \quad (12)$$

$$\dot{a}_y = (a_{yc} - a_y) / \tau \quad (13)$$

$$\dot{\gamma}_m = (a_p - g \cos \gamma_m) / v_m \quad (14)$$

$$\dot{\psi}_m = \frac{a_y}{v_m \cos \gamma_m} \quad (15)$$

$$\dot{x}_m = v_m \cos \gamma_m \cos \psi_m \quad (16)$$

$$\dot{y}_m = v_m \cos \gamma_m \sin \psi_m \quad (17)$$

$$\dot{h}_m = v_m \sin \gamma_m \quad (18)$$

where

$$D_m = k_1 v_m^2 + k_2 \frac{a_p^2 + a_y^2}{v_m^2} \quad (19)$$

where

$$k_1 = 1/2 \rho_m s_m C_{D0m} \quad (20)$$

$$k_2 = k_m m_m^2 / (1/2 \rho_m s_m) \quad (21)$$

## Missile Control

### PNG

Roll stabilized proportional navigation is assumed with signal saturation taken into account. The pitch and yaw-axis acceleration commands  $a_{pc}$  and  $a_{yc}$  are given by

$$a_{pc} = \begin{cases} N_e v_c \dot{\sigma}_p + g_{bias} & \text{for } |a_{pc}| \leq a_{cmaz} \\ a_{cmaz} \text{ sign}(a_{pc}) & \text{for } |a_{pc}| > a_{cmaz} \end{cases} \quad (22)$$

$$a_{yc} = \begin{cases} N_e v_c \dot{\sigma}_y & \text{for } |a_{yc}| \leq a_{cmaz} \\ a_{cmaz} \text{ sign}(a_{yc}) & \text{for } |a_{yc}| > a_{cmaz} \end{cases} \quad (23)$$

where  $g_{bias}$  is the compensation term for gravity, and given by

$$g_{bias} = g \cos \gamma_m \quad (24)$$

In Eqs. (22) and (23),  $N_e$  is the effective navigation con-

stant,  $\dot{\sigma}_p$  and  $\dot{\sigma}_y$  are the target LOS (line-of-sight) rate vector pitch and yaw components measured in the missile body axes, and  $v_c$  is the closing velocity given by

$$\ddot{\sigma} = \frac{\bar{r} \times (d/dt) \bar{r}}{\bar{r} \cdot \bar{r}} = \frac{1}{r^2} \begin{bmatrix} r_y \dot{r}_z - r_z \dot{r}_y \\ r_x \dot{r}_z - r_z \dot{r}_x \\ r_x \dot{r}_y - r_y \dot{r}_x \end{bmatrix} = \begin{bmatrix} \dot{\sigma}_{zI} \\ \dot{\sigma}_{yI} \\ \dot{\sigma}_{xI} \end{bmatrix} \quad (25)$$

$$\dot{\sigma}_p = -\sin\psi_m \dot{\sigma}_{zI} + \cos\psi_m \dot{\sigma}_{yI} \quad (26)$$

$$\dot{\sigma}_y = \sin\gamma_m (\cos\psi_m \dot{\sigma}_{zI} + \sin\psi_m \dot{\sigma}_{yI}) + \cos\gamma_m \dot{\sigma}_{xI} \quad (27)$$

and

$$v_c = -\dot{r} = \frac{-(r_x \dot{r}_x + r_y \dot{r}_y + r_z \dot{r}_z)}{r} \quad (28)$$

where  $r$  is missile-aircraft relative range and  $r_x$ ,  $r_y$ , and  $r_z$  are its inertial three axes components.

## APNG

It is well known that PNG is obtained as the optimal control against a nonmaneuvering target, while APNG is against a maneuvering target.<sup>3</sup> In APNG, the target (aircraft) lateral acceleration must be employed. Practically, this value must be estimated under a noisy condition, and an extended Kalman Filter<sup>5</sup> may be used. Figure 2 shows an example of the estimated target acceleration. The output is approximated through a first order lag to true target acceleration, where the time constant  $\tau_1$  is a function of noise level and sampling time. The pitch and yaw acceleration commands  $a_{pc}$  and  $a_{yc}$ , with APNG, are given by

$$a_{pc} = \begin{cases} N_c (v_c \dot{\sigma}_p + \frac{1}{2} \hat{a}_{tp}) + g_{bias} & \text{for } |a_{pc}| \leq a_{cmax} \\ a_{cmax} \operatorname{sign}(a_{pc}) & \text{for } |a_{pc}| > a_{cmax} \end{cases} \quad (29)$$

$$a_{yc} = \begin{cases} N_c (v_c \dot{\sigma}_y + \frac{1}{2} \hat{a}_{ty}) & \text{for } |a_{yc}| \leq a_{cmax} \\ a_{cmax} \operatorname{sign}(a_{yc}) & \text{for } |a_{yc}| > a_{cmax} \end{cases} \quad (30)$$

where

$$\hat{a}_{tp} = (a_{tp} - \hat{a}_{tp}) / \tau_1 \quad (31)$$

$$\hat{a}_{ty} = (a_{ty} - \hat{a}_{ty}) / \tau_1 \quad (32)$$

where  $a_{tp}$  and  $a_{ty}$  are true target acceleration pitch and yaw components given by

$$a_{tp} = -\sin\gamma_m (\cos\psi_m \ddot{x}_t + \sin\psi_m \ddot{y}_t) - \cos\psi_m \ddot{z}_t \quad (33)$$

$$a_{ty} = -\sin\gamma_m \ddot{x}_t + \cos\gamma_m \ddot{y}_t \quad (34)$$

## Aircraft Control

The LOS rate vector from the aircraft to the missile is obtained by changing the sign of  $\bar{r}$  in Eq. (25), which results in the same equation as (25). The pitch and yaw components of  $\ddot{\sigma}$ :  $\dot{\sigma}_{pt}$  and  $\dot{\sigma}_{yt}$  measured in the aircraft body axes are given by

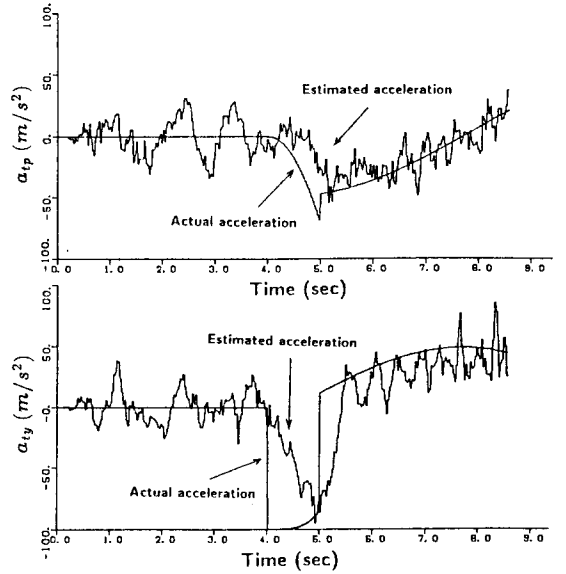


Fig. 2 An estimation example by extended Kalman filter (Sampling time 30ms)

$$\dot{\sigma}_{pt} = -\sin\psi_t \dot{\sigma}_{zI} + \cos\psi_t \dot{\sigma}_{yI} \quad (35)$$

$$\dot{\sigma}_{yt} = -\sin\gamma_t (\cos\psi_t \dot{\sigma}_{zI} + \sin\psi_t \dot{\sigma}_{yI}) - \cos\gamma_t \dot{\sigma}_{xI} \quad (36)$$

Analogous to the missile PNG, the aircraft has to produce the acceleration components  $a_{p0}$  and  $a_{y0}$  in the pitch and yaw directions, but for evasion purposes, those sign should be reversed.

$$a_{p0} = -N_{ct} v_c \dot{\sigma}_{pt} \quad (37)$$

$$a_{y0} = -N_{ct} v_c \dot{\sigma}_{yt} \quad (38)$$

Our previous studies showed that the high-g barrel roll<sup>2</sup> is quite an efficient evasive maneuver against PNG and APNG missiles; the prominent feature of the maneuver is producing LOS rate change by rotating the LOS vector in a pitch-yaw plane. Motivated by this fact, the arbitrary angle  $\eta$ , shown in Fig. 3, is introduced to rotate the LOS vector. Then, the desired pitch and yaw acceleration components of the aircraft are

$$a_{pt} = a_{p0} \cos\eta + a_{y0} \sin\eta \quad (39)$$

$$a_{yt} = -a_{p0} \sin\eta + a_{y0} \cos\eta \quad (40)$$

When  $\eta=0$ , the aircraft evades in a coplane with the missile, while with  $\eta=\pm 90^\circ$ , the aircraft evades the missile by rotating the LOS vector normal to the current maneuvering plane. In order to obtain the desired aircraft acceleration, the aircraft  $\alpha$  and  $\phi$  are determined as follows:

$$(L + T_t \sin\alpha) \sin\phi = m_t a_{yt} \quad (41)$$

$$(L + T_t \sin\alpha) \cos\phi - m_t g \cos\gamma_t = m_t a_{pt} \quad (42)$$

Table 1 Nominal parameters

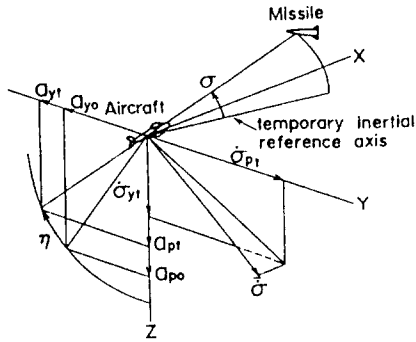


Fig. 3 LOS, its rate vector and  $\eta$  employed in the aircraft control

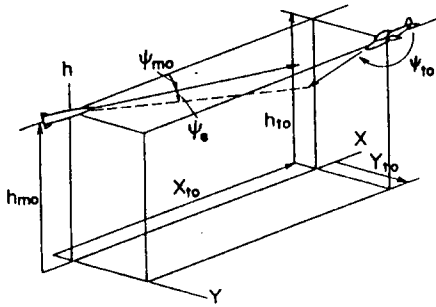


Fig. 4 Initial relative geometry

By approximating  $\sin \alpha \cong \alpha$  and from the foregoing equations, we obtain

$$\phi = \tan^{-1} [a_{y_t} / (a_{x_t} + g \cos \gamma_t)] \quad (43)$$

and

$$\alpha = m_t [(a_{x_t} + g \cos \gamma_t)^2 + a_{y_t}^2]^{1/2} / (1/2 \rho v_t^2 s_t C_{L\alpha} + T_t) \quad (44)$$

### Simulation Conditions and Results

Figure 4 shows the initial geometry of a missile and an aircraft. A set of nominal parameters for both vehicles are given in Table 1. The initial altitude of vehicles is set at 3000m; the modeled aircraft can produce a maximum normal acceleration of 7g's at this altitude. Under a high-g condition, it becomes rather difficult to maneuver an aircraft with a large roll rate; therefore, the maximum roll rate command  $\dot{\phi}_{cmax}$ , is treated as a function of  $\alpha$ .

Simulations are conducted for a combination of missile guidance law and that of the aircraft. As for the latter, the determined strategy is to rotate the relative LOS vector, and the arbitrary rotation angle  $\eta$  is changed over the  $-90^\circ$  to  $90^\circ$  deg range. The effective navigation constant

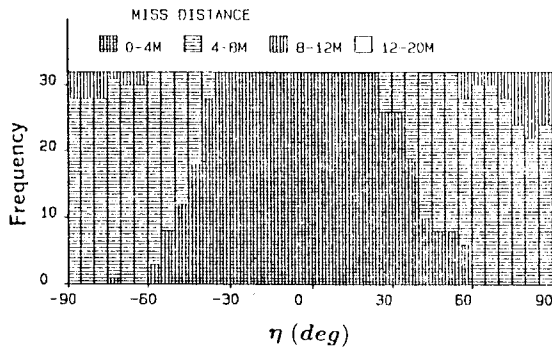
#### Aircraft

$m_t$	= 7,500 kg
$s_t$	= 26.0 m <sup>2</sup>
$v_{t0}$	= 290 m/s
$h_{t0}$	= 3,000 m
$x_{t0}$	= 4,000 m
$C_{L\alpha}$	= 4.01 / rad
$C_{D0}$	= 0.0169
$k$	= 0.179
$\alpha_{max}$	= 0.13 rad
$T_t$	= 65,000 N
$\tau_\alpha$	= 0.3 s
$\tau_\phi$	= 0.2 s
$\dot{\phi}_{cmax}$	= 16 rad/s ( $\alpha = 0$ )
	8 rad/s ( $\alpha = 0.065$ rad)
	3.2 rad/s ( $\alpha = 0.13$ rad)

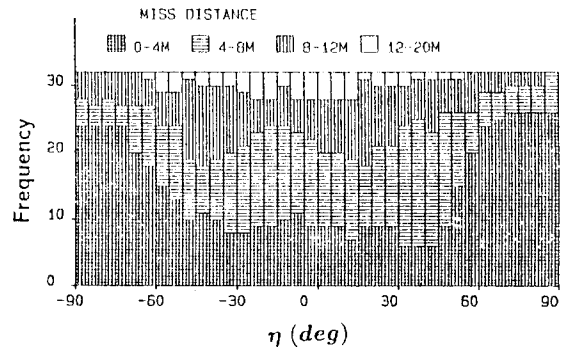
#### Missile (with sustainer)

$m_m$	= 165kg(t=0) 150kg(t=6s)
$s_m$	= 0.032 m <sup>2</sup>
$v_{m0}$	= 600 m/s
$h_{m0}$	= 3000 m
$x_{m0}$	= 0 m
$C_{L\alpha}$	= 35.0 / rad (at Mach 2)
$C_{D0}$	= 0.74 (at Mach 2)
$k$	= 0.03 (at Mach 2)
$T_m$	= 5880N ( $0 \leq t \leq 6s$ )
$a_{cmax}$	= 30 g
$\tau$	= 0.3 s

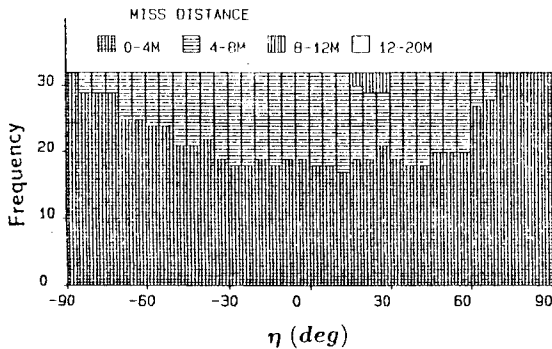
of the missile  $N_e$  is set to 3 or 4; that of the aircraft  $N_e$ , is set to 4 or 6. In the simulations, the aircraft is given a command in the first second to take the maximum angle of attack and a preset roll angle. After that, the aircraft evasive control algorithm stated in the preceding section is activated. This initial  $\phi$  command is changed from  $-180$  to  $180$  deg at intervals of  $45$  deg. Figures 5 through 8 show MD (miss distance) distributions in relation to  $\eta$ . The four kinds of differently patterned areas indicate the number of cases where MDs are  $0 \sim 4m$ ,  $4 \sim 8m$ ,  $8 \sim 12m$  and  $12 \sim 20m$ , respectively. Figure 5 shows a PNG missile case. Small MD regions are concentrated in the neighborhood of  $\eta = 0$  deg. The MD is within  $8m$  in the range over  $\eta = -60$  deg to  $60$  deg, and within  $4m$  from  $\eta = -35$  deg to  $25$  deg. Figure 6 shows a APNG missile case with  $\tau_1 = 0$ ; which means the case where a target acceleration is ideally estimated and no lag exists. On the contrary to the result of PNG, small MD regions are concentrated in the neighborhood of  $\eta = \pm 90$  deg. However, even in the worst case where  $\eta = 10 \sim 15$  deg, more than 50 % cases MDs are still less than  $4m$ . Figures 7



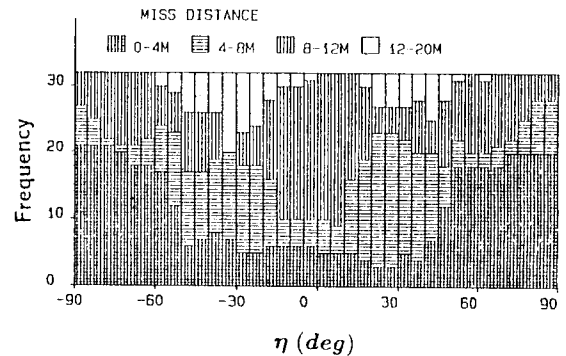
**Fig. 5 Miss distance distribution (PNG missile)**



**Fig. 7 Miss distance distribution (APNG missile,  $\tau_1=0.3s$ )**



**Fig. 6 Miss distance distribution (APNG missile,  $\tau_1=0$ )**



**Fig. 8 Miss distance distribution (APNG missile,  $\tau_1=0.5s$ )**

and 8 show the cases where time lags  $\tau_1=0.3s$  and  $0.5s$  exist, respectively. As  $\tau_1$  increases MD naturally increases, however, in the neighborhood of  $\eta=\pm 90$  deg, MD is far smaller than that of-PNG even in the case where a large time lag  $\tau_1=0.5s$  exists. These results show that PNG is favorable for a small  $\eta$  and APNG is superior to PNG for a  $\eta$  in the neighborhood of  $\pm 90$  deg even in a case where a fairly large time lag exists for the estimation of the target acceleration. As these  $\eta$  values in the neighborhood of 0 deg and  $\pm 90$  deg mean that the target maneuver lies in or out of missile-target coplane respectively, and the missile can discriminate which maneuver is being taken in the process of the target acceleration estimation. Therefore for a practical use, it is recommended to switch the guidance algorithm between PNG and APNG depending on the kind of the target maneuver.

## Conclusions

A trade-off study between conventional and augmented proportional navigation is conducted with the time lag for obtaining the information of the target acceleration, which is employed in the augmented proportional navigation, taken into consideration. Simulations are conducted in relation to the guidance laws and the angle  $\eta$  which expresses the direction of the target line-of-sight rate vector. A  $\eta$  in the neighborhood of 0 deg means the target maneuver lies in the missile-target coplane, while a  $\eta$  in the neighborhood of  $\pm 90$  deg means out-of coplane. The study result shows that conventional proportional navigation is favorable against the aircraft in-coplane maneuver, while augmented proportional navigation is superior to the former against the aircraft out-of-coplane maneuver even in a case where a fairly large time lag exists in the target acceleration estimation. Therefore it is recommended to switch the guidance algorithm between conventional and augmented proportional navigation depending on the kind of the target maneuver.

## Acknowledgments

The authors are indebted to Dr. S. Uehara, Director General for Research and Development of the Japan Defence Agency for giving us useful advice and discussing the contents of this paper.

## References

- [1] Imado, F. and Miwa, S. , "Fighter Evasive Maneuvers Against Proportional Navigation Missile", *Journal of Aircraft*, vol. 23, No. 11, Nov. 1986, pp. 825-830.
- [2] Imado, F. and Miwa, S. , "Three Dimensional Study of Evasive Maneuvers of a Fighter Against a Missile", *AIAA Paper 86-2038*, Aug. 1986.
- [3] Imado, F. and Miwa, S. , "Fighter Evasive Boundaries Against Missiles", *Computers Mathematics with Applications*, vol. 18, No. 1-3, 1989, pp. 1-14.
- [4] Imado, F. , "Some Aspects of a Realistic Three-Dimensional Pursuit-Evasion Game", *Journal of Guidance, Control, and Dynamics*, vol. 16, No. 2, 1993, pp. 289-293.
- [5] Zhou, H. , and Kamar, K. S. P. , "A Current Statistical Model and Adaptive Algorithm for Estimating Maneuvering Targets", *Journal of Guidance, Control, and Dynamics*, vol. 7, No. 5, 1984, pp. 596-602.